Superconducting diode effect due to magnetochiral anisotropy in topological insulators and Rashba nanowires

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The critical current of a superconductor can depend on the direction of current flow due to magnetochiral anisotropy when both inversion and time-reversal symmetry are broken, an effect known as the superconducting (SC) diode effect. Here, we consider one-dimensional (1D) systems in which superconductivity is induced via the proximity effect. In both topological insulator and Rashba nanowires, the SC diode effect due to a magnetic field applied along the spin-polarization axis and perpendicular to the nanowire provides a measure of inversion symmetry breaking in the presence of a superconductor. Furthermore, a strong dependence of the SC diode effect on an additional component of magnetic field applied parallel to the nanowire as well as on the position of the chemical potential can be used to detect that a device is in the region of parameter space where the phase transition to topological superconductivity is expected to arise.

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I. INTRODUCTION

Rectification is the phenomenon by which the resistance R due to a current I depends on the direction of current flow, in other words $R(+I) \neq R(-I)$. When both inversion and timereversal symmetry are broken in a material or device, an effect known as magnetochiral anisotropy (MCA) can occur [1–9]. In the normal state of diffusive systems, for example, MCA of the energy spectrum can result in a correction to Ohm's law at second order in current, such that $V = IR_0(1 + \gamma BI)$, with V the applied voltage, R_0 the reciprocal resistance, B the magnetic field strength, and γ the MCA rectification coefficient [4]. Recently, a giant MCA rectification with very large γ was observed in the normal state of topological insulator (TI) nanowires [9].

It has been shown that normal state rectification due to MCA can have a counterpart in the superconducting (SC) phase [10–12]. Namely, when a current flows in a superconductor where both time-reversal and inversion symmetry are broken, the critical current density at which breakdown of superconductivity occurs can be nonreciprocal too, such that $j_c^+ \neq |j_c^-|$ [11–21], where \pm indicates the direction of current flow and relative sign of critical current (see Fig. 1). This is known as the *SC diode* effect since, e.g., a current density $|j_c^-| < |j| < j_c^+$ experiences zero-resistance in only one direction and is in the normal state for flow in the opposite direction [11,12]. It has been argued that the SC diode effect is robust against the influence of disorder [22].

Superconductors with broken inversion symmetry also play a key role in the search for topological superconductivity and associated Majorana bound states (MBSs) [23–29]. The main experimental focus to achieve topological superconductivity has been on engineering hybrid platforms in which the superconducting pairing potential is induced in a material with the desired properties by bringing it into proximity with a trivial superconductor such as Al or Nb [26]. Extensive efforts have been made to achieve topological superconductivity in one-dimensional (1D) superconductor-semiconductor nanowire devices made from materials where broken inversion symmetry is manifested as strong Rashba spin-orbit interaction (SOI), for instance in InAs and InSb [24–27,29]. Another platform predicted to realize MBSs that has seen much progress recently are thin TI nanowires with quantum confined surface states [30–42]. In TI nanowires inversion symmetry can be broken by a nonuniform potential—e.g., due to gating or contact with a superconductor—and enables MBSs to appear in a large region of phase space [9,28,43].



FIG. 1. SC diode effect due to magnetochiral anisotropy in nanowire devices. When the subbands of a nanowire possess a finite spin polarization due to broken inversion symmetry, a magnetic field applied along the spin-polarization direction results in a relative Zeeman shift of the subbands. The magnetochiral anisotropy (MCA) of the energy spectrum can lead to MCA rectification in the diffusive normal state [9]. On the other hand, if a nanowire is brought into proximity with a superconductor, the MCA of the energy spectrum results in a critical supercurrent in the proximitized nanowire that is different depending on whether current flows to the left or right of the device, $j_c^+ \neq |j_c^-|$, the SC diode effect. The dependence of this diode effect on an additional magnetic field component parallel to the nanowire can be used to detect that the nanowire is in parameter regime where topological superconductivity is expected.

Despite extensive efforts to achieve MBSs in Rashba nanowires, there has been no conclusive observation so far [27] and key ingredients, such as the size of the SOI energy (E_{so}) in the presence of the superconducting shell, the position of the chemical potential, or the values of the g factor, remain largely undetermined. In fact, it has been shown that coupling a Rashba nanowire to a superconductor can renormalize its properties away from the desirable parameter range such that, for instance, E_{so} is reduced [44–49]. In contrast to Rashba nanowires, the metallization effect due to bringing a TI nanowire into proximity with a superconductor can either enhance or reduce the size of subband-splitting that is key to achieving MBSs [43]. However, although the giant MCA rectification recently observed in TI nanowires is evidence of a large subband-splitting in the normal state [9], strongly broken inversion symmetry and the presence of a topological phase transition in superconductor-TI nanowire devices also remain undetermined experimentally.

Here we show that MCA of the energy spectrum of a TI or Rashba nanowire results in an SC diode effect when an SC pairing potential is induced by bringing the nanowire into proximity with a superconductor. The magnitude of the SC diode effect provides a measure of inversion symmetry breaking in the nanowire when the superconductor is present. Furthermore, a strong dependence of the SC diode effect on an additional magnetic field component parallel to the nanowire axis can be used as an indicator that the system parameters are close to ones at which topological superconductivity and associated MBSs are expected.

II. THEORY OF SC DIODE EFFECTS IN NANOWIRES

We consider quasi-1D nanowire systems with a single occupied band, for which a normal state Hamiltonian is given by

$$h_k = \xi_k + \sigma_x \alpha_k + \Delta_x \sigma_x + \Delta_z \sigma_z. \tag{1}$$

Here, *k* is momentum along the nanowire and the Pauli matrices σ_i act in spin space, ξ_k corresponds to the dispersion relation that respects inversion symmetry ($\xi_k = \xi_{-k}$), and α_k corresponds to the inversion symmetry breaking term with $\alpha_k = -\alpha_{-k}$. The last terms correspond to the effective Zeeman splitting with energy $\Delta_x = g_x B_x$ and $\Delta_z = g_z B_z$ due to a magnetic field $\mathbf{B} = (B_x, 0, B_z)$ with *g* factors g_x and g_z . For simplicity, throughout this paper, the nanowire axis is along the *z* direction, while the direction of spin polarization due to inversion symmetry breaking is along the *x* direction.

Recently it has been shown that the SC diode effect can be described phenomenologically using a finite pairingmomentum [16–18] and we will follow a similar formulation here. While some aspects may not be captured by this treatment, these theories of the SC diode effect are sufficient to understand the behavior expected in the nanowire systems considered here. An effective pairing potential Δ in a nanowire is induced via proximity effect with a bulk superconductor. In the presence of the supercurrent, the Cooper pair has a finite momentum q, and the effective Hamiltonian reads

$$H(q,\Delta) = \frac{1}{2} \sum_{k} \boldsymbol{\psi}_{k}^{\dagger} \begin{pmatrix} h_{k+\frac{q}{2}} & -i\sigma_{y}\Delta\\ i\sigma_{y}\Delta & -h_{-k+\frac{q}{2}}^{*} \end{pmatrix} \boldsymbol{\psi}_{k}.$$
 (2)

Here, we work in the basis $\boldsymbol{\psi}_{k}^{\dagger} = (c_{k+\frac{q}{2}\uparrow}^{\dagger}, c_{k+\frac{q}{2}\downarrow}^{\dagger}, c_{-k+\frac{q}{2}\uparrow}^{\dagger}, c_{-k+\frac{q}{2}\uparrow}^{\dagger}, c_{-k+\frac{q}{2}\uparrow}^{\dagger}, c_{-k+\frac{q}{2}\downarrow}^{\dagger}, c_{-k+\frac{$

$$H(q,\Delta) = \sum_{k,\eta=\pm} \left(E_{\eta,k,q} \gamma_{\eta,k,q}^{\dagger} \gamma_{\eta,k,q} - \frac{1}{2} E_{\eta,k,q} \right), \quad (3)$$

where the index η labels two branches of the spectrum with positive energies $E_{\eta,k,q}$. If $\Delta_z = 0$, spin is a good quantum number and we get an explicit solution

$$E_{\pm,k,q} = |\sqrt{\Delta^2 + (\delta\alpha \pm \bar{\xi})^2 \pm (\bar{\alpha} + \Delta_x) + \delta\xi}|, \quad (4)$$

where we have defined $\bar{\xi} = (\xi_{k+\frac{q}{2}} + \xi_{-k+\frac{q}{2}})/2$, $\delta \xi = (\xi_{k+\frac{q}{2}} - \xi_{-k+\frac{q}{2}})/2$, $\bar{\alpha} = (\alpha_{k+\frac{q}{2}} + \alpha_{-k+\frac{q}{2}})/2$, and $\delta \alpha = (\alpha_{k+\frac{q}{2}} - \alpha_{-k+\frac{q}{2}})/2$.

In order to obtain the current we start with the condensation energy density $F(\Delta, q) = \Omega(\Delta, q) - \Omega(0, q)$, which is defined via free energy density [17,50]

$$\Omega(\Delta, q) = -\frac{1}{L} \sum_{k,\eta} \frac{1}{\beta} \ln \left[\cosh(\beta E_{\eta,k,q}/2) \right], \qquad (5)$$

where *L* is the length of the nanowire, and $\beta = (k_B T)^{-1}$ with k_B the Boltzmann constant and *T* temperature. Introducing the vector potential *A* allows us to calculate the uniform supercurrent density along the nanowire such that [18]

$$j(q) = -\frac{\partial F(\Delta, q - 2eA_x)}{\partial A_x} \bigg|_{A_x=0} = 2e\partial_q F(\Delta, q)$$
$$= -\frac{e}{L} \sum_{k,\eta} \tanh[\beta E_{\eta,k,q}(\Delta)/2]\partial_q E_{\eta,k,q}(\Delta)$$
$$+ \frac{e}{L} \sum_{k,\eta} \tanh[\beta E_{\eta,k,q}(\Delta = 0)/2]\partial_q E_{\eta,k,q}(\Delta = 0), (6)$$

where e < 0 is the charge of the electron and the sign of j(q)indicates the direction of current flow. Since we consider here systems where the pairing potential is induced by proximity to a superconductor, we make the simplifying approximation that the induced pairing Δ is a constant in the free energy. In principle the free energy of the full hybrid system, including parent superconductor should be self-consistently calculated. However, since the density of states of the superconductor is considerably larger than that of either the Rashba nanowire or TI nanowire, the back-action of the nanowire on the parent superconductor can be safely neglected [43,46], which justifies the assumption that the induced pairing potential Δ is a constant and much smaller than the bulk superconducting gap. Furthermore, we find that the exact size of Δ actually has a limited impact on our results (see Appendix C) and so to maintain clarity throughout we also do not model the reduction in Δ due to a magnetic field.

At zero temperature, for $\Delta_z = 0$, the behavior of j(q) can be read off directly from Eq. (6). For all pairing momenta where the energy spectrum remains gapped, we have $\tanh(\beta E_{\eta,k,q}/2) = 1$ and the current depends approximately linearly on q, such that $j(q) \approx j_0 q$, with j_0 a constant. Numerically we find this approximation to be extremely accurate



FIG. 2. Finite pairing-momentum SC energy spectra of a TI *nanowire at* $\mu = \mu_c$. Spectra become gapless above a positive (q_+) or below a negative (q_{-}) critical momentum (right and left panels, respectively). (a) A magnetic field applied parallel to the spinpolarization axis ($\Delta_x \neq 0$) results in an MCA such that $q_+ \neq |q_-|$. Note that the interior gap (red) closes for q_{-} , whereas the exterior gap (blue) closes for q_+ . (b) In contrast, for sufficiently large Δ_z , due to modification of the interior gap, the critical momenta q_+ and q_- , at which the system becomes fully gapless, both correspond to the closing of the exterior gap and the SC diode effect is substantially altered. Parameters for all plots: $\hbar v = 300 \text{ meV} \text{ nm} \approx 5 \times 10^5 \text{ }\hbar\text{m/s}, R =$ 15 nm, $\delta \varepsilon = \hbar v/R = 20$ meV, $\Delta_x = 0.025$ meV, $\delta \mu_{2\ell} = -0.2\delta \varepsilon$, and $\Delta = 0.2$ meV [9,40]. Plot specific parameters: (a) $\Delta_z = 0$, $q_{-} = -0.00144 \text{ nm}^{-1}$, and $q_{+} = 0.00155 \text{ nm}^{-1}$. (b) $\Delta_{z} = 0.4$, $q_{-} =$ $-0.001975 \text{ nm}^{-1}$, and $q_{+} = 0.00155 \text{ nm}^{-1}$. (See also equivalent spectra for a Rashba nanowire, shown in Appendix A.)

for realistic nanowire parameters. However, the spectrum becomes fully gapless for any q above the positive (q_+) or below the negative (q_-) critical pairing momentum [see Fig. 2(a)] meaning that $tanh(\beta E_{\eta,k,q}/2) = 0$ at certain values of momentum k, as a result j(q) deviates from the linear behavior, which indicates the breakdown of superconducting phase at this momentum [16–18].

Turning now to the SC diode effect, if $\Delta_x = 0$, due to the time-reversal symmetry, there is no MCA of the energy spectrum and the critical momenta satisfy $q_+ = |q_-|$, as such the critical currents are also reciprocal, $j_c^+ = |j_c^-|$. If $|\Delta_x| > 0$, it is possible to get $q_+ \neq |q_-|$ such that the critical current becomes nonreciprocal, $j_c^+ \neq |j_c^-|$, with an SC diode quality factor at zero temperature,

$$\frac{\Delta j_c}{\bar{j}_c} \equiv \frac{j_c^+ - |j_c^-|}{(j_c^+ + |j_c^-|)/2} = \frac{q_+ - |q_-|}{(q_+ + |q_-|)/2}.$$
(7)

Furthermore, in the vicinity of the subband crossing point, an additional component of magnetic field parallel to the nanowire, $|\Delta_z| > 0$, modifies the interior gap and, as a result, the critical momenta q_+ and q_- are dependent on Δ_z . More precisely we use q_+ and q_- to refer to the momenta at which superconductivity in the nanowire becomes fully gapless for all q greater (smaller) than q_+ (q_-), indicating the complete breakdown of any superconductivity in the nanowire. Due to the modification of the interior gap by Δ_z within the vicinity of the subband crossing, for sufficiently large Δ_z , both q_+ and q_- always correspond to a closing of the exterior gap [see Fig. 2(b)], which can be expected to substantially modify the SC diode effect in this region of phase space. As such, the dependence of the SC diode effect on Δ_z can serve as a signature of the modification of the interior gap by a component of magnetic field parallel to the nanowire and, therefore, can be used to determine that a device is close to the region of parameter space where topological superconductivity is expected, although we emphasise that there is not a simple exact relationship between SC diode dependence on Δ_z and the transition to the topological phase.

III. SC DIODE EFFECT IN TOPOLOGICAL INSULATOR NANOWIRES

We consider TI nanowires as a first example for the SC diode effect behavior described above. In a TI nanowire of radius *R* with a nonuniform potential of the form $\mu(\phi) = \mu_0 + 2\sum_{n>0} \cos(n\phi)\delta\mu_n$ induced through the cross section—for example, by the application of a gate or by contact with a superconductor [28,43]—the normal state Hamiltonian can be approximated by a form equivalent to Eq. (1) such that [28]

$$\xi_k = \hbar v \sqrt{k^2 + \frac{\ell^2}{R^2}} - \mu_0 \text{ and } \alpha_k = \frac{\delta \mu_{2\ell} k}{\sqrt{k^2 + \ell^2/R^2}},$$
 (8)

where μ_0 is the average chemical potential and l is a halfinteger. In what follows, we consider the lowest subband pair with crossing point at chemical potential $\mu_c = \delta \varepsilon/2 = \hbar v/2R$.

In order to investigate the SC diode behavior of TI nanowires, we numerically calculate the free energy at finite temperature, as described in Eq. (5), and, using Eq. (6), obtain the maxima (j_c^+) and minima (j_c^+) of j(q), which corresponds to the extremal supercurrent after which the superconductivity in the nanowire becomes fully gapless [16–18]. In the topological transition regime an accidental gap closing can also occur at the supercurrent induced transition from trivial to topological superconductivity [51-55], however, we focus on the maximal supercurrent the proximitized nanowire can maintain. As expected from the preceding discussion and Fig. 2(a), we find that the MCA of the energy spectrum results in an SC diode effect when superconductivity is induced via the proximity effect and a magnetic field is applied parallel to the spin-polarization axis ($\Delta_x \neq 0$) [see Figs. 3(a) and 3(b)]. For realistic parameters of experimental devices [9,40], we find that the SC diode quality factor is proportional to the size of the subband splitting induced by a nonuniform chemical potential, $\delta \mu_{2\ell}$, and can easily reach ~15% difference in critical currents. The observation of an SC diode effect of this magnitude would therefore be a strong indicator of the large subband splitting possible in a proximitized TI nanowire device.

Next, as shown in Figs. 3(c) and 3(d), we consider the impact of an additional magnetic field component parallel to the nanowire axis $\Delta_z \neq 0$. In TI nanowires, orbital effects lead to a very large g_z for the effective g factor along the nanowire axis, which can be useful for achieving topological superconductivity, when the chemical potential lies close to the subband crossing at $\mu_0 = \mu_c$ [28]. The additional Zeeman component Δ_z drastically alters the SC diode effect in the vicinity of the subband crossing point close to $\mu_0 = \mu_c$. However, away from $\mu_0 = \mu_c$ the SC diode quality factor remains largely unchanged as a result of finite Δ_z . In particular, we



FIG. 3. SC diode effect in superconducting TI nanowires. (a) Supercurrent as a function of pair momentum, j(q), at the subband crossing point $\mu_0 = \mu_c$. The maximum (minimum) of j(q) correspond to the extremal supercurrents j_c^+ (j_c^-). For $\Delta_x = 0$ (gray), the critical current j_c^0 is reciprocal, i.e., $j_c^+ = |j_c^-|$; however, in the presence of broken inversion symmetry at finite Zeeman energy Δ_x , the extremal supercurrent becomes nonreciprocal, i.e., $j_c^+ \neq |j_c^-|$. The nonreciprocity is further modified by Δ_z . (b) The SC diode quality factor $\Delta j_c/\bar{j}_c$ as a function of chemical potential for finite Δ_x and $\Delta_z = 0$. The maximal SC diode quality factor is achieved close to $\mu_0 = \mu_c$. The SC diode quality factor is proportional to the nonuniformity of chemical potential $\mu_{2\ell}$, which governs the size of the subband splitting. (c) When, in addition $\Delta_z \neq 0$, close to the subband crossing at $\mu_0 \approx \mu_c$, the quality factor is diminished. This can be used to ascertain the location in parameter space where a topological phase transition is expected to occur. (d) The dependence of the quality factor on Zeeman energy Δ_z for various chemical potentials close to $\mu_0 = \mu_c$. A strong dependence on Δ_z indicates that μ is close to the subband crossing. Parameters: $\hbar v = 300$ meV nm $\approx 5 \times 10^5$ hm/s, R = 15 nm, $\delta \varepsilon = \hbar v/R = 20$ meV, $\beta^{-1} = 0.05$ meV/k_B ≈ 0.5 K, $\delta \mu_{2\ell} = -0.75\delta\varepsilon$, and $\Delta = 0.4$ meV.

find that a large reduction in the SC diode quality factor $\Delta j/\bar{j}_c$ indicates the modification of the interior gap. The dependence of the SC diode quality factor can therefore be used to ascertain that the nanowire is in the region of phase space, where topological superconductivity is expected.

close to the phase transition to topological superconductivity before becoming largely independent of Δ_z , see Fig. 4(b).

V. DISCUSSION

IV. SC DIODE EFFECT IN RASHBA NANOWIRES

Next, we consider the SC diode effect in Rashba nanowires. In this case, the elements of the Hamiltonian in Eq. (1) take the form

$$\xi_k = \frac{\hbar^2 k^2}{2m^*(k)} - \mu \quad \text{and} \quad \alpha_k = \alpha k, \tag{9}$$

where $m^*(k)$ is the (momentum-dependent) effective mass and α is the Rashba SOI coefficient. If $\Delta_z = 0$ and the effective mass $m^*(k) = m_0^*$ is constant, no MCA rectification or SC diode effect occurs because all dependence on the SOI strength, α , as in Eq. (B1), can be removed via the spin-dependent gauge transformation [56]. However, this gauge transformation is not possible if $\Delta_z \neq 0$ and/or momentum dependence of the mass is included (e.g., $\frac{\hbar^2}{2m^*(k)} \approx \frac{\hbar^2}{2m_0^*} + Ck^2$, with C a leading order mass correction). In these cases, MCA of the energy spectrum leads to a both MCA rectification in the diffusive normal state and an SC diode effect, which are proportional to the Rashba SOI strength (see Appendix B). A cubic spin-orbit term, such as $\alpha_k = \beta k^3 \sigma_x$, would also lead to a SC diode effect.

The combination of Zeeman terms $\Delta_x \neq 0$ and $\Delta_z \neq 0$ results in a finite SC diode quality factor in the vicinity of the subband crossing point, see Fig. 4. (The situation for $C \neq 0$ is considered in the Appendix B.) In particular, for small Δ_z , we find that the magnitude of the SC diode quality factor $\Delta j/\bar{j}_c$ is largest at the subband crossing $\mu = 0$. In the vicinity of the subband crossing, at large Δ_z and E_{so} , $\Delta j/\bar{j}_c$ changes sign We have shown that magnetochiral anisotropy of the energy spectrum in TI nanowires or Rashba nanowires results in an SC diode effect when a pairing potential is induced via proximity with a superconductor. The size of the SC diode effect can provide a measure of the magnitude of inversion symmetry breaking in the presence of superconductivity. This



FIG. 4. SC diode effect in superconducting Rashba nanowires. (a) The SC diode effect quality factor $\Delta j_c/\bar{j}_c$ as a function of chemical potential μ for various Δ_z : A large change in quality factor due to Δ_z can be used as an indicator the system is close to the subband crossing and therefore in the parameter regime where topological superconductivity is expected. (b) Quality factor $\Delta j_c/\bar{j}_c$ as a function of magnetic field for various μ . Close to the subband crossing at $\mu = 0$, a strong dependence on Δ_z develops. In contrast, for μ detuned from this crossing there is only a very weak dependence on Δ_z . Parameters are broadly those expected in the normal state [26]: $m_0^* = 0.015 m_e$, $\beta^{-1} = 0.05 \text{ meV/k}_B \approx 0.5 \text{ K}$, $\alpha = 1 \text{ eV}$ Å, $E_{so} = \frac{\alpha^2 m_0^2}{2\hbar^2} \approx 1 \text{ meV}$, C = 0, $\Delta_x = 0.025 \text{ meV}$, and $\Delta = 0.4 \text{ meV}$.



FIG. 5. Finite pairing-momentum SC energy spectra of a Rashba *nanowire.* Spectra at the subband crossing point ($\mu = 0$) become fully gapless above a positive (q_+) or below a negative (q_-) finite critical momentum (right and left panels, respectively). These critical momenta correspond to the complete breakdown of the SC state. (a) For the Rashba nanowire, when there is no component of magnetic field along the nanowire, $\Delta_z = 0$, and the effective mass is independent of momentum, $m^*(k) = m_0^*$, then all dependence on the SOI strength α can be removed via the spin-dependent gauge transformation [56] and as a result the critical momentum $q_{+} = |q_{-}|$ even in the presence of a finite Δ_x . (b) In contrast, for a finite Δ_z , due to the modification of the interior gap, the critical momenta $q_+ \neq |q_-|$ and an SC diode effect occurs. In particular, in the topological phase the momenta q_+ and q_- correspond to the closing of the exterior gap. Parameters for all plots: Same as Fig. 4 of main text. Plot specific parameters: (a) $\Delta_z = 0, q_- = -0.00372 \text{ nm}^{-1}$, and $q_+ = 0.00372 \text{ nm}^{-1}$. (b) $\Delta_z = 0.6 \text{ meV}$, $q_- = -0.00367 \text{ nm}^{-1}$, and $q_+ = 0.00417 \text{ nm}^{-1}.$

could be complemented by measurements of MCA rectification of the normal state above the critical temperature of the parent superconductor [9,12]. Finally, a strong dependence of the SC diode quality factor on the magnetic field component parallel to the nanowire can be used as an indicator that the system is in the region of phase space near to where topological superconductivity and associated MBSs should occur.

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APPENDIX A: FINITE PAIRING-MOMENTUM SC ENERGY SPECTRA OF A RASHBA NANOWIRE

As discussed in the main text, the critical current is related to the finite pairing momenta q where the system becomes fully gapless. Namely this occurs above a positive q_+ or below a negative q_- critical momentum. If the magnitude of these momenta differ, then it can be expected that an SC diode effect will occur. In Fig. 5, we show the critical momenta for the Rashba nanowire, equivalent to Fig. 1 of the main text for TI nanowires. In contrast to TI nanowires, however,



FIG. 6. SC diode effect in superconducting Rashba nanowires with momentum-dependent mass. (a) The SC diode effect quality factor $\Delta j_c/\bar{j_c}$ as a function of chemical potential μ (scaled by the SOI energy E_{so}) for various Δ_z : Due to $C \neq 0$ there is a finite SC diode effect already for $\Delta_z = 0$. However, for finite Δ_z , the quality factor evolves in a similar manner to that found in Fig. 4(a) of the main text where C = 0. (b) The SC diode effect quality factor $\Delta j_c/\bar{j_c}$ as a function of magnetic field for various chemical potentials μ . Apart from a finite value at $\Delta_z = 0$, the behavior of the SC diode quality factor is similar to that found in Fig. 4(b) of the main text. Parameters same as Fig. 4 of main text, but with C = 20000 meV nm⁴ such that there is a ~1% difference in effective mass, $m^*(k)$, at k = 0 and the SOI momentum $k = k_{so}$.

when there is no component of magnetic field along the nanowire, $\Delta_z = 0$, and the effective mass is independent of momentum, $m^*(k) = m_0^*$, even in the presence of a finite Δ_x the critical momenta still satisfy $q_+ = |q_-|$. This is because all dependence on the Rashba SOI interaction strength, α , can be removed via a gauge transformation in an open system [56] such that $k \to k \mp \alpha/2$ and redefinition $\mu \to \mu + \alpha^2/4$, this maps the Rashba nanowire onto a system with no inversion



FIG. 7. SC diode effect in superconducting Rashba nanowires with reduced SOI energy and enhanced effective mass. (a) The SC diode effect quality factor $\Delta j_c/\bar{j}_c$ as a function of chemical potential μ for various Δ_z . The reduced SOI strength α means that there is a reduced magnitude of the SC diode quality factor in the vicinity of the subband crossing at $\mu = 0$ and there is no change of sign of the quality factor as a function of Δ_z . (b) The quality factor, $\Delta j_c/\bar{j}_c$, as a function of magnetic field for various chemical potentials μ . The dependence of the quality factor on Δ_z is weaker compared to systems with large SOI energy and the sign of the quality factor does not change, even close to the subband crossing point at $\mu = 0$. Parameters same as Fig. 6 apart from $\alpha = 0.2$ eVÅ and $m_0^* = 0.03m_e$.



FIG. 8. Dependence of SC diode effect in TI nanowires on magnetic field and nonuniform potential. The SC diode quality factor as a function of (a) Zeeman energy, Δ_x , and (b) the nonuniformity of chemical potential through the TI nanowire cross-section, as governed by $\delta \mu_{2\ell}$, see main text. At weak magnetic field strengths, the quality factor is linear in Δ_x . In the experimentally relevant parameter range where nonuniformity of chemical potential between top and bottom surface are a few meV [9,28], the SC diode quality factor also grows approximately linearly with the nonuniformity coefficient $\delta \mu_{2\ell}$. Parameters are the same as in Figs. 3(c) and 3(d) of main text, with $\mu_0 = 0.75\delta\varepsilon$.



FIG. 9. Dependence of SC diode effect in Rashba nanowires on various parameters. The SC diode quality factor $\Delta j/\bar{j}_c$ as a function of (a) Zeeman energy Δ_x , (b) the SOI strength α , and (c) the mass correction term C. For weak magnetic field strengths, it depends linearly on Δ_x . For SOI strengths $\alpha \leq 0.5$ eVÅ, the quality factor also grows approximately linearly. In addition, the size of the SC diode effect grows linearly with increasing C. As expected from the dependence of the MCA rectification, the SC diode vanishes in the case C = 0 since the subband curvature $\mathcal{V}^{\eta}(k) = 1/m$ is a constant in this case. Parameters are the same as in main text Fig. 4 with $\mu = 0.5E_{so}$, apart from C = 10000 eV nm⁴ in (a) and (b).

symmetry breaking and therefore no MCA is present. The addition of a finite Δ_z and/or the full momentum dependence of the mass, $m^*(k)$, will result in MCA of the spectrum and a SC diode effect (see below).

APPENDIX B: SC DIODE EFFECT IN SUPERCONDUCTING RASHBA NANOWIRES: MOMENTUM DEPENDENT MASS AND REDUCED PARAMETERS

In this section, we consider the SC diode effect in semiconductor nanowires with strong Rashba SOI and include the *k* dependence of the full effective mass $m^*(k)$ to leading order such that is is approximated as $\frac{\hbar^2}{2m^*(k)} \approx \frac{\hbar^2}{2m_0^*} + Ck^2$. The components of the Hamiltonian as in Eq. (1) of the main text then take the form

$$\xi_k = \frac{\hbar^2 k^2}{2m_0^*} + \mathcal{C}k^4 - \mu \quad \text{and} \quad \alpha_k = \alpha k. \tag{B1}$$

When $C \neq 0$ is included, we find a SC diode effect already for $\Delta_z = 0$ such that in the experimentally relevant regime the



FIG. 10. Dependence of SC diode quality factor and nonreciprocity of critical current on magnitude of pairing potential Δ . (a) Dependence of SC diode quality factor and magnitude of critical current nonreciprocity in TI nanowires. Left: SC diode quality factor $\Delta j/\bar{j}_c$ as a function of pairing potential Δ . Only a very weak dependence on Δ is visible. Right: Magnitude of critical current nonreciprocity normalized by value Δj_c at $\Delta = 0.5$. The absolute size of the nonreciprocity Δj does strongly depend on the induced pairing potential, Δ . (b) Same dependencies of SC diode quality factor and nonreciprocity of critical current (a), but for a Rashba nanowire. As in (a) the magnitude of the SC diode quality factor is only weakly dependent on the induced pairing Δ , but the absolute size of the nonreciprocity Δj does strongly depend on Δ . Parameters: Same as in Fig. 8 and Fig. 6

SC diode quality factor follows (see also Appendix C below)

$$\Delta j_c/j_c \propto B_x \alpha \mathcal{C}.$$
 (B2)

Using parameters as in the main text, but with a realistic finite C gives a SC diode quality factor smaller than in TI nanowires but still $\Delta j_c/\bar{j_c} \sim 1\%$ for $\Delta_x \neq 0$, see Fig. 6. In Fig. 7, we consider a reduced value of the RashbaSOI strength α and an increased mass m_0^* in order to consider the impact of metallization on the nanowire by the superconductor. We find that the overall dependence on Δ_z is much weaker than in the case of strong SOI, as in Fig. 4 of the main text, and there is no change of sign of the diode quality factor for these parameters.

APPENDIX C: PARAMETER DEPENDENCIES OF SC DIODE QUALITY FACTOR

In this Appendix, we consider the various parameter dependencies of the SC diode effect in TI and Rashba nanowires,

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PHYSICAL REVIEW B 106, 104501 (2022)

case of the TI nanowire. First, in Fig. 8(a), we show that the quality factor $\Delta j/\bar{j}_c$ depends linearly on Zeeman energy Δ_x . In Fig. 8(b), we show that the quality factor depends approximately linearly-within an experimentally realistic range [9]—on the subband-splitting coefficient $\delta \mu_{2\ell}$. In Fig. 6, we consider the case of the Rashba nanowire. We show that the SC diode quality factor in this case also depends linearly on Zeeman energy Δ_x , as shown in Fig. 9(a). In Fig. 9(b), we show that the SC diode quality factor depends approximately linearly on the Rashba spin-orbit strength α . In Fig. 9(c), we show that the SC diode quality factor depends linearly on the mass correction term C. Finally, in Fig. 10, we show that the SC diode quality factor $\Delta j/\bar{j}_c$ for a given pairing potential Δ is only weakly dependent on the size of the pairing potential. However, we also show that the value of the nonreciprocity of the critical current, $\Delta j = j_c^+ - j_c^+$ $|j_c^-|$, does depend strongly on the magnitude of the pairing potential, Δ .

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