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# Evaluating the food safety and risk assessment evidence-base of polyethylene terephthalate oligomers: A systematic evidence map

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### ABSTRACT

*Background:* The presence of polyethylene terephthalate (PET) oligomers in food contact materials (FCMs) is well-documented. Consumers are exposed through their migration into foods and beverages; however, there is no specific guidance for their safety evaluation.

*Objectives*: This systematic evidence map (SEM) aims to identify and organize existing knowledge and associated gaps in hazard and exposure information on 34 PET oligomers to support regulatory decision-making.

*Methods*: The methodology for this SEM was recently registered. A systematic search in bibliographic and gray literature sources was conducted and studies evaluated for inclusion according to the Populations, Exposures, Comparators, Outcomes, and Study type (PECOS) framework. Inclusion criteria were designed to record hazard and exposure information for all 34 PET oligomers and coded into the following evidence streams: human, animal, organism (non-animal), *ex vivo, in vitro, in silico,* migration, hydrolysis, and absorption, distribution, metabolism, excretion/toxicokinetics/pharmacokinetics (ADME/TK/PK) studies. Relevant information was extracted from eligible studies and synthesized according to the protocol.

*Results*: Literature searches yielded 7445 unique records, of which 96 were included. Data comprised migration (560 entries), ADME/TK/PK-related (253 entries), health/bioactivity (98 entries) and very few hydrolysis studies (7 entries). Cyclic oligomers were studied more frequently than linear PET oligomers. *In vitro* results indicated that hydrolysis of cyclic oligomers generated a mixture of linear oligomers, but not monomers, potentially allowing their absorption in the gastrointestinal tract. Cyclic dimers, linear trimers and the respective smaller oligomers exhibit physico-chemical properties making oral absorption more likely. Information on health/bioactivity effects of oligomers was almost non-existent, except for limited data on mutagencity.

*Conclusions*: This SEM revealed substantial deficiencies in the available evidence on ADME/TK/PK, hydrolysis, and health/bioactivity effects of PET oligomers, currently preventing appropriate risk assessment. It is essential

<sup>1</sup> VNS is the guarantor.

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*Abbreviations*: ADME, Absorption, distribution, metabolism, excretion; CAS RN, Chemical abstracts service registry number; DEG, Diethylene glycol; EG, Ethylene glycol; FCC, Food contact chemical; FCM, Food contact material; IAS, Intentionally added substances; IPA, Isophthalic acid; IUPAC, International union of pure and applied chemistry; NIAS, Non-intentionally added substances; PECOS, Population, exposure, comparator, outcome, and study type; PET, Polyethylene terephthalate; PK, Pharmacokinetics; SEM, Systematic evidence map; TIAB, Title and abstract; TK, Toxicokinetics; TPA, Terephthalic acid; TTC, Threshold of toxicological concern.

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### 1. Introduction

Polyethylene terephthalate oligomers are a class of substances that are ubiquitous in PET food contact materials (FCMs) and they are considered non-intentionally added substances (NIAS). The formation of such PET-specific substances is generally associated with incomplete polymerization, or thermal or hydrolytic degradation of polymer chains during the manufacture of food contact articles (Hoppe et al., 2017). PET oligomers can migrate into foods and beverages, in which different molecules have already been detected, exposing consumers to different amounts of PET oligomers (Alberto Lopes et al., 2021, Alberto Lopes and Tsochatzis, 2023; Begley et al., 1990; Castle et al., 1989; Hoppe et al., 2017; Mutsuga et al., 2005; Tsochatzis et al., 2020a). Oligomers and other NIAS consist of a very large number of substances and constitute complex mixtures.

To date, no specific guidance document for the safety assessment of oligomers exists and there are no direct recommendations for their risk assessment by food safety authorities worldwide. Oligomers with a molecular weight less than 1000 Da are usually considered for risk assessment. This threshold represents a molecular weight limit above which poor gastrointestinal absorption is assumed. ("Commission Regulation (EU) No 10/2011", 2011). Traditionally, the assessment of oligomers was covered by those of the corresponding monomers, assuming complete hydrolysis of the oligomers. The PET-related monomers ethylene glycol (EG), terephthalic acid (TPA), and isophthalic acid (IPA) have specific migration limits (SML) of 30 mg/kg, 7.5 mg/kg, and 5 mg/kg, respectively ("Commission Regulation (EU) No 10/2011," 2011). However, the validity of this approach has not been demonstrated.

The main challenge with respect to oligomers is that their chemical identity, level of migration, and toxicological properties are often unknown and consequently preventing their individual assessment (Omer et al., 2019; Hu et al., 2021; Sapozhnikova et al., 2021; Tsochatzis, 2021). Several attempts have been made to find evaluation strategies for such substances. Some focus on the assessment of genotoxicity based on structure-activity relationships (SAR) and read-across approaches. (BfR, 2022; Bolognesi et al., 2016; ILSI, 2015). An alternative strategy is to use the threshold of toxicological concern (TTC) concept to estimate safety values for PET oligomers of unknown toxicity. In the framework of the TTC concept, a previous study classified linear PET oligomers as Cramer class I substances with a corresponding exposure limit of 30 µg/kg body weight/day and cyclic oligomers as Cramer class III substances with a lower exposure limit of 1.5  $\mu$ g/kg body weight/day (Alberto Lopes et al., Alberto Lopes and Tsochatzis, 2023; More et al., 2019; Tsochatzis et al., 2020b). The establishment of exposure limits is important for molecules with unknown toxicological and/or biological activity in order to characterize risks to consumers and identify those requiring mitigation measures. However, it is currently not clear whether the application of the TTC concept is appropriate for PET oligomers, and the concept has been criticized for its underlying scientific uncertainty (Bschir, 2017). Therefore, further information is needed to increase the knowledge and understanding of PET oligomer safety as a group. The objective of the present study was therefore to collect existing hazard and exposure information on 34 previously prioritized PET oligomers derived from PET in FCM to further improve access to this data and inform on their suitability for chemical risk assessment (Schreier et al., 2022b). The 34 prioritized PET oligomers can be divided into four series. The first series consists of linear oligomers with an equal number of TPA and EG units. A known modification of the oligomer is the introduction of additional ethylene glycol. The remaining three series include cyclic oligomers where one, two, and three ethylene glycol units have been replaced by

diethylene glycol (DEG) units, respectively (Schreier et al., 2022b). In addition, IPA can replace a TPA moiety when used as a co-monomer (Brenz et al., 2021) and was also considered in the present study.

Systematic evidence mapping (SEM) was used as a transparent and reproducible method to identify, organize, and analyze information available for use in broad chemical risk assessment contexts to support evidence-based decision making (James et al., 2016; Keshava et al., 2020; Pelch et al., 2019; Thayer et al., 2022; Wolffe et al., 2020, 2019). All evidence obtained in the present study on hazard and exposure is provided as searchable tables in the Supplementary Material.

## 2. Methods

The protocol describing the methodology of this SEM was initially registered on Zenodo (Schreier et al., 2022a), then peer-reviewed and published in Environment International (Schreier et al., 2022b). An update of the protocol including any associated amendments is described in detail in the Supplementary Material. The goal of carrying out this SEM was to identify and organize the existing evidence on hazard and exposure information related to 34 oligomers derived from PET in FCM, to support future research and chemical risk assessment activities.

The 34 PET oligomers were selected in a scoping exercise and prioritized based on the identification of their chemical structure and detection in PET-based FCMs (Table 1). The first series comprises of oligomers consisting of an equal number of TPA and EG units. The remaining three series include cyclic oligomers where one, two, and three EG units have been replaced by DEG units, respectively. TPA can be replaced by IPA (CAS RN 121-91-5), which can be used as a comonomer in the production process (Brenz et al., 2021).

Searches were performed in multiple bibliographic databases (Embase, Ovid MEDLINE, Web of Science Core Collection (WoS), Scopus), chemistry databases (SciFinder-n, and Reaxys), and 28 Gy literature sources (vetted (non-) governmental, scientific, or regulatory organizations websites). The search strategy for bibliographic databases included generic and stressor-specific search terms, and was split into two parts: a part with generic PET oligomer search terms and a stressorspecific part. The search included CAS RN, IUPAC, and common names for the PET oligomers, and was not restricted by publication date or language. Searches in the chemistry databases SciFinder-n and Reaxys, which are designed to support structure-based searches, used SMILES strings and CAS RN for the 34 PET oligomers of interest. The search strategy for the gray literature was dependent on the availability and functionality of the search engines and was decided based on a decision tree. Full details of chemical names and descriptors, search strategy, and search strings can be found in the published protocol (Schreier et al., 2022b), and amendments to the protocol are reported in the Supplementary Material.

After importing the records retrieved from bibliographic and chemical databases into EndNote 20 (Clarivate Analytics) and removing duplicates according to the Bramer method (Bramer et al., 2016), the search results were imported into the web-based interface application PICO Portal (<u>https://picoportal.org/</u>), an online management software for systematic reviews. To be eligible for inclusion, studies had to meet the criteria specified in the Populations, Exposures, Comparators, Outcome, and Study type (PECOS) framework (Table 2). Studies that did not meet these criteria, as well as conference abstracts, presentations, posters, book chapters, and theses/dissertations, were excluded. VNS conducted title and abstract (TIAB) screening. Studies for which a decision on inclusion or exclusion could not be made based on the title and abstract were included for further evaluation by full-text screening. Two

List of PET oligomers included in the systematic evidence map. Oligomer category name, acronym-based abbreviation, and CAS RN. Abbreviations: TPA (terephthalic acid, CAS RN 100-21-0), IPA (isophthalic acid, CAS RN 121-91-5), EG (ethylene glycol, CAS RN 107-21-1), DEG (diethylene glycol, CAS RN 111-46-6), C (cyclic), and L (linear).

Oligomer category name	Acronym-based abbreviation	CAS RN
First series cyclic monomer	C[TPA + EG]	7337-79-3
First series cyclic dimer	C[TPA + EG]2	24388-68-9
First series cyclic trimer	C[TPA + EG]3	7441-32-9
First series cyclic tetramer	C[TPA + EG]4	16104-96-4
First series cyclic pentamer	C[TPA + EG]5	16104-97-5
First series cyclic hexamer	C[TPA + EG]6	29644-29-9
First series cyclic heptamer	C[TPA + EG]7	29668-12-0
First series cyclic octamer	C[TPA + EG]8	42245-76-1
First series cyclic dimer + IPA	C[TPA + EG] + [IPA + EG]	Not available
First series cyclic trimer + IPA	C[TPA + EG]2 + [IPA + EG]	536746-07-3
First series cyclic tetramer + IPA	C[TPA + EG]3 + [IPA + EG]	Not available
First series cyclic pentamer + IPA	C[TPA + EG]4 + [IPA + EG]	Not available
First series linear monomer	L[TPA + EG]	1137-99-1
First series linear dimer	L[TPA + EG]2	23186-89-2
First series linear trimer	L[TPA + EG]3	16958-96-6
First series linear monomer $+ EG$	L[TPA + EG] + EG	959-26-2
First series linear dimer $+ EG$	L[TPA + EG]2 + EG	2144-69-6
First series linear trimer $+ EG$	L[TPA + EG]3 + EG	16033-73-1
First series linear tetramer $+ EG$	L[TPA + EG]4 + EG	34298-51-6
First series linear monomer + TPA	L[TPA + EG] + TPA	2225-05-0
First series linear dimer + TPA	L[TPA + EG]2 + TPA	1855-25-0
First series linear trimer + TPA	L[TPA + EG]3 + TPA	122295-57-2
Second series cyclic dimer	C[TPA + EG] + [TPA + DEG]	29278-57-7
Second series cyclic trimer	C[TPA + EG]2 + [TPA + DEG]	873422-64-1
Second series cyclic tetramer	C[TPA + EG]3 + [TPA + DEG]	2222729-29-
		3
Second series cyclic pentamer	C[TPA + EG]4 + [TPA + DEG]	Not available
Second series cyclic hexamer	C[TPA + EG]5 + [TPA + DEG]	Not available
Second series cyclic dimer + IPA	C[TPA + EG] + [IPA + DEG]	Not available
Second series linear monomer	L[TPA + DEG]	65087-23-2
Second series linear dimer	L[TPA + EG] + [TPA + DEG]	2222639-12-
		3
Second series linear monomer + EG	L[TPA + DEG] + EG	65133-69-9
Third series cyclic dimer	C[TPA + DEG]2	16104-98-6
Third series cyclic trimer	C[TPA + EG] + [TPA + DEG]2	Not available
Fourth series cyclic tetramer	C[TPA + EG] + [TPA + DEG]3	Not available

#### Table 2

Population, Exposure, Comparator, Outcome, and Study type (PECOS) framework.

PECOS statement	Evidence
Population	Human, animals (whole organism), organisms, or models that use or target organs, tissues, cell lines, or cellular components.
Exposure	Any type of measured or modeled exposure to PET oligomers (stressors) via the oral route or data supporting the estimation of oral exposure (incl. migration). Single oligomers as well as mixtures will be considered.
Comparator	Humans, animals, organisms, organs, tissues, cell lines, or cellular components exposed to a lower level of PET oligomers than the more highly exposed subjects or treatment groups, vehicle-only treatment, or untreated control group.
Outcome	Any effects or health outcomes, either a toxicological response or a response with the normal biological/physiological range (incl. kinetic information), measured in the exposed human, animals (whole organism), organisms, organs, tissues, cell lines, or cellular components.
Study type	In vivo, <i>ex vivo, in vitro, in silico</i> , mechanistic, epidemiological (human).

independent reviewers (VNS, EC) conducted the full-text screening and exclusion reasons were recorded. Exclusion of studies required agreement by two reviewers, and all disagreements were resolved through discussion. Unless otherwise stated, gray literature records were screened at full-text level for inclusion by two independent reviewers (VNS, EC), applying the same eligibility criteria as for the bibliographic sources. For gray literature sources with more than 150 identified records: EFSA, FDA, Science.gov, and NTP, records were excluded at the TIAB level. In cases where a decision could not be made at the TIAB level, these records were screened at the full-text level. Gray literature screening at the TIAB and full-text level was performed by two independent reviewers (VNS and EC). Disagreements were resolved by consensus and such cases were documented.

Eligible indexed literature was used for forward and backward citation tracking using the databases Scopus, WoS, and TheLens via citationchaser (https://estech.shinyapps.io/citationchaser/) to identify additional eligible records that may have been missed by the initial searches. Citation tracking records were imported into EndNote 20 and deduplicated before screening for eligibility as described above.

This SEM incorporated a wide range of data from multiple research areas and disciplines. Eligible evidence was coded into the following evidence streams:

- Human study<sup>1,2</sup>
- Animal study<sup>1,2</sup>
- Organism (non-animal) study<sup>1,2</sup>
- Ex vivo study<sup>1,2</sup>
- In vitro study<sup>1,2</sup>
- In silico study<sup>1,2</sup>
- Migration study
- Hydrolysis study
- ADME/TK/PK study

<sup>1</sup>each of these systems can be used to conduct mechanistic studies at the (sub)cellular level

<sup>2</sup>Hydrolysis and ADME/TK/PK studies are excluded and reported under "Hydrolysis study" and "ADME/TK/PK study"

Each identified qualitative or quantitative unit of information was recorded as an individual data entry per oligomer and evidence stream, with the exception of migration data, where reported migration values were summarized as ranges for the same conditions and same type of food contact article (Supplementary Material). If a record/reference contained information on multiple oligomers or different evidence streams for a single oligomer, all the information was retrieved and recorded as individual data entries. Unknown compositions of PET oligomer mixtures and their respective information were recorded separately as "mixture of PET oligomer" according to their evidence stream.

Although environmental risk assessment in many respects addresses different endpoints than human risk assessment, ecotoxicological studies may be useful for prioritizing future human health research and have therefore been included in this SEM. However, because the environmental fate data may be informative only in an expanded context, it was not addressed in the main text of the report, but was still recorded in a summarized form and included as supportive knowledge in the Supplementary Material.

Data coding and extraction was performed by a single reviewer (VNS), with a second reviewer (EC) confirming the accuracy and completeness of the extracted data. Free-text entries were visually reviewed for inconsistencies and normalized as needed by one reviewer (VNS), with the process confirmed by a second reviewer (EC). Any disagreements were resolved through consensus.

Because the data to be extracted, such as migration and hydrolysis, were not covered by the tools commonly used for systematic reviews or maps, a custom MS Access flat file database was used for data entry, storage, and analysis. The database contained a long form table for each evidence stream, including bibliographic information and data on stressors and the evidence stream. The tables included drop-down lists and free-text options, and the database allowed for the entry of the detailed information related to the evidence streams. Full details for data extraction and coding are described in the protocol (Schreier et al., 2022b), with amendments reported in the Supplementary Material. The information collected in customized MS Access flat file database is provided in form of searchable MS Excel spreadsheets in the Supplementary Material.

The summarized data at different levels of granularity were visualized using MS Excel in the form of bar charts and tables (see Results and Discussion for details).

## 3. Results and discussion

## 3.1. Literature search results

Database searches for the bibliographic databases, Embase, Ovid MEDLINE, WoS, Scopus, SciFinder-n, and Reaxys were performed on June 13, 2022 and retrieved 5401 unique records that were evaluated by TIAB screening. During this process, 146 records were selected for fulltext screening. 7 of these articles could not be retrieved for full-text screening and were therefore not further analyzed. Of the remaining 139 records, 37 met the eligibility criteria for this SEM. Grav literature searches were conducted between June 6 and July 1, 2022. As an amendment to the protocol (Supplementary Material), on October 14 and 15, 2022, additional searches were conducted in SciFinder-n and Reaxys repositories as gray literature sources. In total, 1047 records were identified from gray literature searches. Since gray literature records are not consistently indexed like records from bibliographic databases, this number also harbored duplicates. Of these 1047 records, 56 were included in this study. Forward and backward citation tracking was performed on July 28, 2022, using eligible indexed literature records from bibliographic databases and gray literature. After removal of duplicates, 997 unique records were screened for eligibility on TIAB and full-text, of which 3 records were included in this study (Fig. 1).

For both bibliographic and gray literature searches, most records

were excluded at the full-text level because they did not pertain to the PET oligomers of interest ("other stressor(s)" n = 24); only provided information on their detection and/or chemical identification ("stressor identification only" n = 13; investigated other materials ("other material(s)" n = 42); or did not meet the criteria for hydrolysis studies ("non-eligible hydrolysis" n = 25). Only a small number of records were excluded due to lack of defined chemical structure ("Isomer(s) not defined n = 1) or duplication ("duplicate" n = 4). The exclusion reason "other reason" included all other reasons why the PECOS criteria were not met (n = 1007). This included, for example, studies that used PET oligomers for the chemical synthesis of other compounds or PET materials. Out of a total of 7445 records retrieved from all literature searches and after deduplication of indexed literature, 96 records were finally included. In addition to 55 records from SciFinder-n, Reaxys, and Pub-Chem as sources of gray literature (supplementary material), 41 records are based on indexed scientific literature (Alberto Lopes et al., 2021; Araki et al., 2005; Bauer et al., 2019; Begley et al., 1990; Begley and Hollifield, 1989; Begley and Hollifield, 1990a, 1990b, 1990c; Brenz et al., 2021; Buiarelli et al., 1993; Castle et al., 1990, 1989; Cimecioglu et al., 1986; Diamantidou et al., 2022; Djapovic et al., 2021; Dulio et al., 1995; Eckardt et al., 2019, 2018; Freire et al., 1999; Hoppe et al., 2017; Jabarin and Balduff, 1982; Järvenpää et al., 2022; Jetten et al., 1999; Jetten and de Kruijf, 2002; Jickells et al., 1992, 1991; Kim and Lee, 2012a, 2012b; Komolprasert et al., 2001; López-Cervantes et al., 2003; Mutsuga et al., 2005; Nasser et al., 2005; Ohkado et al., 2005; Paseiro-Cerrato et al., 2016; Peebles et al., 1969; Rajbux et al., 2020; Tsochatzis et al., 2021, 2020b; Ubeda et al., 2018; Wick and Zeitler, 1983; Xu et al., 2021).

#### 3.2. Summary of data coding and extraction results

This SEM examined 34 PET oligomers of interest to identify available



Fig. 1. PRISMA flowchart of the overall study screening and selection process.

hazard and exposure data (Table 1). Evidence on hazard and exposure was extracted and organized into the following nine evidence streams: Human, animal, organisms (non-animal), ex vivo, in vitro, in silico, migration, hydrolysis, and ADME/TK/PK-related studies. To provide a general overview of all available hazard and exposure data, the evidence streams were grouped into four categories: Migration, hydrolysis, ADME/TK/PK, and health/bioactivity related data. All four categories may include in silico data, while the three categories hydrolysis, ADME/ TK/PK, and health/bioactivity may include human, animal, organism (non-animal), ex vivo, and in vitro studies. The data analysis of the summarized evidence revealed that the majority of the data pertained to migration (560 data entries) and ADME/TK/PK (253 data entries) studies, while a smaller fraction pertained to health/bioactivity data (98 data entries) and almost no data were available on hydrolysis (7 data entries) (Fig. 2a). It is worth noting that the number of data entries in the migration category does not necessarily represent the number of individual units of information and, thus, cannot be directly compared to the other categories. However, the migration data were combined for consistency in this study, meaning that the actual gap between the evidence available regarding migration and the other categories is even larger than it appears. The migration data would contain additional data entries. Despite this, it is still clear from the overview that previous research has focused primarily on migration and, therefore, exposure assessment, while health effects and hydrolysis properties have received less attention.

In order to analyze the data more in depth, the four categories were divided into six oligomer-based categories to identify any differences in available knowledge and knowledge gaps for different oligomer groups. The majority of oligomers belonged to the first series cyclic and linear oligomers, with 12 and 10 oligomers respectively, and second series cyclic oligomers with 6 oligomers (Table 1). These three groups also had the most data entries per oligomer in the area of migration (with a range of 5.1 to 24.3 data entries per oligomer), hydrolysis (with a range of 0 to 0.4 data entries per oligomer), ADME/TK/PK (with a range of 2.0 to 9.3 data entries per oligomer), and health/bioactivity data (with a range of 0.6 to 3.0 data entries per oligomer) (Fig. 2b). This trend is reflected in the overall data, with a focus on migration and ADME/TK/PK-related studies, and less emphasis on health/bioactivity and hydrolysis. Additionally, six oligomers from the categories of linear oligomers of the second series, as well as cyclic oligomers of the third and fourth series, were included in this SEM. However, regardless of the category, very few data were available for these oligomers (with a range of 0 to 1.5 entries per oligomer). In general, it appears that cyclic PET oligomers

were more often studied than linear PET oligomers. The preference for testing cyclic compounds might be caused by for example the generally lower abundance of linear oligomers, or due the more straightforward analytics of cyclic oligomers, combined with the greater potential risk posed by their increased hydrophobicity compared to linear PET oligomers. As a result, testing for exposure to cyclic oligomers may have been given higher priority.

In a next step, a more in-depth analysis of the data was conducted by visualizing the information available for each PET oligomer or mixture, and the four categories were again divided into the following nine evidence streams: Human, animal, organisms (non-animal), ex vivo, in vitro, in silico, migration, hydrolysis, and ADME/TK/PK-related studies. The collected and recorded evidence is presented in Table 3. Data were not identified for all evidence streams. Information on migration contained a combination of analytical chemical measurements (557 data entries) and in silico predictions (3 data entries); hydrolysis was determined through analytical chemical methods (4 data entries) and in vitro testing (1 data entry); the category ADME/TK/PK included analytical chemical measurements (36 data entries), human data (4 data entries), in vitro (1 data entry), and in silico data (212 data entries); and health/bioactivityrelated data encompassed evidence from organisms (3 data entries), in vitro (2 data entries) and in silico sources (93 data entries). The most prominent data included the analytical chemical measurements of migration and the in silico predictions for ADME/TK/PK- and health/ bioactivity-related information. Other evidence streams were only minimally represented, indicating larger knowledge gaps that need to be addressed. Examining the data entries for individual oligomers, the most frequently researched oligomer is C[TPA + EG]3, with a total of 134 data entries, followed by C[TPA + EG]2 and C[TPA + EG]4, with 78 and 67 data entries, respectively. Concerning linear oligomers, the most studied molecules are L[TPA + EG] + EG and L[TPA + EG], with 59 and 40 data entries, respectively. The oligomers with the least data available (0-4 data entries) belonged to the category of first and second series cyclic oligomers with isophthalic acid (IPA) modification, and C[TPA + EG] + [TPA + DEG]3.

#### 3.3. Migration-related evidence

As defined in the SEM protocol, for simplicity, the term "migration" used in this SEM refers to both migration and extraction (Schreier et al., 2022a). Migration and extraction tests are common methods for quantitatively determining the presence of a substance in food or beverages, food simulants, and FCMs. Specifically, migration assesses the



Fig. 2. Summary of all data entries from eligible records. Data entries are summarized into four categories: migration, hydrolysis, ADME/TK/PK, and health/ bioactivity data. (a) Total numbers of data entries per category. (b) Average number of data entries per PET oligomer further organized into six oligomer categories: first series cyclic and linear oligomers, second series cyclic and linear oligomers, third series cyclic oligomers, and fourth series cyclic oligomers. Number of PET oligomers per group is represented in brackets behind the oligomer-based categories.

Summary of all identified and extracted evidence for 34 PET oligomers from the categories first, second, third, fourth series, and for mixtures of PET oligomers. Analytical data (analyt.) refers to information that includes analytical chemical measurements without testing in a biological environment.

	Number of database entries related to																
Oligomer category name		Acronym-based abbreviation		Migra	ation	Hydro	olysis		ADME,	/тк/рк		Health/Bioactivity			Tota	al	
				An	alyt.	In silico	Analyt.	In vitro	Analyt.	Human	In vitro	In silico	Organism	In vitro	In silico		
First series	cyclic	monomer	C[TPA+EG]	I	7	0	0	0	0	0	0	11	0	0		0	18
		dimer	C[TPA+EG]2		52	0	0	0	<b>I</b> 3	1	0	13	0	0		9	78
		trimer	C[TPA+EG]3		99	3	2	1	4	1	0	15	0	0		9	3/
		tetramer	C[TPA+EG]4		46	0	0	0	2	1	0	9	0	0		9	67
		pentamer	C[TPA+EG]5		41	0	0	0	2	1	0	6	0	0	I	9	59
		hexamer	C[TPA+EG]6		24	0	0	0	1	0	0	7	0	0		0	32
		heptamer	C[TPA+EG]7	0	14	0	0	0	1	0	0	7	0	0		0	22
		octamer	C[TPA+EG]8	)	5	0	0	0	0	0	0	4	0	0		0	9
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+EG]		0	0	0	0	0	0	0	0	0	0		0	0
		trimer + IPA	C[TPA+EG]2+[IPA+EG]		0	0	0	0	0	0	0	4	0	0		0	4
		tetramer + IPA	C[TPA+EG]3+[IPA+EG]		0	0	0	0	0	0	0	0	0	0		0	0
		pentamer + IPA	C[TPA+EG]4+[IPA+EG]		0	0	0	0	0	0	0	0	0	0		0	(
	linear	monomer	L[TPA+EG]		23	0	0	0	3	0	0	<b>D</b> 14	0	0		0	40
		dimer	L[TPA+EG]2	Ľ	10	0	0	0	1	0	0	10	0	0		1	22
		trimer	L[TPA+EG]3	I	7	0	0	0	1	0	0	9	0	0		10	18
	linear	monomer + EG	L[TPA+EG]+EG		28	0	2	0	3	0	1	<b>D</b> 20	3	2		0	59
		dimer + EG	L[TPA+EG]2+EG	l.	3	0	0	0	1	0	0	11	0	0		0	15
		trimer + EG	L[TPA+EG]3+EG	P.	3	0	0	0	1	0	0	6	0	0		0	10
		tetramer + EG	L[TPA+EG]4+EG	P.	3	0	0	0	1	0	0	6	0	0		0	10
	linear	monomer + TPA	L[TPA+EG]+TPA		0	0	0	0	2	0	0	6	0	0		0	8
		dimer + TPA	L[TPA+EG]2+TPA	!	3	0	0	0	2	0	0	6	0	0		0	11
		trimer + TPA	L[TPA+EG]3+TPA	<u> </u>	3	0	0	0	1	0	0	6	0	0		0	10
Second series	cyclic	dimer	C[TPA+EG]+[TPA+DEG]		17	0	0	0	2	0	0	9	0	0		9	37
		trimer	C[TPA+EG]2+[TPA+DEG]		16	0	0	0	0	0	0	6	0	0		9	31
		tetramer	C[TPA+EG]3+[TPA+DEG]		14	0	0	0	0	0	0	5	0	0		9	28
		pentamer	C[TPA+EG]4+[TPA+DEG]	Ľ	9	0	0	0	- 1	0	0	1	0	0	•	9	20
					_			_	_	-			_	_			
		hexamer	C[TPA+EG]5+[TPA+DEG]	μ	5	0	0	0	0	0	0	0	0	0		0	
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+DEG]	-	0	0	0	0	0	0	0	0	0	0		0	_
	linear	monomer	L[TPA+DEG]		0	0	0	0	- 1	0	0	4	0	0		0	5
		dimer	L[IPA+EG]+[TPA+DEG]	<u>r</u>	4	0	0	0	0	0	0	4	0	0		0	
	linear	monomer + EG	L[IPA+DEG]+EG	<u> </u>	0	0	0	0	0	0	0	6	0	0			
Third series	cyclic	dimer	C[TPA+DEG]2	Ľ	9	0	0	0	• 3	0	0	∎ 7 -	0	0		9	28
		trimer	C[IPA+EG]+[IPA+DEG]2	<u>ľ</u>	4	0	0	0	0	0	0	0	0	0	-	9	13
Fourth series	cyclic	tetramer	[C[IPA+EG]+[IPA+DEG]3		0	0	0	0	0	0	0	0	0	0			-
wixture of PET	oligom	ers		<b>P</b>	108	0	0	0	0	0	0	0	0	0	l		1992

concentration of a substance in food or beverages and food simulants, while extraction uses different conditions such as total dissolution or organic solvents like dichloromethane or acetonitrile to determine the concentration of a substance in FCMs. Extraction therefore represents a measure for the maximum possible transferable level of a substance, which can be considered as a worst-case migration scenario. By using migration or extraction values, the extent of exposure to a given substance can be determined or estimated using appropriate food intake information. Both migration and extraction testing are therefore important tools for assessing exposure and ensuring food safety and quality ("Commission Regulation (EU) No 10/2011," 2011).

Migration of PET oligomers from FCMs has been widely reported in the scientific literature and was the most common type of data recorded in this SEM. The mass transfer of substances from food contact articles varies depending on the specific conditions, such as the temperature, type of food, and duration of contact (Brandsch et al., 2015). Commission Regulation (EU) 10/2011 therefore provides a framework for conducting migration tests. However, within this SEM, it was found that the reported data on this topic was heterogeneous, as different studies used different conditions, whether in compliance with the regulation or not, and also different types of food contact articles and analytical techniques were used (see Supplementary Material for experimental details). Furthermore, while utilizing ethanol–water mixtures as a food simulant may comply with regulations, these test conditions are not appropriate for accurately determining and assessing exposure to PET oligomers. Ethanol-water mixtures can cause swelling of the material, hydrolysis of existing oligomers, or the formation of new oligomers (see chapter 3.4 for more details). This can lead to an overestimation of the true exposure to PET oligomers and a skewed understanding of which specific oligomers the consumer is exposed to. The choice of experimental conditions is consequently important and its impact on migration or extraction must be taken into account. Overall, the diversity of available data and the difficulty of comparing and analyzing the data impede drawing general conclusions and making recommendations.

An attempt was made to analyze knowledge and knowledge gaps in a more general approach by categorizing and summarizing the migration data based on the type of migration or extraction experiment. These categories include migration into food (including beverages), migration into food simulants, and extraction, with further distinctions being made as to whether the information was based on analytical chemical measurements (analyt.) or in silico predictions (Table 4). It is important to note that two types of data were recorded: Cases where the degree of migration or concentration of oligomers in the polymer was quantified, and cases where this was tested or attempted but the respective oligomers could not be detected and/or quantified. Some cases were recorded and highlighted in bold (Table 4), where migration or extraction of an oligomer was investigated, but the oligomer could not be detected and/ or quantified under any of the conditions applied. The data for all other PET oligomers included a combination of both scenarios, depending on the experimental conditions and analytical methods used.

Summary of all identified and recorded migration related evidence for 34 oligomers from the categories first, second, third, fourth series, and for mixtures of PET oligomers. If evidence for a PET oligomer or oligomer mixture was recorded, it is labeled with "yes" and the number of data entries are given in parentheses; otherwise, "no data" is stated. Values in bold indicate cases where migration or extraction of an oligomer was investigated, but the respective oligomers could not be detected/ quantified under any of the conditions applied. Analytical data (analyt.) refers to information that includes analytical chemical measurements without testing in a biological environment.

Oligomer category name			Acronym-based	Number of database entries related to hydrolysis				
			appreviation	Analyt.	In vitro			
First series	cyclic	monomer	C[TPA+EG]	No data	No data			
		dimer	C[TPA+EG]2	No data	No data			
		trimer	C[TPA+EG]3	Chemical stability in 50% ethanol for 10 or 30 days at 40 °C:	Intestinal digestion for 1-4 hours: Hydrolysis to L[TPA+EG]3,			
				Hydrolysis to L[TPA+EG]3, L[TPA+EG]2, L[TPA+EG]+EG,	L[TPA+EG]2+TPA, L[TPA+EG]2, L[TPA+EG]+TPA, L[TPA+EG]+EG,			
				L[TPA+EG], TPA; 0 - 30% of the parent oligomer remaining	L[TPA+EG], after 4h < 20% of the parent oligomer remaining,			
					no significant monomer formation			
		tetramer	C[TPA+EG]4	No data	No data			
		pentamer	C[TPA+EG]5	No data	No data			
		hexamer	C[TPA+EG]6	No data	No data			
		heptamer	C[TPA+EG]7	No data	No data			
		octamer	C[TPA+EG]8	No data	No data			
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+EG]	No data	No data			
		trimer + IPA	C[TPA+EG]2+[IPA+EG]	No data	No data			
		tetramer + IPA	C[TPA+EG]3+[IPA+EG]	No data	No data			
		pentamer + IPA	C[TPA+EG]4+[IPA+EG]	No data	No data			
	linear	monomer	L[TPA+EG]	No data	No data			
		dimer	L[TPA+EG]2	No data	No data			
		trimer	L[TPA+EG]3	No data	No data			
	linear	monomer + EG	L[TPA+EG]+EG	Chemical stability in 50% ethanol for 10 or 30 days at 40 °C:	No data			
				Hydrolysis to L[TPA+EG];TPA; 55% of the parent oligomer				
				remaining				
		dimer + EG	L[TPA+EG]2+EG	No data	No data			
		trimer + EG	L[TPA+EG]3+EG	No data	No data			
		tetramer + EG	L[TPA+EG]4+EG	No data	No data			
	linear	monomer + TPA	L[TPA+EG]+TPA	No data	No data			
		dimer + TPA	L[TPA+EG]2+TPA	No data	No data			
		trimer + TPA	L[TPA+EG]3+TPA	No data	No data			
Second series	cyclic	dimer	C[TPA+EG]+[TPA+DEG]	No data	No data			
		trimer	C[TPA+EG]2+[TPA+DEG]	No data	No data			
		tetramer	C[TPA+EG]3+[TPA+DEG]	No data	No data			
		pentamer	C[TPA+EG]4+[TPA+DEG]	No data	No data			
		hexamer	C[TPA+EG]5+[TPA+DEG]	No data	No data			
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+DEG]	No data	No data			
	linear	monomer	L[TPA+DEG]	No data	No data			
		dimer	L[TPA+EG]+[TPA+DEG]	No data	No data			
	linear	monomer + EG	L[TPA+DEG]+EG	No data	No data			
Third series	cyclic	dimer	C[TPA+DEG]2	No data	No data			
	, -	trimer	C[TPA+EG]+[TPA+DEG12	No data	No data			
Fourth series	cyclic	tetramer	C[TPA+EG]+[TPA+DEG]3	No data	No data			
Mixture of PET	oligom	ers		No data	No data			
				•	•			

Further analysis of the available data revealed that it consists primarily of experimental measurements of migration or extraction, with one exception: the oligomer C[TPA + EG]3 is the only substance to have three additional data entries based on in silico predictions for the category, migration into food simulants. The majority of data focused on either extraction or migration into food simulants, with less emphasis on migration into food. Only the first series cyclic oligomers from dimer to heptamer, with C[TPA + EG]3 (12 data entries) being the most studied oligomer, as well as the linear oligomer L[TPA + EG] + EG (1 data entry) were studied in food. An exception was the study of oligomers as mixtures, for which the most data were found based on migration into food (48 data entries), followed by migration into food simulants (43 data entries), and extraction (17 data entries). There was also a significant amount of knowledge available related to all three categories for the first series cyclic oligomers, most likely providing a substantial evidence base for risk assessment activities. This is also the case when focusing on migration into food simulants, where data were available for the majority of oligomers. However, no data were available for migration or extraction for all of the isophthalic-containing oligomers, as well as for L [TPA + EG] + TPA, L[TPA + DEG], L[TPA + DEG] + EG, and C[TPA + EG] + [TPA + DEG]3. There may be various reasons for the absence of data. For instance, these oligomers may be present at low concentrations within the polymer matrix, thereby falling below the detection limits in migration experiments. Additionally, the lack of analytical standards required for their quantification may also hinder the determination of these oligomers in PET samples.

Given the diversity of the data, it is yet not possible to propose more detailed recommendations. Nevertheless, this SEM serves as a valuable resource for risk assessors to address the exposure of individual oligomers on a case-by-case basis, taking into account specific applications and the availability of relevant information. In this way, regulatory and research needs can be identified on an individual basis.

## 3.4. Hydrolysis-related evidence

Hydrolysis is a chemical process involving the cleavage of chemical bonds through the addition of water (Muller, 1994). Ester hydrolysis can significantly alter the composition of PET oligomers and ultimately influence their exposure levels, representing a vital aspect to consider in the risk assessment of these substances. The hydrolysis of PET oligomers could occur when in contact with food or beverages or as a result of physiological processes post-ingestion. Safety assessment of oligomers has so far been given low priority due to the assumption of complete hydrolysis of PET oligomers to its respective monomers (Nelson et al., 2011). However, in reality, the hydrolysis potential of PET oligomers is not well understood. For accurate exposure assessment, it is essential to identify the hydrolysis potential of individual oligomers to avoid overestimating or underestimating their risk.

Safety evaluation of cyclic oligomers based on Cramer Class III levels may overestimate their risk, as hydrolysis to linear oligomers reduces cyclic oligomer exposure and increases linear oligomer exposure. However, linear oligomers have much higher safety threshold (Cramer Class I). Additionally, hydrolysis to monomers may lead to underestimated risk if the safety threshold for monomers is reached. Hydrolysis is therefore an important aspect that needs to be evaluated. For that reason, the identification of hydrolysis data was included in this SEM. Analysis of the eligible data identified from the indexed scientific and gray literature showed that hydrolysis data were based on analytical chemical methods (analyt.) and in vitro studies (Table 5). However, overall, hydrolysis-related data were obtained for only two oligomers, C [TPA + EG]3 and L[TPA + EG] + EG. The analytical studies were based on chemical instability by using a 1:1 ethanol-water mixture. C[TPA + EG]3 hydrolyzed under these conditions to its linear counterpart and to smaller linear oligomers. Monomer formation was observed and depending on the conditions 0 – 30% of the first series cyclic oligomer remained. Similar results were observed for L[TPA + EG] + EG, but 55% of the parent compound remained (Table 5). This is a crucial information regarding migration experiments in ethanol–water mixtures as food simulants. As mentioned above, this type of food simulants is therefore not suitable for a realistic exposure assessment of oligomers, because their composition changes and due to the swelling effect, the migration is overestimated. On the other hand, the situation might be different for ethanolic beverages, where the use of ethanol–water mixtures could even be useful. Ethanolic beverages could have a different composition and amounts of PET oligomers compared to non-ethanolic beverages, potentially requiring a different exposure assessment. An investigation into this matter could be considered.

In addition to analytical chemical data, *in vitro* hydrolysis data were also recorded. The *in vitro* results revealed that C[TPA + EG]3 hydrolyzed in the presence of artificial intestinal fluid to linear oligomers of various sizes. Notably, no significant monomer formation was observed after four hours and <20% of the parent oligomer was still present. This results suggest that a fraction of the cyclic oligomers can remain stable for an extended period of time, potentially allowing them to be absorbed in the gastrointestinal tract as intact molecules. The detection of intact C [TPA + EG]3 in human post-mortem further supports this hypothesis (see section 3.5 for more details). Further evaluation of hydrolysis kinetics of PET oligomers may be crucial for a comprehensive exposure evaluation of these substances. The assumption of complete hydrolysis may not be applicable and cannot be used to justify that oligomers are not risk assessed. More experimental evidence on hydrolysis and its kinetics is needed to properly evaluate the potential relevance of

#### Table 5

Summary of all identified and recorded hydrolysis related evidence for 34 oligomers from the categories first, second, third, fourth series, and for mixtures of PET oligomers. Analytical data (analyt.) refers to information that includes analytical chemical measurements without testing in a biological environment.

			Acronym-based	Number of database entries related to migration									
Oligom	er cate	gory name	abbreviation	Into food (analyt.)	Into food (in silico)	Into food simulant (analyt.)	Into food simulant (in silico)	Extraction (analyt.)	Extraction (in silico)				
First series	cyclic	monomer	C[TPA+EG]	No data	No data	Yes (5)	No data	Yes (2)	No data				
		dimer	C[TPA+EG]2	Yes (1)	No data	Yes (37)	No data	Yes (14)	No data				
		trimer	C[TPA+EG]3	Yes (12)	No data	Yes (52)	Yes (3)	Yes (35)	No data				
		tetramer	C[TPA+EG]4	Yes (9)	No data	Yes (24)	No data	Yes (13)	No data				
		pentamer	C[TPA+EG]5	Yes (9)	No data	Yes (19)	No data	Yes (13)	No data				
		hexamer	C[TPA+EG]6	Yes (1)	No data	Yes (12)	No data	Yes (11)	No data				
		heptamer	C[TPA+EG]7	Yes (1)	No data	Yes (7)	No data	Yes (6)	No data				
		octamer	C[TPA+EG]8	No data	No data	Yes (1)	No data	Yes (4)	No data				
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+EG]	No data	No data	No data	No data	No data	No data				
		trimer + IPA	C[TPA+EG]2+[IPA+EG]	No data	No data	No data	No data	No data	No data				
		tetramer + IPA	C[TPA+EG]3+[IPA+EG]	No data	No data	No data	No data	No data	No data				
		pentamer + IPA	C[TPA+EG]4+[IPA+EG]	No data	No data	No data	No data	No data	No data				
	linear	monomer	L[TPA+EG]	No data	No data	Yes (18)	No data	Yes (5)	No data				
		dimer	L[TPA+EG]2	No data	No data	Yes (6)	No data	Yes (4)	No data				
		trimer	L[TPA+EG]3	No data	No data	Yes (3)	No data	Yes (4)	No data				
	linear	monomer + EG	L[TPA+EG]+EG	Yes (1)	No data	Yes (19)	No data	Yes (8)	No data				
		dimer + EG	L[TPA+EG]2+EG	No data	No data	No data	No data	Yes (3)	No data				
		trimer + EG	L[TPA+EG]3+EG	No data	No data	No data	No data	Yes (3)	No data				
		tetramer + EG	L[TPA+EG]4+EG	No data	No data	No data	No data	Yes (3)	No data				
	linear	monomer + TPA	L[TPA+EG]+TPA	No data	No data	No data	No data	No data	No data				
		dimer + TPA	L[TPA+EG]2+TPA	No data	No data	No data	No data	Yes (3)	No data				
		trimer + TPA	L[TPA+EG]3+TPA	No data	No data	No data	No data	Yes (3)	No data				
Second series	cyclic	dimer	C[TPA+EG]+[TPA+DEG]	No data	No data	Yes (7)	No data	Yes (10)	No data				
		trimer	C[TPA+EG]2+[TPA+DEG]	No data	No data	Yes (7)	No data	Yes (9)	No data				
		tetramer	C[TPA+EG]3+[TPA+DEG]	No data	No data	Yes (6)	No data	Yes (8)	No data				
		pentamer	C[TPA+EG]4+[TPA+DEG]	No data	No data	Yes (3)	No data	Yes (6)	No data				
		hexamer	C[TPA+EG]5+[TPA+DEG]	No data	No data	No data	No data	Yes (5)	No data				
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+DEG]	No data	No data	No data	No data	No data	No data				
	linear	monomer	L[TPA+DEG]	No data	No data	No data	No data	No data	No data				
		dimer	L[TPA+EG]+[TPA+DEG]	No data	No data	Yes (3)	No data	Yes (1)	No data				
	linear	monomer + EG	L[TPA+DEG]+EG	No data	No data	No data	No data	No data	No data				
Third series	cyclic	dimer	C[TPA+DEG]2	No data	No data	Yes (7)	No data	Yes (2)	No data				
		trimer	C[TPA+EG]+[TPA+DEG]2	No data	No data	Yes (3)	No data	Yes (1)	No data				
Fourth series	cyclic	tetramer	C[TPA+EG]+[TPA+DEG]3	No data	No data	No data	No data	No data	No data				
Mixture of PET	oligome	ers		Yes (48)	No data	Yes (43)	No data	Yes (17)	No data				

conducting a safety assessment of oligomers specific to the polymer. *In vitro* testing of different cyclic and linear oligomers could provide initial guidance to identify differences or similarities in hydrolysis kinetics among different types of oligomers. Additionally, major and minor hydrolysis products can be identified and prioritized in risk assessment. Ideally, an *in vivo* study would be conducted to further clarify the situation and provide additional insight into the toxico- or pharmacokinetics of these molecules.

## 3.5. ADME, toxico-, or pharmacokinetics-related evidence

Accurate determination of internal exposure to a substance requires consideration of various factors. This also includes physiological processes such as absorption, distribution, metabolism, excretion (ADME) and the respective kinetics, i.e., the toxicokinetics (TK) and/or pharmacokinetics (PK) of this substance (Cohen Hubal et al., 2018). To provide a comprehensive overview of the available data, physicochemical properties as well as all ADME/TK/PK-related data were compiled and analyzed in this SEM. This study did not aim to collect all of the available physico-chemical descriptors due to their high number, but rather focused on those relevant for risk assessment. In compliance with EFSA's "Note for Guidance" document (Silano et al., 2008), we focused on the properties that are considered essential for assessing the risk of PET oligomers, including molecular weight, octanol-water partition coefficient (logP), melting point, and water solubility. All physico-chemical properties recorded can generally be determined either by analytical measurements or by in silico methods and they were extracted, recorded and presented according to the method of determination (Table 6 and Supplementary Material). Molecular weight was not extracted from literature as it is a unique descriptor for every

molecule and its extraction would result in repeated recording of identical information. However, to ensure completeness and to have all essential physico-chemical properties united, molecular weights for all 34 PET oligomers were included in Table 6.

Our analysis of recorded data revealed that logP values and water solubility information were available for nearly all of the PET oligomers and were primarily determined through *in silico* methods. For oligomers with free carboxyl groups, the recorded water solubilities were given as ranges, representing solubilities at different pH values (indicated by an asterisk in Table 6; see Supplementary Material for further information). The identified data showed, that melting points were mostly determined through experimental analytical measurements and not *in silico* predictions. In general, the coverage of the evidence based on all physicochemical properties was satisfactory, with the majority of oligomers having the required information. However, in the absence of experimental information on water solubility and logP, variability in actual values should be considered.

Furthermore, there were a few outliers, such as IPA-containing oligomers, for which almost no data were available. However, their physico-chemical properties can be assumed to be similar to those of the first series of cyclic oligomers. The available data for the first series of cyclic oligomers can be utilized as estimates for the missing information regarding IPA-containing oligomers, although such estimates are inevitably subject to large uncertainties. Estimations can also be made for other oligomers with missing data to complement information for all PET oligomers.

In addition to individual physico-chemical properties, data on ADME-related processes were also identified and extracted (Table 6). Lipinski's rule of five is a widely used concept in pharmacology for evaluating the potential of a substance to be an orally active drug in

#### Table 6

Summary of all identified and recorded ADME/TK/PK related evidence for 34 oligomers from the categories first, second, third, fourth series, and for mixtures of PET oligomers. Analytical data (analyt.) refers to information that includes analytical chemical measurements without testing in a biological environment. Molecular weight was not extracted from literature, but added to the table to have data summarized together. Values for water solubility marked with an asterisk (\*) represent solubility for a range of pH values between 1 and 10.

Number of database entries related to ADME/TK/PK														
Oligomer category name Acronym-based abbreviation				Physi	ico-chemical pr	operties								
		egory name	abbreviation	Molecular weight logP (analyt.) [g/mol]		logP Melting ) (in silico) (analyt.) ["C		Melting point (in silico) [*C]	Water solubility (analyt.) [mg/L]	Water solubility (in silico) [mg/L]	Druglikeness (Lipinski's rule of five)	Absorption related data vitro)	(in Absorption related data (in silico)	Blood concentration (human)
First series	cyclic	monomer	C[TPA+EG]	192.17	No data	1.4 - 1.9	No data	50 -72	No data	386 - 1.3e3	Yes	No data	No data	No data
		dimer	C[TPA+EG]2	384.34	No data	2.9 - 4.2	175 - 229	104	No data	6.0e-3 - 2.7e4	Yes	No data	No data	Not detected
		trimer	C[TPA+EG]3	576.51	No data	3.0 - 5.7	195 - 327	132	No data	6.1e-5 - 4.0e3	Yes and No	No data	Low passive human intestinal	6.50 - 23.29 μg/L (post
			CITDA - E CIA	760.60	No. data	5.6.3.6	225 222	Al	No. door	0.24		No. Jose	absorption	mortem)
		tetramer	C[TPA+EG]4	768.68	No data	5.6 - 7.6	225 - 333	No data	No data	0.24	NO	No data	No data	Not detected
		pentamer	C[TPA+EG]5	960.85	No data	8.4 - 9.6	247 - 362	No data	No data	0.07	NO No	No data	NO data	Not detected
		hentamor		1245.10	No data	0.2 - 11.5	304 - 306	No data	No data	0.05	No	No data	No data	No data
		ostamor	CITRATEGI/	1543.19	No data	15.2	250 - 240 No data	No data	No data	0.1	No	No data	No data	No data
	quelle	dimor LIDA	CITRA+EGI6	204.24	No data	10.5 No dota	No data	No data	No data	U.45 No.data	No data	No data	No data	No data
	cyclic	trimer + IPA	C[TPA+EG]2+[IPA+EG]	576 51	No data	5.4	No data	No data	No data	7.4	No	No data	No data	No data
		tetramer + IPA	C[TPA+EG]3+[IPA+EG]	768.68	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data
		pentamer + IPA	C[TPA+EG]4+[IPA+EG]	960.85	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data
	linear	monomer	L[TPA+EG]	210.19	No data	0.5 - 0.9	170 - 188	187	5.8e3 (20 °C):	3.1 - 1.0e6*	Yes	No data	No data	No data
			-(						2.5e4 (80 °C)					
		dimer	L[TPA+EG]2	402.36	No data	2.1 - 3.5	215 - 220	No data	No data	7.3 - 1.4e5*	Yes	No data	No data	No data
		trimer	L[TPA+EG]3	594.52	No data	2.6 - 4.9	254 -256	No data	No data	1.4e-3 - 1.9e3*	Yes	No data	Low passive human intestinal absorption	No data
	linear	monomer + EG	L[TPA+EG]+EG	254.24	No data	(-0.02) - 1.7	102 -113	69 - 103	1.7e3 (0 °C);	150 - 1.1e4	Yes	Permeation through	Passive transport across	No data
									7.9e5			membrane	membrane and high passive	
									(89.5 °C)				numan intestinal absorption	
		dimer + EG	L[TPA+EG]2+EG	446.41	No data	1.7 - 3.1	118 -173	No data	No data	0.03 - 100	Yes	No data	Low passive human intestinal absorption	No data
		trimer + EG	L[TPA+EG]3+EG	638.58	No data	3.8 - 4.2	199 -205	No data	No data	1.7	Yes	No data	No data	No data
		tetramer + EG	L[TPA+EG]4+EG	830.75	No data	5.5 - 6.5	217 -220	No data	No data	0.06	No	No data	No data	No data
	linear	monomer + TPA	L[TPA+EG]+TPA	358.3	No data	2.9 - 3.4	280 - 304	No data	No data	35 - 5.2e5*	Yes	No data	No data	No data
		dimer + TPA	L[TPA+EG]2+TPA	550.47	No data	4.6 - 5.4	270 - 286	No data	No data	3.9 - 5.5e3*	Yes	No data	No data	No data
-		trimer + TPA	L[TPA+EG]3+TPA	742.64	No data	6.3 - 7.4	268 - 276	No data	No data	8.2e-3 - 120*	No	No data	No data	No data
Second series	cyclic	dimer	C[TPA+EG]+[TPA+DEG]	428.39	No data	2.6 - 3.4	161 - 179	No data	No data	90	Yes	No data	No data	No data
		trimer	C[TPA+EG]2+[TPA+DEG]	620.56	No data	3.9 - 5.3	No data	No data	No data	6.2	No	No data	No data	No data
		tetramer	C[TPA+EG]3+[TPA+DEG]	812.73	No data	6.1 - 7.3	No data	No data	No data	1.1	No	No data	No data	No data
		pentamer	C[TPA+EG]4+[TPA+DEG]	1004.9	No data	1.4	190	No data	No data	No data	No data	No data	No data	No data
		hexamer	C[TPA+EG]5+[TPA+DEG]	1197.07	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+DEG]	428.39	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data
	linear	monomer	L[TPA+DEG]	254.24	No data	1	109 -110	No data	No data	1.2e4 - 9.9e5*	Yes	No data	No data	No data
		dimer	L[TPA+EG]+[TPA+DEG]	446.41	No data	3	No data	No data	No data	120 - 1.7e5*	Yes	No data	No data	No data
	linear	monomer + EG	L[TPA+DEG]+EG	298.29	No data	0.3 - 0.5	No data	No data	No data	1.1e4	Yes	No data	No data	No data
Third series	cyclic	dimer	C[TPA+DEG]2	472.45	No data	2.7 - 3.0	200 -223	No data	No data	250	Yes	No data	No data	No data
		trimer	C[TPA+EG]+[TPA+DEG]2	664.62	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data
Fourth series	cyclic	tetramer	C[TPA+EG]+[TPA+DEG]3	812.73	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data
Mixture of PET	oligom	ers		/	/	/	/	/	/	/	1/	No data	No data	No data

humans. According to this rule, a substance is more likely to be absorbed or permeated, if it meets the following criteria: molecular weight of <500 g/mol, a logP value of <5, less than 10 hydrogen bond acceptors and less than 5 hydrogen bond donors. If a substance violates no more than one of these criteria, a substance is considered to be "drug-like" (Lipinski et al., 2001). This SEM found that data were available for almost all oligomers on the four Lipinski's Rule of Five criteria. According to the available data, drug-likeness is generally observed for cyclic dimers and smaller oligomers, with the exception of C[TPA + EG] 3, which has been reported to be druglike as well as non-druglike. Linear trimers and smaller oligomers are reported to exhibit drug-like characteristics. Based on the available data and following the same trends, drug-likeness of the missing oligomers can be extrapolated, even if this information is currently unknown.

The initial stage in internal (oral) exposure is the absorption process, making it a crucial factor in determining the potential hazards of a substance. In this SEM, absorption data were identified for four oligomers: C[TPA + EG]3, L[TPA + EG]3, L[TPA + EG]2 + EG, and L[TPA + EG] + EG. In silico predictions estimated low passive intestinal absorption for C[TPA + EG]3, L[TPA + EG]3, and L[TPA + EG]2 + EG, while in vitro testing and in silico predictions estimated high passive intestinal absorption and passive transport across membranes for L[TPA + EG] +EG. A study investigating oligomer levels in human blood found that C [TPA + EG]2, C[TPA + EG]4, and C[TPA + EG]5 could not be detected under the analytical methods used. On the other hand C[TPA + EG]3was detected and quantified (6.50 - 23.29 µg/L), despite being predicted to have low passive intestinal absorption (Table 6). This, as discussed in the previous section, suggests that C[TPA + EG]3 is not fully hydrolyzed after ingestion and that it can be absorbed in significant amounts as an intact molecule. However, this study was conducted post-mortem and may not fully reflect concentrations in living humans. Although some data is present, the current evidence is very limited and primarily based on in silico predictions that have not yet been validated in vitro or in vivo. Potential differences between in silico predictions and in vivo results were already highlighted by C[TPA + EG]3, which appears to behave differently in vivo than predicted in silico. As a result, the absorption potential of PET oligomers remains uncertain. There are no clear trends or conclusions that can be drawn about the absorption properties for all PET oligomers, making it a significant knowledge gap for risk assessment activities that requires further research.

In addition, PET oligomers are generally hydrophobic in nature, with increased logP values. Typically, substances with an octanol–water partition coefficient greater than three may have the potential to accumulate in the mammalian body (Silano et al., 2008). If accumulation occurs in tissues, the exposure levels change and need to be evaluated. An accumulation of C[TPA + EG]3 could, for example, be the reason why it was detected in rather high amounts in blood, even though it might indeed be only poorly absorbed. These results emphasize the need for a more comprehensive understanding of the absorption properties of PET oligomers and of the processes occurring after absorption such as the potential for accumulation, metabolism and excretion. Future research should consider *in vitro* and *in vivo* experiments to evaluate ADME/TK/PK-related processes.

## 3.6. Health and bioactivity-related evidence

Health and bioactivity-related outcomes for PET oligomers are vital for evaluating the safety and potential risks associated with these substances. Identification of toxicological properties can aid in determining the hazards of PET oligomers and inform future regulatory decisions. The knowledge and identified knowledge gaps will help researchers, regulators, and other stakeholders understand the potential risks, the uncertainties involved and the key research needs associated with PET oligomers.

As illustrated in Fig. 1, there was only a very limited amount of literature available on the bioactivity and potential health effects of PET

oligomers. Analysis of the available data revealed that no information is available on PET oligomer and mixtures. *In silico* toxicological predictions of protein and DNA binding, mutagenicity, carcinogenicity, and genotoxicity were available for only 14 individual oligomers (Table 7). The predictions did not indicate any concerns related to all of these endpoints. However, none of the *in silico* results have yet been confirmed through *in vitro* testing, although *in silico* models for these toxicological endpoints are often reliable predictors and further *in vitro* testing may not be necessary. Nevertheless, it may be beneficial to consider *in vitro* testing on a small representative subset of PET oligomers to confirm the absence of mutagenicity and genotoxicity.

Besides the recorded in silico predictions, only very limited data is available on potential health effects or bioactivity of PET oligomers from other evidence streams. The only oligomer for which in vitro data on activity related to the androgen receptor (AR) and cytotoxicity was found, is L[TPA + EG] + EG (Table 7). However, this lack of information on bioactivity and health outcomes was anticipated, so more general data on organisms and ecotoxicological endpoints were also recorded. Although these endpoints may not be directly relevant for human risk assessment, they may still be useful for guiding and prioritizing the study of PET oligomers of interest. Unfortunately, even in this case, only one oligomer, L[TPA + EG] + EG, had any available information. None of the tests conducted for this substance - the bacterial minimum inhibitory concentration (MIC) assay, Aliivibrio fischeri toxicity test, and Caenorhabditis elegans survival assay - showed any activity of concern. When considering the available data so far, no adverse effects are known from PET oligomer substances. However, due to the extremely limited data available, no conclusions can be drawn and harmful effects cannot be ruled out by any means. The most significant and important knowledge gap in this SEM was therefore found to be in the area of toxicology. The investigation of potential adverse effects is crucial to determine if PET oligomers pose a risk to consumers after exposure. Currently, there is no standard way to assess their toxicological risk, and the applicability of the TTC thresholds for PET oligomers is uncertain. To perform a hazard assessment, the development of a comprehensive tiered approach is recommended, starting with in vitro tests and proceeding based on the results. A first evaluation could for example include toxicological endpoints such as cytotoxicity, genotoxicity, and endocrine disruption. This may also include the evaluation of PET oligomer to investigate possible synergistic, antagonistic, or additive effects. PET oligomers occur in foods and beverages as mixtures, and studying the effects of mixtures is essential for a comprehensive understanding of the potential risks associated with these substances.

## 4. Summary and conclusions

The purpose of this SEM was to identify and analyze the available evidence on hazard- and exposure-related data of 34 oligomers derived from PET in the context of FCMs. The overall goal was to provide valuable information for chemical risk assessment and to identify knowledge gaps to be addressed in future research activities. A total of 10'041 records were retrieved from bibliographic databases and gray literature searches, with 96 records meeting the eligibility criteria for this SEM. The analysis of the overall evidence revealed that migration and extraction of PET oligomers from FCMs has been widely studied, but the reported data were very heterogeneous, making it difficult to compare it and draw general conclusions. More systematic approaches and evaluations of migration would greatly benefit the estimation of exposure to PET oligomers and help prioritize which oligomers require further evaluation. In addition, the internal (oral) exposure pathways have not yet been elucidated. This SEM identified knowledge gaps and a lack of information regarding ADME-related aspects as well as an investigation of the potential for PET oligomers to accumulate in tissues might be considered.

This SEM revealed that the assumption of complete hydrolysis of PET oligomers to its respective monomers may not be applicable and cannot

Summary of all identified and recorded health and bioactivity related evidence for 34 oligomers from the categories first, second, third, fourth series, and for mixtures of PET oligomers. Analytical data (analyt.) refers to information that includes analytical chemical measurements without testing in a biological environment. Molecular weight was not extracted from literature, but added to the table to have data summarized together. Abbreviations: androgen receptor (AR), chromosomal aberration (CA), micronucleus test (MNT), minimum inhibitory concentration (MIC).

				Number of database entries related to health/bioactivity														
Oligomer category name Acronym- abbrevi:		Acronym-based	In	vitro					Organisms									
		egory name	abbreviation	Androgen related	Cytotoxicity	DNA binding	DNA alerts for AMES	DNA alerts for CA and MNT	Protein binding	In vitro mutagenicity (AMES test)	In vivo mutagenicity (micronucleus)	Carcinogenicity	Bacterial MIC assay	Aliivibrio fischeri toxicity test	Caenorhabditis elegans survival assay			
First series	cyclic	monomer	C[TPA+EG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		dimer	C[TPA+EG]2	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		trimer	C[TPA+EG]3	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		tetramer	C[TPA+EG]4	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		pentamer	C[TPA+EG]5	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		hexamer	C[TPA+EG]6	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		heptamer	C[TPA+EG]7	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		octamer	C[TPA+EG]8	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+EG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		trimer + IPA	C[TPA+EG]2+[IPA+EG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		tetramer + IPA	C[TPA+EG]3+[IPA+EG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		pentamer + IPA	C[TPA+EG]4+[IPA+EG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
	linear	monomer	L[TPA+EG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		dimer	L[TPA+EG]2	No data	No data	No data	No data	No data	No data	No alert	No data	No data	No data	No data	No data			
		trimer	L[TPA+EG]3	No data	No data	No data	No data	No data	No data	No alert	No data	No data	No data	No data	No data			
	linear	monomer + EG	L[TPA+EG]+EG	Androgen	Cytotoxicity	No data	No data	No data	No data	No data	No data	No data	Antimicrobial	Nonspecific toxicity	Survival related			
				related (no	related (30%								related (no	related (very low	(no effect)			
				agonistic or	decrease in								effect)	inhibition of				
				antagonistic	MRC-5 cell									bioluminescence with				
				effect on AR)	proliferation at									maximum of ca. 30% at				
					200 µg/mL)									125 μg/mL)				
		dimer + EG	L[TPA+EG]2+EG	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		trimer + EG	LITPA+EG13+EG	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		tetramer + EG	L[TPA+EG]4+EG	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
	linear	monomer + TPA	L[TPA+EG]+TPA	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		dimer + TPA	LITPA+EG12+TPA	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		trimer + TPA	LITPA+EGI3+TPA	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
Second series	cyclic	dimer	CITPA+EGI+ITPA+DEGI	No data	No data	No alert	No alert	No alert	Aculation	No alert	3-H-acceptor	No alert	No data	No data	No data			
	.,	trimer	C[TPA+EG]2+[TPA+DEG]	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		tetramer	C[TPA+EG]3+[TPA+DEG]	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		pentamer	C[TPA+EG]4+[TPA+DEG]	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		hexamer	C[TPA+EG]5+[TPA+DEG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
	cyclic	dimer + IPA	C[TPA+EG]+[IPA+DEG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
	linear	monomer	L[TPA+DEG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
		dimer	L[TPA+EG]+[TPA+DEG]	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
	linear	monomer + EG	L[TPA+DEG]+EG	No data	No data	No data	No data	No data	No data	No alert	No data	No data	No data	No data	No data			
Third series	cyclic	dimer	C[TPA+DEG]2	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
		trimer	C[TPA+EG]+[TPA+DEG]2	No data	No data	No alert	No alert	No alert	Acylation	No alert	3-H-acceptor	No alert	No data	No data	No data			
Fourth series	cyclic	tetramer	C[TPA+EG]+[TPA+DEG]3	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			
Mixture of PET	oligom	ers		No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data	No data			

serve as a rationale to omit the risk assessment of oligomers. The conventional assumption of complete hydrolysis of PET oligomers and thus their risk assessment via their respective monomers therefore remains questionable. Further experimental evidence on hydrolysis and underlying kinetics is needed.

Our SEM highlighted a noticeable gap in toxicological investigation as one of the key areas with a significant deficiency in knowledge. With the current state of knowledge, no hazard assessment can be performed. Therefore, the development of a tiered approach including *in vitro* toxicological testing is highly advisable. Additionally, it is recommended that further *in vitro* and/or *in vivo* testing be conducted to confirm *in silico* predictions and to gain a more comprehensive understanding of the potential health effects of PET oligomers. Importantly, to enable *in vitro* testing, the current limitation that only very few pure PET oligomers are commercially available needs to be overcome (Alberto Lopes et al., Alberto Lopes and Tsochatzis, 2023). Additionally, test strategies for the investigation of mixture effects should be developed. For such studies, mixtures of defined composition and reflecting a realistic exposure scenario should be made available.

In summary, this SEM provides a comprehensive overview of the current knowledge on hazard and exposure information for these specific PET oligomers and can serve as a valuable resource for future research and chemical risk assessment activities. However, it is clear that there are significant knowledge gaps in the areas of ADME/TK/PK, hydrolysis, and health effects, and further research is needed to fill these gaps. The study highlights the importance of a standardization of the experimental conditions and analytical techniques in order to facilitate the comparison and analysis of data. A comprehensive evaluation of PET oligomers requires a multidisciplinary and systematic approach to gain a thorough understanding of the entire group of PET oligomers. Filling the recognized knowledge gaps is crucial in accurately assessing the actual

risk posed by PET oligomers, allowing for informed regulatory decisions to be made.

## CRediT authorship contribution statement

Verena N. Schreier: Conceptualization, Validation, Visualization, Investigation, Formal analysis, Writing – original draft, Project administration. Emre Çörek: Validation, Formal analysis, Writing – review & editing. Christian Appenzeller-Herzog: Investigation, Data curation, Writing – review & editing. Beat J. Brüschweiler: Writing – review & editing. Birgit Geueke: Visualization, Writing – review & editing. Martin F. Wilks: Writing – review & editing. Benoit Schilter: . Jane Muncke: Writing – review & editing. Thomas J. Simat: Writing – review & editing. Martin Smieško: Writing – review & editing. Nicolas Roth: Writing – review & editing. Alex Odermatt: Writing – review & editing, Funding acquisition, Supervision.

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#### Data availability

No data was used for the research described in the article.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.envint.2023.107978.

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