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Enantiospecific antitrypanosomal *in vitro* activity of eflornithine

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Abstract

The polyamine synthesis inhibitor effornithine is a recommended treatment for the neglected tropical disease Gambian human African trypanosomiasis in late stage. This parasitic disease, transmitted by the tsetse fly, is lethal unless treated. Effornithine is administered by repeated intravenous infusions as a racemic mixture of L-effornithine and D-effornithine. The study compared the *in vitro* antitrypanosomal activity of the two enantiomers with the racemic mixture against three *Trypanosoma brucei gambiense* strains. Antitrypanosomal *in vitro* activity at varying drug concentrations was analysed by non-linear mixed effects modelling. For all three strains, L-effornithine was more potent than D-effornithine. Estimated 50% inhibitory concentrations of the three strains combined were 9.1 μ M (95% confidence interval [8.1; 10]), 5.5 μ M [4.5; 6.6], and 50 μ M [42; 57] for racemic effornithine, L-effornithine and D-effornithine, respectively. The higher *in vitro* potency of L-effornithine warrants further studies to assess its potential for improving the treatment of late-stage Gambian human African trypanosomiasis.

Author summary

The neglected tropical disease human African trypanosomiasis is lethal unless treated. One of the treatments for the late stage–i.e. when parasites have invaded the central nervous system–of Gambian human African trypanosomiasis is the drug effornithine, which is dosed as 50:50 racemic mixture of the two enantiomers L-effornithine and D-effornithine. This study showed that L-effornithine was better than D-effornithine at inhibiting the growth of parasites *in vitro*. The 50% inhibitory concentration for L-effornithine was 5.5 μ M in comparison to 50 μ M for D-effornithine. This higher *in vitro* potency for L-effornithine warrants further studies to assess its potential as an improved treatment for late-stage Gambian human African trypanosomiasis.

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Introduction

The neglected tropical disease human African trypanosomiasis (HAT), also known as sleeping sickness, is fatal unless treated. The amino acid analogue DL-alpha-difluoromethylornithine, known as effornithine, was first developed for oncological use [1] and later discovered to have antitrypanosomal activity [2]. Effornithine, included in the World Health Organization (WHO) model list of essential medicines [3], is dosed intravenously, commonly together with oral nifurtimox, to treat the late stage of Gambian HAT [4-7], which account for 98% of the total HAT cases [8]. The intravenous administration of effornithine requires hospital-like settings. Treatment accessibility in rural areas would increase if an oral effornithine treatment was available with easier and less costly logistics [9]. However, clinical trials with oral racemic eflornithine have failed to achieve sufficiently high systemic exposure, most likely due to poor bioavailability at maximum tolerated oral dose [9,10]. The two enantiomers, L- and D-eflornithine, both inhibited the target enzyme ornithine decarboxylase (ODC) in a cell free assay with human ODC [11]. However, the potential difference in antitrypanosomal efficacy on a parasite level may limit the possibility for oral treatment since the enantiomers differ in their oral bioavailability [12]. This study aimed to investigate the antitrypanosomal *in vitro* activities of racemic effornithine, L-effornithine and D-effornithine against three Trypanosoma brucei (T.b.) gambiense strains to support whether a future late-stage Gambian HAT treatment with a potentially more active enantiomer would be feasible or not.

Materials and methods

Compounds

Eflornithine hydrochloride was donated by the UNICEF/UNDP/World Bank/WHO Special Programme for Research and Training in Tropical Disease ([TDR], Geneva, Switzerland). L-eflornithine and D-eflornithine were separated from the racemic mixture by semi-preparative liquid chromatography [13]. Racemic eflornithine, L-eflornithine and D-eflornithine were dissolved in sterile water for the *in vitro* activity assay and diluted in culture medium before incubation of *T.b. gambiense* parasites in 96-well plates.

Parasites and cell culture conditions

The *T.b. gambiense* strain STIB930 is a derivative of the strain TH1/78E (031), which was isolated in 1978 from a patient in Côte d'Ivoire [14]. The K03048 strain was isolated from a patient in South Sudan in 2003 [15]. The 130R strain was isolated 2003 from a patient in the Democratic Republic of the Congo [16]. Parasite incubation conditions were 37°C, 5% CO₂ atmosphere, in HMI-9 medium [17] with fetal bovine serum and human serum, 15% and 5%, respectively. Parasites were subcultured at appropriate dilutions every two to three days to ensure maintenance in exponential growth phase.

In vitro growth inhibition assays

Racemic effornithine, L-effornithine and D-effornithine were tested in an AlamarBlue serial drug dilution assay, described in detail elsewhere [18], in order to quantify parasite growth inhibition. In brief, serial drug dilutions were prepared in 96-well microtiter plates containing HMI-9 medium. Pre-experimental parasites counts were obtained using a CASY cell counter (OLS OMNI Life Science, Bremen, Germany) before the wells were inoculated with 100,000 *T*. *b. gambiense* parasites and incubated for 72 hours. The fluorescent agent resazurin was added before the plates were incubated for another four to six hours. SpectraMax Gemini XS microplate fluorescence scanner was used to read the plates at the excitation and emission

wavelengths 536 nm and 588 nm, respectively. To determine the *in vitro* growth inhibition, the study was conducted with five independent experiments for the STIB930 *T.b. gambiense* strain with racemic effornithine and seven with L-effornithine or D-effornithine, respectively. Four independent experiments were performed for the K03048 and 130R *T.b. gambiense* strains with racemic effornithine and six with L-effornithine or D-effornithine, respectively. Time-dependence for the drug exposure was studied for racemic effornithine, L-effornithine and D-effornithine in a series of *in vitro* growth inhibition assays where the *T.b. gambiense* strain STIB930 was under drug exposure for 24, 48 or 72 hours. All other parts of the experiment followed a similar protocol as the AlamarBlue serial drug dilution assay and plate readings as previously described. Racemic effornithine, L-effornithine and D-effornithine were tested in an *in vitro* cytotoxicity assay with L6 rat skeletal myoblast cells using a protocol described in full elsewhere [19]. The positive control in the cytotoxicity *in vitro* assay was podophyllotoxin with a known 50% inhibitory concentration (IC₅₀) of 0.02 μ M (0.007 μ g/mL).

Data and statistical analyses

Eq 1 was fitted to the antitrypanosomal *in vitro* activity data using non-linear mixed effects modelling as implemented in Phoenix software (Version 8.2, Certara, Princeton, NJ, USA). Firstly, each combination of compound and parasite strain was fitted separately by naïve pooled data analysis to estimate IC_{50} , sigmoidicity factor gamma (γ) that characterizes the concentration-inhibition relationship steepness and maximum inhibition (I_{max}) where I_0 represents the baseline effect without drug exposure according to:

Inhibition =
$$I_0 - \frac{I_{max} \times Concentration^{\gamma}}{IC_{50}^{\gamma} + Concentration^{\gamma}}$$
 (1)

In a second step, each compound was separately fitted to pooled data for all strains. For model validation, parameter estimate plausibility was assessed and bootstrap (n = 1000) using the first-order conditional estimate-extended least square method was performed. The boot-strap estimates were used to establish the 5th and 95th percentiles for the model predictions. Differences in parameter estimates from the bootstrap were assessed as statistically significant for 95% confidence intervals (95% CI) without overlap. For discrimination between nested models with $\gamma = 1$ or estimated γ in non-linear mixed effects modelling, a decrease in -2 log likelihood over 3.84 for the more complex model was regarded as statistically significant (P < 0.05) with an assumed χ^2 distribution for the difference in -2 log likelihood. Plots and statistical analysis were made using Rstudio (Version 1.3.1093) with the R software (Version 4.0.3, 2020, The R foundation for Statistical Computing).

Results

Antitrypanosomal in vitro activity against STIB930, K03048 and 130R

All compounds inhibited the growth of the three *T.b. gambiense* strains in a concentrationdependent manner (Fig 1). L-effornithine had the lowest IC₅₀ estimates throughout, with 4.1 μ M (95% CI 3.1; 5.0), 8.9 μ M (7.0; 11) and 7.7 μ M (6.8; 8.5) for strains STIB930, K03048 and 130R, respectively. D-effornithine was less potent with IC₅₀ estimates of 39 μ M (29; 49), 73 μ M (62; 85) and 76 μ M (66; 86) for the same strains. IC₅₀ values for racemic effornithine were 6.4 μ M (5.2; 7.7), 17 μ M (15; 18) and 14 μ M (12; 17) for STIB930, K03048 and 130R, respectively (Table 1).



Fig 1. Antitrypanosomal *in vitro* activity for racemic effornithine and its enantiomers against three different *T.b. gambiense* strains. *In vitro* activity for racemic effornithine (blue), L-effornithine (green) and D-effornithine (red) against *T.b. gambiense* strains a) STIB930, b) K03048 and c) 130R. Parasite growth values are shown as relative

fluorescence in the AlamarBlue serial drug dilution assay. Dots represent observed experimental data, lines the model predictions and grey areas the 5th to 95th percentiles of the model prediction central values.

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Growth inhibition analysis for all strains pooled

Pooling the data for the three strains resulted in IC₅₀ estimates (95% CI) of 9.1 μ M (8.1; 10), 5.5 μ M (4.5; 6.6), and 50 μ M (42; 57) for racemic effornithine, L-effornithine and D-effornithine, L-effornithine and D-effornithine (Table 1). The 5th to 95th percentiles for model predictions did not overlap at concentrations close to IC₅₀ values for the three treatments (Fig 2). The overall *in vitro* 90% inhibitory concentration (IC₉₀) for racemic effornithine, L-effornithine were 25 μ M, 17 μ M and 166 μ M, respectively. The growth inhibition was time-dependent as the concentration antitrypanosomal *in vitro* activity relationship after 72 h was steeper, and with a lower IC₅₀ estimate compared to the IC₅₀ estimates for 24 h and 48 h drug exposure times (S1 Fig and S1 Table). No observations of *in vitro* cytotoxicity were made in the L6 cell assay at relevant *in vitro* concentrations for racemic effornithine, L-effornithine and D-effornithine whereas the positive control podophyllotoxin was cytotoxic with an expected IC₅₀ at approximately 0.02 μ M (S2 Fig).

Discussion

The enantiospecific efformithine antitrypanosomal activity is to the best of our knowledge documented herein for the first time. The overall *in vitro* potency of L-efformithine was about 9-fold higher than D-efformithine against three *T.b. gambiense* strains. As a result, the IC₅₀ estimate for racemic efformithine was approximately twice that of L-efformithine due to 1:1 inclusions of much less potent D-efformithine. The difference in antitrypanosomal activity could possibly be due to an enantioselective efformithine transport into the *T.b. gambiense* parasites since the enantiomers appear to have similar inactivation properties on an enzyme level [11]. Clinically, total efformithine concentrations in cerebrospinal fluid over 50 μ M, equating to approximately 5.5 times the overall *in vitro* IC₅₀ in the present study, have been associated with efficient parasite eradication in late-stage Gambian HAT patients after intravenous

Table 1. IC ₅₀ , gamma and I _{max} estimates for racemic eflornithine, L-eflornithine and D-eflornithine in three different <i>T.b. gambiense</i> strains and overall across a	all
strains.	

Parameter	Drug	STIB930 Estimate (95% CI)	K03048 Estimate (95% CI)	130R Estimate (95% CI)	Overall Estimate (95% CI)
IC ₅₀ (μM)	Racemic eflornithine	6.4 (5.2 to 7.7)	17 (15 to 18)	14 (12 to 17)	9.1 (8.1 to 10)
	L-eflornithine	4.1 (3.1 to 5.0)	8.9 (7.0 to 11)	7.7 (6.8 to 8.5)	5.5 (4.5 to 6.6)
	D-eflornithine	39 (29 to 49)	73 (62 to 85)	76 (66 to 86)	50 (42 to 57)
Gamma	Racemic eflornithine	2.8 (2.4 to 3.4)	1.5 (1.3 to 1.6)	1.7 (1.4 to 1.9)	1.7 (1.5 to 2.1)
	L-eflornithine	2.5 (1.9 to 3.2)	1.5 (1.3 to 1.7)	1.5 (1.4 to 1.6)	1.6 (1.3 to 1.8)
	D-eflornithine	2.8 (2.0 to 4.0)	1.6 (1.2 to 1.8)	1.4 (1.1 to 1.7)	1.7 (1.3 to 2.2)
I _{max}	Racemic eflornithine	0.95 (0.92 to 0.97)	0.93 (0.88 to 0.98)	0.94 (0.91 to 1.0)	0.94 (0.91 to 0.98)
	L-eflornithine	0.96 (0.94 to 0.98)	0.92 (0.87 to 0.95)	0.95 (0.92 to 0.98)	0.94 (0.92 to 0.97)
	D-eflornithine	1.0 (0.96 to 1.0)	0.93 (0.88 to 0.99)	0.93 (0.89 to 0.98)	0.97 (0.93 to 1.0)
Residual variability	Racemic eflornithine	0.12 (0.090 to 0.14)	0.15 (0.054 to 0.22)	0.15 (0.068 to 0.18)	0.18 (0.15 to 0.19)
	L-eflornithine	0.13 (0.11 to 0.15)	0.13 (0.092 to 0.17)	0.12 (0.082 to 0.14)	0.16 (0.14 to 0.17)
	D-eflornithine	0.14 (0.11 to 0.17)	0.14 (0.089 to 0.18)	0.14 (0.085 to 0.17)	0.17 (0.15 to 0.19)

Parameters were estimated with bootstrap (n = 1000), 95% CI– 95% confidence interval

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Fig 2. The overall antitrypanosomal *in vitro* activity was elicited by the more active L-effornithine enantiomer. Antitrypanosomal activity for racemic effornithine (blue), L-effornithine (green) and D-effornithine (red) against three *T.b. gambiense* strains collectively. Parasite growth values are shown as relative fluorescence in the AlamarBlue serial drug dilution assay. Dots represent observed experimental data, lines the model predictions and grey areas the 5th to 95th percentiles of the model prediction central values.

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infusions of racemic effornithine [9,20]. The higher potency for L-effornithine observed in the present study suggests that this threshold value could potentially be decreased by approximately 50% if pure L-effornithine were administered. Supporting this hypothesis, cerebrospinal fluid concentrations over 23 µM for L-effornithine, equating to approximately 4 times the overall *in vitro* IC₅₀ in the present study, were associated, however not statistically significant, with probability of cure in a clinical study of 25 patients when treated with racemic eflornithine orally [12]. A prospective clinical trial investigating the clinical efficacy of L-eflornithine dosed intravenously and orally at appropriate, tolerated doses could elucidate the clinical potential for L-eflornithine. The pharmacological effect of eflornithine in late-stage Gambian HAT may be expected to depend predominantly on unbound L-eflornithine concentration in the systemic circulation and central nervous system. The plasma protein binding for racemic effornithine has been reported as negligible [21]. Total effornithine concentrations are in such case expected to be identical to unbound concentrations and available to target the T.b. gambiense parasites. The IC₅₀ values for the antitrypanosomal in vitro activity in the present study could therefore, with more confidence, be translated to in vivo relevant concentrations. The pharmacodynamic effect and cure can be seen as conditioned by critical interactions between the drug, the patient and the T.b. gambiense parasite as discussed for other antimicrobial agents [22].

In a more pharmacological and dose-finding oriented perspective, as discussed for antimalarial treatments, the *in vitro* IC₉₀ can be used as a free drug minimum inhibitory concentration surrogate [23]. This approach has been successful when, for instance, translating *in vitro* findings to clinically relevant minimum inhibitory concentration proxy [24]. For Gambian HAT, the IC₉₀ values in the present study for L-effornithine and racemic effornithine at 17 and 25 μ M, respectively, were exceeded in serum and cerebrospinal fluid after fourteen days of racemic effornithine treatment with two-hour intravenous infusions at 100 mg/kg four times per day [25]. Currently, the clinical posology for racemic effornithine is 200 mg/kg twice daily when combined with nifurtimox [26]. Extrapolation of *in vitro* IC₅₀ or IC₉₀ to *in vivo* relevant values of efficacious unbound drug concentration in plasma may be fraught with error since effects also depend on whether the drug reaches its target tissue and on the role of the immune system *in vivo* [27]. Uptake of effornithine into the central nervous system is low leading to a poor partitioning between plasma and brain or cerebrospinal fluid [28,29]. The reported clinical cerebrospinal fluid to plasma or serum ratios range from 0.1 to 0.5 [9,12,25]. Effornithine partitioning from plasma to cerebrospinal fluid appears to be non-stereoselective when administered as a racemate orally [12]. Additionally, it is important to take the factors of target occupancy, target turnover and active metabolites into account in *in vitro–in vivo* extrapolation. For effornithine, no metabolites have been identified, hence can not contribute to pharmacological effects [30]. Moreover, since effornithine can be seen as a slow acting compound [21], and trypanostatic rather than trypanocidal [31], the pharmacokinetic/pharmacodynamic relationship is important to consider as drug transporters in the body and/or *T.b. gambiense* parasites involved in the drug disposition could affect the clinical efficacy of effornithine.

Only three *T.b. gambiense* strains were tested in the study which is a limitation. Granted, an analysis with more strains would render more generalizable approximations when extrapolating from the *in vitro* results to the clinic. Effornithine resistance has been associated with non-expression of the *TbAAT6* transporter gene [32]. This TbAAT6-dependent effornithine transport into *T.b. gambiense* parasites has been investigated further where lines of trypanosomes showed lower sensitivity to effornithine when the *TbAAT6* transporter gene was silenced [33]. If the uptake by this amino acid transporter disfavours D-effornithine, it might contribute to the observed higher *in vitro* activity for L-effornithine in the present study. Radiolabelled compound could be used to decouple the potentially enantioselective transport of effornithine into *T.b. gambiense* parasites. *In vivo* studies with L-effornithine would potentially increase the confidence in the presented findings; however, the experiments mentioned above were assessed as outside of the study scope.

To achieve and sustain global elimination of HAT [34], it is imperative to design, make, test and analyse results for novel compounds in the pipeline. For both patients and care givers, an oral route of administration of drugs would be much preferred. Oral administration of racemic eflornithine has been investigated in clinical [9,20,21,25,35–38] and preclinical [12,39,40] studies but the antitrypanosomal efficacy and tolerability of enantiopure L-eflornithine is still to be investigated. The mechanisms and the potential enantioselectivity of the noted gastrointestinal side effects in the clinical studies with oral racemic effornithine remain so far unknown. An oral alternative HAT treatment, fexinidazole, has been approved [41,42] and is first line treatment for patients with a cerebrospinal fluid leucocyte count less than 100 per μ L. Acoziborole is currently in clinical trials [43]. Overall, these advances are important to achieve global elimination of HAT.

In conclusion, the present study showed that the L-eflornithine enantiomer elicited higher antitrypanosomal *in vitro* activity, as it was more effective than D-eflornithine against three different *T. b. gambiense* strains *in vitro*. This knowledge could be used in the future to predict *in vivo* efficacious doses of the more active L-eflornithine enantiomer using pharmacokinetic/ pharmacodynamic models to assess the feasibility of L-eflornithine treatment for late-stage Gambian HAT.

Supporting information

S1 Fig. Time-dependent antitrypanosomal *in vitro* activity for efformithine and its enantiomers. Time-dependent *in vitro* activity for a) racemic efformithine (blue dashed lines), L-efformithine (green small dashed lines) and D-efformithine (red full lines) after 24 h (thick lines), 48 h (medium lines) and 72 h (thin lines) of drug exposure. Parasite growth values are shown as

relative fluorescence in the AlamarBlue serial drug dilution assay. Dots represent observed experimental data and lines the model predictions. b) Mean IC_{50} values with error bars showing the standard error of the estimates for racemic effornithine (blue dashed line), L-effornithine (green dotted line) and D-effornithine (red full line) after different drug exposure times. Please note the log_{10} scale on the y-axis in S1b Fig. (TIFF)

S2 Fig. *In vitro* cytotoxicity assay for racemic effornithine, its enantiomers and podophyllotoxin. a) *In vitro* activity against L6 cells for racemic effornithine (blue), L-effornithine (green) and D-effornithine (red) and b) *in vitro* activity for the positive control podophyllotoxin (dark blue). L6 cell growth values are shown as relative fluorescence in the assay. Dots represent observed experimental data and the coloured lines the model predictions. (TIFF)

S1 Table. IC_{50} , gamma, I_{max} and residual variability estimates in the time-dependent assay for racemic effornithine, L-effornithine and D-effornithine. (DOCX)

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