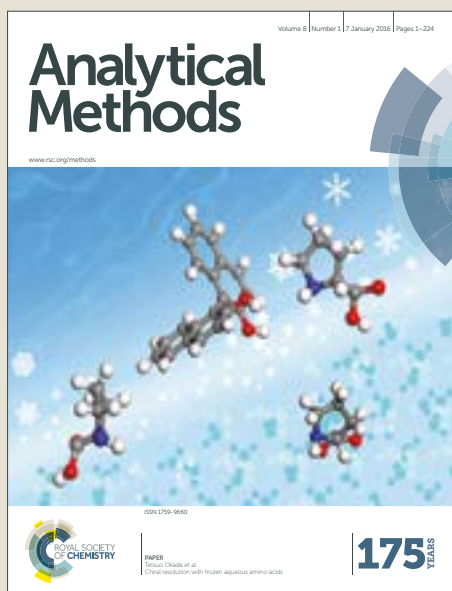


# Analytical Methods

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3 1 **Development and validation of a LC-MS/MS method for ivermectin quantification in**  
4 2 **dried blood spots: Application to a pharmacokinetic study in *Trichuris trichiura*-**  
5 3 **infected adults**

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31 18 **Keywords:** Ivermectin, pharmacokinetics, *Trichuris trichiuria*, anthelmintic, dried blood spot  
32 19 (DBS), blood microsampling

1

2 **Abstract**

3 Ivermectin serves as a good addition to the small group of medications for soil-  
4 transmitted helminths, particularly *Trichuris trichiura* infections. So far, ivermectin has been  
5 poorly characterized in clinical trials to treat *T. trichiura*; especially information on its  
6 pharmacokinetic (PK) behavior in infected pediatric populations is missing. Existing  
7 approaches to quantify ivermectin in human matrices are time-consuming, limited to matrixes  
8 of high volume, which excludes the more ethical micro-blood sampling and mostly do not  
9 distinguish between ivermectin and its metabolites. Thus, a sensitive, selective and rapid  
10 liquid chromatography-tandem mass spectrometry (LC-MS/MS) method was developed to  
11 quantify ivermectin extracted from dried blood spots (DBS), plasma and blood. Method  
12 validation was performed on accuracy, precision, sensitivity, selectivity, linearity and stability.  
13 While the quantification of ivermectin in plasma and DBS were successfully validated, blood  
14 samples failed validation due to insufficient stability and robustness. The method was applied  
15 to samples from a clinical study with 11 adult volunteers from rural Côte d'Ivoire infected with  
16 *T. trichiura* sampled at 11 time points. Good agreement of the time-concentration profiles and  
17 PK parameters of plasma and DBS samples were achieved with e.g., a maximal  
18 concentration of 51.6 and 40.1 ng/mL, respectively and identical time to reach maximal  
19 concentration of 3.9 h. Comparison of the results by Bland-Altman analysis resulted in high  
20 consistency. The less invasive and more patient-friendly DBS micro-blood sampling  
21 technique will be useful in future clinical trials to evaluate ivermectin's PK profile in larger  
22 populations.

## 1. Introduction

The avermectin family was discovered in the 1970s and soon thereafter, the derivative ivermectin was developed revealing superior activity against many parasitic worms.<sup>1,2</sup> In 1981, ivermectin was approved to the market as a veterinary anthelmintic drug and in 1987 it was first utilized in human medicine to treat *Onchocerca volvulus*. Donation and mass drug administration programs were soon thereafter initiated to deliver ivermectin to Africa and South America to reach onchocerciasis and a few decades later lymphatic filariasis patients.<sup>1,3</sup> In tropical and sub-tropical settings, co-infections are common and hence, additional efficacy was documented against other parasitic infections including the soil-transmitted helminths (STH).<sup>4,5</sup> The number of infected individuals with STHs is estimated at 1.5 billion and the recommended drugs against STH infections (albendazole, mebendazole, pyrantel pamoate and levamisole) have only poor effect on *Trichuris trichiura*.<sup>6,7</sup>

Ivermectin, however, is still, despite its large-scale use rather poorly characterized for human use.<sup>8</sup> A few clinical studies have been performed on *T. trichiura* including ivermectin or ivermectin co-administration to further evaluate its efficacy against STH infections.<sup>9,10</sup> However, further evidence is required to fully understand the pharmacokinetic (PK) and – dynamic profile of ivermectin in correlation to different doses and safety. Even though some PK studies of ivermectin have been performed, all of them included a low number of exclusively adult volunteers who were healthy or infected with *Onchocerca volvulus*.<sup>11–18</sup> Venous blood sampling was performed in these studies to obtain plasma samples, the only matrix that has been used for ivermectin PK analysis so far. Given the broad interest of the use of ivermectin as treatment for *T. trichiura*, scabies as well as an agent to reduce malaria transmission,<sup>19,20</sup> additional PK studies are required, including children, which are the primary focus for the treatment of STH infections. However, when children are participating in a clinical trial, venous blood sampling is challenging and unethical. Micro-blood sampling (e.g., the dried blood spot (DBS) technique) offers an appropriate alternative for PK studies in this vulnerable population. It is less invasive, less painful, simpler and more rapidly to perform. Additionally, DBS do not require cooling or freezing and are less likely to cause an infection risk for nurses and researchers.<sup>21–23</sup> However, a micro-blood sampling technique has not yet been established for the quantification of ivermectin.

Liquid chromatography-tandem mass spectrometry (LC-MS/MS) is the gold standard for drug quantification. Nevertheless, most analytic methods to quantify ivermectin have been established by high-performance liquid chromatography (HPLC), where the drug was reacted to a fluorescent counterpart.<sup>14,24–26</sup> Even though ivermectin is only poorly metabolized, the established HPLC methods do not distinguish between ivermectin and its metabolites as all compounds are derivatized. Only Kitzman *et al.* developed a HPLC method, which is sufficiently long to separate and quantify ivermectin only.<sup>13</sup> However, a single run of 32 minutes is not applicable when a large number of samples is analyzed. The analysis of ivermectin in plasma, milk or other matrices by mass spectrometry has been described but the methods cannot be applied to micro-blood samples because large sample volumes are required.<sup>27–30</sup>

For the first time, an analytical LC-MS/MS method was developed and validated for the quantification of ivermectin from DBS samples. For comparison, the analytical method was also established for plasma and blood matrices. A PK study with 11 adult volunteers from rural Côte d'Ivoire infected with *T. trichiura* was performed. Participants received orally the standard dose of ivermectin (200 µg/mL) and both DBS and venous samples were withdrawn

1 at 11 time points post-treatment. Ivermectin was extracted and quantified to illustrate the  
2 robustness of the DBS sampling method and the comparability to plasma concentrations.

## 3 4 **2. Materials and Methods**

### 5 6 *2.1 Chemicals and material*

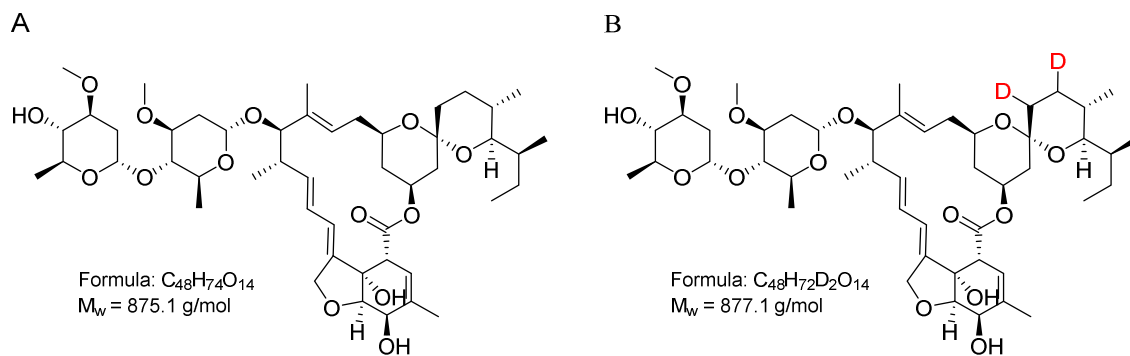
7 Ivermectin (powder, 96% B1A), formic acid (LC-MS grade) and ammonium acetate (LC-  
8 MS grade) were purchased from Sigma-Aldrich (Buchs, Switzerland). Ivermectin-d<sub>2</sub> was  
9 synthesized by Toronto research chemicals (Ontario, Canada). Ivermectin tablets (3 mg)  
10 were kindly provided by ELEA (Buenos Aires, Argentina). Ultrapure water was prepared  
11 using a Millipore water purification system (Milli-Q® Advantage A10, Merck, Darmstadt,  
12 Germany). LC-MS grade solvents, acetonitrile and isopropanol, and Whatman® protein  
13 saver cards 903 were purchased from Merck KGaA (Darmstadt, Germany). SOLAμ solid  
14 phase extraction (SPE) plates HPR were obtained from Thermo Fisher Scientific (Reinach,  
15 Switzerland). Ivermectin stock solutions were prepared and extraction steps performed in  
16 protein low-binding material (Vaudaux-Eppendorf AG, Basel, Switzerland).

### 17 *2.2 LC-MS/MS instrumentation and settings*

18 Fragment separation and identification was performed on a 1260 Infinity LC System  
19 connected to a 6460 triple quad LC-MS (Agilent Technologies, Santa Clara, CA, USA). The  
20 autosampler Thermostat (1200 series, Agilent Technologies) was used for sample injection  
21 and cooling (4 °C).

22 Fragment specific mass spectrometer parameters were optimized by direct infusion of  
23 1 μg/mL ivermectin dissolved in acetonitrile to the mass spectrometer and are summarized in  
24 **table 1**. Selected reaction monitoring (SRM) of the positively charged fragment of ivermectin  
25 and ivermectin-d<sub>2</sub> was performed for detection (**figure 1**). The general settings of the mass  
26 spectrometer were as follows: gas temperature 250 °C, gas flow 5 L/min, nebulizer 30 psi,  
27 sheath gas temperature 300 °C, sheath gas flow 11 L/min, and capillary voltage 5000 V.

28 Liquid chromatography was performed on a Luna C8 column (30 x 2.0 mm, 3 μm particle  
29 size, 100 Å; Phenomenex, Torrance, CA USA). Ammonium acetate prepared with ultrapure  
30 water (mobile phase A, 0.4 mM) and acetonitrile (mobile phase B) served as eluents. Formic  
31 acid was added to both eluents (0.1% v/v). A gradient elution was performed (**table 2**) with a  
32 flow rate of 0.5 mL/min. The autosampler syringe and injection valve was cleaned three  
33 times with isopropanol-H<sub>2</sub>O (1:1 v/v) after each sample injection. The software Agilent  
34 MassHunter Quantitative Analysis was used for data analysis.



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**Figure 1.** Molecular structure of (A) ivermectin and (B) ivermectin-d<sub>2</sub>.

**Table 1.** Fragment specific mass spectrometry parameters.

Parameter	Product Ion 307 Ivermectin	Product ion 571 Ivermectin-d <sub>2</sub>
Dwell	100	80
Fragmentor voltage	135	135
Collision Energy	20	8
Cell accelerator voltage	6	2

**Table 2.** Gradient elution of liquid chromatography.

Time (min)	Mobile phase B (%)
0.00	40
0.20	40
0.50	95
2.50	95
2.55	40
4.00	40

## 2.3 Blood, plasma and dried blood spot (DBS) sample procession

### 2.3.1 Preparation of calibration and quality control samples

Human blood was obtained from the local blood donation center (Basel, Switzerland) and kept in heparin coated vacutainer tubes to prevent coagulation of blood. The stock solution of ivermectin was prepared in acetonitrile (1 mg/mL) and stored at -20 °C. Working solutions of ivermectin were obtained by serial dilutions in acetonitrile:H<sub>2</sub>O (1:1 v/v). Quality control (QC) and calibration samples were prepared by spiking plasma, blood and DBS with working solutions (50:1 v/v) in the range of 2–200 ng/mL. The final concentration of organic solvent in the spiked plasma and blood samples was < 2%.

According to FDA guidelines, each validation set of samples consisted of at least eight calibration samples (including the lower limit of quantification (LLOQ) and the upper limit of quantification (ULOQ)), six blank samples (matrix without internal standard) and six zero

1 samples (matrix with internal standard).<sup>31</sup> Additionally, six QC replicates at the LLOQ, low,  
2 medium and high concentration covering the whole calibration range were included in each  
3 experiment. QC and calibration samples derived from plasma were always spiked freshly on  
4 the day of analysis, blood and DBS samples were prepared and stored at -20 °C.

5 QC samples, 2, 3, 100 and 180 ng/mL for plasma analysis and 3, 5, 100 and 180 ng/mL  
6 for blood and DBS samples, were included in each run. QC samples were always prepared  
7 in six replicates of different human sources.

### 8 2.3.2 Sample extraction

9 The stock solution of ivermectin-d<sub>2</sub> (internal standard, 1 mg/mL) was prepared in  
10 acetonitrile and stored at -20 °C. The extraction solvent 1 (acetonitrile/H<sub>2</sub>O (4:1 v/v), ES1)  
11 and 2 (acetonitrile/H<sub>2</sub>O (5:1 v/v), ES2) were spiked with 0.01 ng of the internal standard.  
12 100 µL plasma or blood samples were mixed with 100 µL or 120 µL of the extraction solvent  
13 ES1 or ES2, respectively and agitated for 10 min at 2000 rpm at room temperature (rt).

14 Two circular spots (ø 8 mm) were punched from each DBS card, 400 µL H<sub>2</sub>O was  
15 added and agitated at rt for 10 min at 2000 rpm. Then, 400 µL of ES1 was added and again  
16 agitated under the same conditions. Thereafter, DBS samples were sonicated in an  
17 ultrasonic bath (TPC-280, M. Scherrer AG, Zuzwil, Switzerland) for 15 min at rt.

18 The samples (plasma, blood and DBS) were centrifuged for 10 min at 3000 x g. The  
19 supernatant was transferred to a pre-conditioned SPE-96 well plate. According to the  
20 manufacturer's protocol, SPE plates were first equilibrated with 200 µL acetonitrile, followed  
21 by conditioning with 200 µL H<sub>2</sub>O. Once the samples were loaded onto the columns, they  
22 were washed with 25% acetonitrile and eluted twice in 25 µL acetonitrile. 10 µL of each  
23 sample was injected to the LC-MS/MS system for analysis.

### 24 2.3.3 Impact of hematocrit on DBS and blood sample analysis

25 The effect of hematocrit was evaluated for DBS and blood sample analysis. The  
26 hematocrit of QC samples (*n* = 6 from different human donors) was adjusted with plasma to  
27 20–50% to cover the hematocrit range expected in the study population. Calibration samples  
28 were prepared with 35% or 40% hematocrit. The hematocrit values of QC samples were  
29 adjusted in all experiments to ensure accuracy and precision of the method.

## 30 2.4 Method validation

31 Method validation for the analysis of ivermectin was performed according to the U.S. FDA  
32 guidelines and the following characteristics were validated: stability, selectivity, sensitivity,  
33 accuracy, precision, recovery, matrix effect and linearity.<sup>31</sup>

### 34 2.4.1 Stability

35 Different conditions of field and laboratory work were mimicked to test the stability of  
36 ivermectin in blood, plasma and DBS. Extracted ivermectin samples were incubated at rt for  
37 4 h and at 4 °C for 72 h (autosampler stability). One set of spiked samples was stored at -  
38 80 °C for 2 months (long-term stability) and another set was three times frozen for 24 h at -  
39 80 °C followed by thawing to rt (freeze-thaw cycles). Additionally, long-term stability at rt was  
40 evaluated for DBS cards (2–3 months). QC samples (low, middle and high) were prepared  
41 and kept under the specified conditions. Extracted samples were analyzed and compared to  
42 calibration samples. Ivermectin was considered stable when the results did not deviate more  
43 than ± 15% from the nominal value of freshly prepared calibrators.

### 44 2.4.2 Selectivity and Sensitivity

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3 1 Blank human plasma and DBS samples were processed from six different human  
4 2 sources to validate the limit of detection (LOD) of each matrix. The signal of LLOQ should be  
5 3 at least 5x of LOD and should not deviate more than  $\pm 20\%$  from the nominal concentration.

#### 6 7 4 *2.4.3 Accuracy, Precision*

8 5 The accuracy and precision of the analytical method was tested with four QC  
9 6 concentrations (LLOQ, low, medium and high) each prepared in six replicates of different  
10 7 blood or plasma sources. Within-run (intra-assay) assessed the precision and accuracy of  
11 8 three validation sets in a single analytical run and between-run (inter-assay) recorded three  
12 9 validation sets on three different days. Results were compared to the nominal value obtained  
13 10 from calibration samples. The results of QC samples were accepted when 4/6 samples were  
14 11 within  $\pm 15\%$  of the nominal value and within  $\pm 20\%$  of the nominal value for the LLOQ.  
15 12 Accuracy is presented as the percentage ratio of measured to the nominal concentration and  
16 13 precision is illustrated as the coefficient of variation (CV [%]).

#### 17 18 19 14 *2.4.4 Recovery and matrix effect*

20 15 Relative recovery (RRE) and matrix effect (ME) were analyzed with samples of four  
21 16 human sources. QC sample concentrations (low, medium and high) were prepared for  
22 17 analyzing RRE and ME. RRE is obtained by comparing zero blank plasma or DBS that is  
23 18 spiked with ivermectin after extraction to the corresponding extracted samples. ME was  
24 19 determined by comparing extracted zero blank matrix that is spiked after extraction to the  
25 20 corresponding ivermectin concentrations prepared in acetonitrile.

#### 26 27 28 21 *2.4.5 Linearity*

29 22 Calibration samples were accepted when 75% of the samples were  $\pm 15\%$  ( $\pm 20$  for  
30 23 LLOQ) of the nominal value. The coefficient of determination ( $r^2$ ) had to be  $\geq 0.99$ .

#### 31 32 24 *2.5 Method application*

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34 25 The validated LC-MS/MS method was applied to samples of a PK clinical trial in rural  
35 26 Côte d'Ivoire with 11 adult volunteers ( $\geq 18$  years of age) infected with *T. trichiura*. Diagnosis  
36 27 was performed by analyzing stool samples with the Kato Katz technique. Participants were  
37 28 orally treated with the standard dose of 200  $\mu\text{g}/\text{kg}$  ivermectin. Ethical approval was obtained  
38 29 from the Ethical Committee of Northwestern and Central Switzerland (2017-00250) and the  
39 30 Comité d'Ethique et de la Recherche of the Ministry of Health in Côte d'Ivoire  
40 31 (052/fMSHP/CNER-kp). Informed consent was obtained from all patients. The study was  
41 32 registered at the ISRCTN registry (no. ISRCTN15871729).

42 33 Patients received a fatty breakfast (oily fish on bread) since a fatty meal is known to  
43 34 enhance ivermectin's bioavailability.<sup>32</sup> Venous and micro-blood sampling was performed at  
44 35 0, 1, 2, 4, 6, 7, 8, 9, 24, 48, 72 h post-treatment. Venous blood ( $\sim 4$  mL) was collected in  
45 36 EDTA covered vacutainer tubes (BD, Allschwil, Switzerland) to prevent coagulation. The  
46 37 blood was centrifuged to obtain plasma. Plasma samples were stored at  $-20$  °C at the clinical  
47 38 trial site. Additionally, microsampling was performed at the same time points by taking  
48 39 capillary blood from the same individuals. Sterile finger-prickers were used to puncture the tip  
49 40 of a finger obtaining a blood drop. Lithium heparin coated capillaries were loaded with blood,  
50 41 which was dropped onto DBS cards ( $\sim 60$   $\mu\text{L}$  per spot). This was performed in four replicates  
51 42 for each patient and time point. The DBS were allowed to dry for at least 2 h and then stored  
52 43 at  $-20$  °C at the clinical trial site in sealed plastic bags containing silica desiccants. Plasma  
53 44 and DBS samples were shipped to Basel, Switzerland with dried ice and stored at  $-80$  °C  
54 45 until processed for analysis.



## 2.6 Data analysis

The following PK parameters were obtained by non-compartmental analysis using WinNonlin (5.2, Certara, Princeton, NJ, USA): maximum ivermectin concentration ( $C_{\max}$ ), time to reach  $C_{\max}$  ( $t_{\max}$ ), time in which half of the absorbed drug is eliminated (half-life  $t_{1/2}$ ), area under the curve determined until last positive concentration (AUC).

Bland-Altman analysis was performed to evaluate the agreement between plasma vs DBS samples. The difference (%) of ivermectin concentration extracted from the matrixes was plotted vs the average concentration. Additionally, an unpaired t test was performed following a F test to compare variances of PK parameters. Statistical analysis was performed with Prism 6.01 (GraphPad, CA, USA).

## 3. Results and Discussion

### 3.1 Method development

The number of anthelmintic drugs in human medicine is very limited and no candidates are currently in the pipeline. Drug resistance on anthelmintics has been already observed in veterinary medicine,<sup>33–35</sup> a development that must be prevented in humans. Ivermectin with its broad antiparasitic activity is a promising candidate for the treatment of STH infections. However, proper scientific information is missing on efficacy, safety, PK and – pharmacodynamics, particularly for STH infections. Several articles describe the analytical quantification of ivermectin extracted from animal or human plasma or food samples by HPLC mostly detecting a fluorescent counterpart of the drug,<sup>13,25,36</sup> in which ivermectin does not get separated from its metabolites. The PK profiles of the metabolites remain unknown and most likely differ from ivermectin's characteristics.<sup>13,37,38</sup> Additionally, other analytical approaches have been tested such as capillary electrophoresis or gas chromatography.<sup>39,40</sup>

LC-MS/MS is the gold standard for quantifying individual analytes. Two articles describe the analysis of ivermectin by mass spectrometry in plasma, but they are not applicable to micro-blood samples because large plasma volumes are required.<sup>27,28</sup> Ivermectin remains a challenging candidate to be quantified in DBS samples caused by the limited blood volume in combination with its low concentration in the blood after oral delivery.<sup>13,15</sup> This is due to its moderate bioavailability and the low dose of treatment. Additionally, ivermectin poorly ionizes in the mass spectrometer leading to low sensitivity. Based on this information, a careful and stepwise LC-MS/MS method and extraction procedure development was performed.

First, the parent mass of ivermectin was tested in the positive ionization mode. The parent mass ionized with  $H^+$  ( $m/z$  875.5  $[M + H]^+$ ) could not be detected, but the ammonium ( $m/z$  892.5  $[M + NH_4]^+$ ) and sodium counterparts ( $m/z$  897.5  $[M + Na]^+$ ) were reproducibly observed. Sodium ionized molecules fragment poorly in a MS and thus, the ammonium adduct was chosen for analysis.<sup>27</sup> SRM scans were performed with best results for 892.5  $\rightarrow$  307  $m/z$  and 892.5  $\rightarrow$  569  $m/z$  fragments. Mass spectrometer source parameters were optimized to increase peak intensity (e.g., gas temperature and flow, nebulizer pressure). Thereafter, fragment specific parameters (**table 1**) were improved for ivermectin and the internal standard. Due to its higher peak intensity, fragment 892.5  $\rightarrow$  307  $m/z$  was chosen for quantification.

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3 1 Subsequently, LC conditions were adjusted by testing several columns (C18, C8 and  
4 2 Synergy Polar-RP) with ammonium acetate buffer (mobile phase A, 0.4 mM) and acetonitrile  
5 3 (mobile phase B) both spiked with 0.1% formic acid. First, an isocratic method was  
6 4 performed followed by testing different ratios of mobile phases (20–100% mobile phase B).  
7 5 Highest signal intensity was achieved with a Luna C8 column (30 x 2.0 mm, 3  $\mu$ m) and a  
8 6 gradient eluent shown in **table 2** with a flow rate of 0.5 mL/min.

9 7 In a next step, the extraction method of ivermectin from blood, plasma and DBS was  
10 8 evaluated. Plasma is the standard matrix used for PK studies. Additionally, blood samples  
11 9 were collected to explain possible discrepancy between ivermectin's concentration in DBS  
12 10 and plasma. A classical approach was tested for plasma samples, where proteins were  
13 11 simply precipitated with an organic solvent. Ivermectin recovery was evaluated with  
14 12 acetonitrile, methanol, acetone and ethyl acetate as extraction solvents. Additionally, all  
15 13 solvents were tested in an aqueous mixture. Best results were achieved with a mixture of  
16 14 acetonitrile/H<sub>2</sub>O (4:1 v/v, ES1) and a shaking time of 10 min at rt. Longer shaking, heating  
17 15 and the inclusion of sonication were tested with no additional positive effect. Simple filtration  
18 16 did not lead to a highly purified analyte solution and a significant matrix effect was observed.  
19 17 Thus, SPE was performed to maximize signal intensity in the mass spectrometer.

20 18 The same extraction method was performed with blood samples. Blood cells and proteins  
21 19 could not be entirely aggregated with the extraction solvent (ES1), which caused  
22 20 unsatisfactory results of the SPE purification. The fraction of organic solvent was increased  
23 21 to 5:1 acetonitrile/H<sub>2</sub>O (v/v, ES2), which improved the purification steps and thus overall  
24 22 results.

25 23 The punched spot size of DBS was maximized to increase the blood volume analyzed  
26 24 and thus ivermectin amount in the sample. The DBS cards offer a spot size of 12 mm. Blood  
27 25 was spotted with a capillary in a pre-test after pricking a finger to evaluate which blood spot  
28 26 size can be used conveniently at the clinical trial site and an 8 mm spot size was  
29 27 consequently chosen. In order to increase signal intensity in the MS, two spots were used for  
30 28 analysis. Ivermectin extraction from DBS with only acetonitrile or an aqueous mixture of it did  
31 29 not yield satisfying results. Therefore, whole blood was first extracted from DBS with water  
32 30 and thereafter acetonitrile/H<sub>2</sub>O (4:1 v/v) was added to extract ivermectin. An additional  
33 31 sonication step further improved MS signals. SPE was performed to yield highly purified  
34 32 samples and to concentrate the samples to lower volume.

### 34 33 35 34 *3.2 Method validation*

36 35 *Stability* of ivermectin in plasma, blood and DBS was determined under different  
37 36 conditions mimicking field and laboratory work (**table 3**). Three QC concentrations (low,  
38 37 middle and high) were extracted and stored at rt for 4 h and at 4 °C for 72 h. High accuracy  
39 38 was obtained with low deviation (< 11%) for all three matrixes. DBS samples (not extracted)  
40 39 were stored at rt for 2.5 months to evaluate its stability under the conditions at the clinical trial  
41 40 site when no freezer is available. Extracted samples retained high accuracy and precision  
42 41 (**table 3**). Three freeze/thaw cycles were performed with sets of samples, which were  
43 42 subsequently extracted and analyzed. Ivermectin was proven stable in plasma and DBS with  
44 43 high accuracy and precision (CV: 0.9–7%). Spiked blood samples kept under the latter  
45 44 condition yielded ineligible accuracy (81.3–108%, required range: 85–115%) with low  
46 45 precision (CV:  $\leq$  22%). Additionally, long term stability was tested by storing samples for 2–3  
47 46 months at -80 °C. Ivermectin in plasma and DBS samples retained stability, whereas blood  
48 47 samples did not achieve acceptable accuracy and precision (CV > 15%). Thus, ivermectin

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3 1 samples were found stable under all conditions in plasma and DBS, but storage of blood  
4 2 samples did not yield the required accuracy and precision and thus, failed the validation.  
5 3 Therefore, blood samples were not further evaluated in this work and conclusively, blood is  
6 4 not the matrix of choice when ivermectin is analyzed.

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2 **Table 3.** Stability of ivermectin in plasma, blood and DBS.

Condition	Matrix	C <sub>nominal</sub> [ng/mL]	C <sub>0</sub> [ng/mL]	Accuracy ± CV [%]
Room temperature (4 h, extracted)	Plasma	3	2.88	96.0 ± 10.9
		100	114	114 ± 1.17
		180	198	110 ± 0.42
	Blood	5	5.01	100 ± 2.42
		100	97.1	97.1 ± 6.19
		180	188.1	105 ± 4.26
Room temperature (2.5 months)	DBS	5	4.73	94.6 ± 8.06
		100	101	101 ± 5.08
		180	160	88.7 ± 3.22
4 °C (72 h, extracted)	Plasma	3	2.97	99.0 ± 3.62
		100	98.2	98.2 ± 1.73
		180	173	95.8 ± 0.28
	Blood	5	5.35	107 ± 4.04
		100	102	102 ± 5.88
		180	183	99.2 ± 0.83
	DBS	5	5.10	102 ± 5.60
		100	102	102 ± 8.44
		180	179	99.4 ± 8.61
-80 °C (2–3 months)	Plasma	3	2.77	92.2 ± 1.56
		100	101	101 ± 0.65
		180	185	103 ± 1.56
	Blood	5	4.89	97.7 ± 15.5
		100	103	103 ± 7.13
		180	198	110 ± 19.0
	DBS	5	5.09	102 ± 3.00
		100	102	102 ± 5.86
		180	169	93.7 ± 6.37
Freeze/thaw cycles (3x)	Plasma	3	3.00	100 ± 0.92
		100	110	110 ± 2.29
		180	185	103 ± 1.83
	Blood	5	4.68	93.5 ± 0.88
		100	81.3	81.3 ± 22.1
		180	108	108 ± 1.96
	DBS	5	5.08	102 ± 7.04
		100	102	102 ± 0.93
		180	165	91.7 ± 5.39

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<sup>a</sup> Values are means of *n* = 3 different human sources

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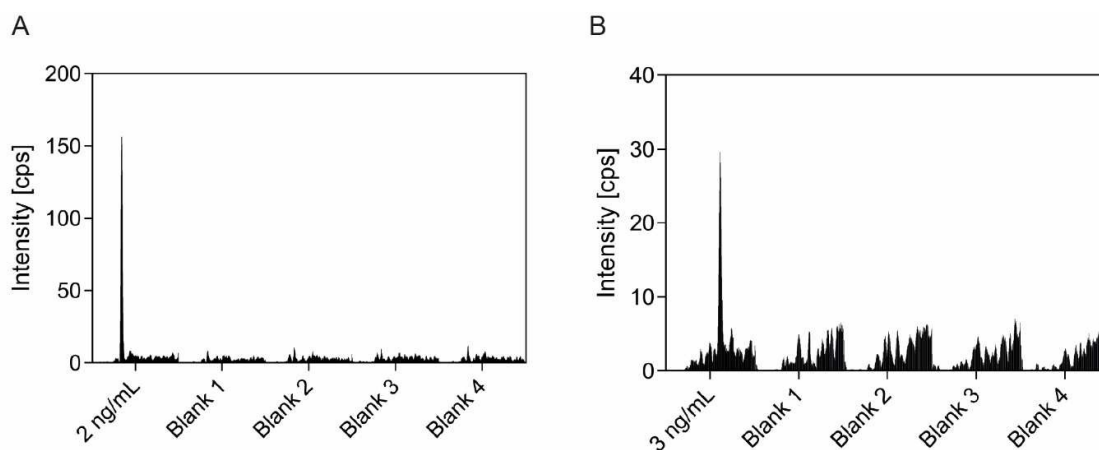
The validation of *selectivity and sensitivity* was performed by analyzing six different blank and zero blank (without and with internal standard, respectively) human plasma and DBS samples and their corresponding LLOQs. Representative LC-MS/MS chromatograms of zero blank samples are illustrated in **figure 2** including the LLOQ of each matrix for comparison (892.5 → 307 m/z). Zero blank samples were extracted with the internal standard to exclude

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1 that deuterated ivermectin partially contains ivermectin. No co-elution of unidentified peaks  
2 was observed proving the *selectivity* of the method and the purity of deuterated ivermectin  
3 (**figure 2**). The *sensitivity* of the method is defined by the LLOQ; 2 ng/mL and 3 ng/mL was  
4 achieved for plasma and DBS, respectively. The signal to noise ratio was at least 5:1 for the  
5 analytes as specified by the U.S. FDA guidelines for method's *sensitivity*.

6 *Linearity* of the method was tested in 2–200 ng/mL range for plasma and 3–200 ng/mL  
7 for DBS. Higher blood concentration of ivermectin was not expected due to earlier reported  
8 data of healthy volunteers.<sup>13,15</sup> 75% of calibration samples did not deviate more than  $\pm 15\%$   
9 (LLOQ:  $\pm 20\%$ ) from the nominal value. The correlation coefficient ( $r^2$ ) of all validation  
10 experiments was  $> 0.997$ .

11 *Accuracy* and *precision* were tested in validation experiments. Intra-assay results were  
12 determined by analyzing three validation sets on one day and inter-assay experiments were  
13 performed with three validation sets on three individual days. In all sets, four QC  
14 concentrations were evaluated in six different human sources and compared to the  
15 calibration line ( $n_{\text{tot}} = 18$  QC samples). At least 4/6 QC samples were in line with the  
16 regulations ( $\pm 15\%$  of the nominal value,  $\pm 20\%$  for the LLOQ). Intra and inter assay results  
17 of the two matrixes (plasma and DBS) are similar with an accuracy of 96.3–101% and 96.1–  
18 107% and a precision of 3.79–12.2% and 4.89–12.2%, respectively (**table 4**). The consistent  
19 accuracy and precision  $< 15\%$  prove the method's validity for the two matrixes. Moreover,  
20 varying hematocrits of DBS samples did not affect results.



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23 **Figure 2.** Background signal of four zero blank matrix samples: (A) plasma, (B) DBS. LC-MS/MS chromatograms  
24 of 892.5  $\rightarrow$  307 m/z are presented when pure matrix was extracted. Internal standard was added to all zero blank  
25 samples to exclude interference of ivermectin detection by the internal standard. The chromatogram of the LLOQ  
26 is shown for comparison. The LLOQ of 2 ng/mL was achieved for plasma and 3 ng/mL for DBS.

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7**Table 4.** Intra- and inter-assay accuracy and precision of ivermectin extracted from plasma and DBS.

Matrix	C <sub>nominal</sub> [ng/mL]	Intra-assay <sup>a</sup>		Inter-assay <sup>a</sup>	
		c <sub>0</sub> [ng/mL]	Accuracy ± CV [%]	c <sub>0</sub> [ng/mL]	Accuracy ± CV [%]
Plasma	2	1.93	96.3 ± 8.24	1.93	96.6 ± 6.82
	3	2.97	99.1 ± 12.2	3.02	101 ± 8.20
	100	97.6	97.6 ± 7.43	99.1	99.1 ± 3.79
	180	177	98.1 ± 6.47	178	99.0 ± 4.07
DBS	3	3.13	104 ± 12.2	3.21	107 ± 11.7
	5	4.88	97.5 ± 10.6	4.80	96.1 ± 9.89
	100	98.2	98.2 ± 5.68	99.4	99.4 ± 4.89
	180	180	100 ± 8.08	179	99.6 ± 7.83

<sup>a</sup> Values are means of  $n = 18$  QC samples of three independent validation sets.

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The RRE and ME of ivermectin extracted from plasma and DBS were determined in three concentrations (low, middle and high) and results are illustrated in **table 5**. No ME were observed for the two matrixes with results > 88% and the coefficient of variation was low for DBS (< 6%) and plasma samples (< 11%).

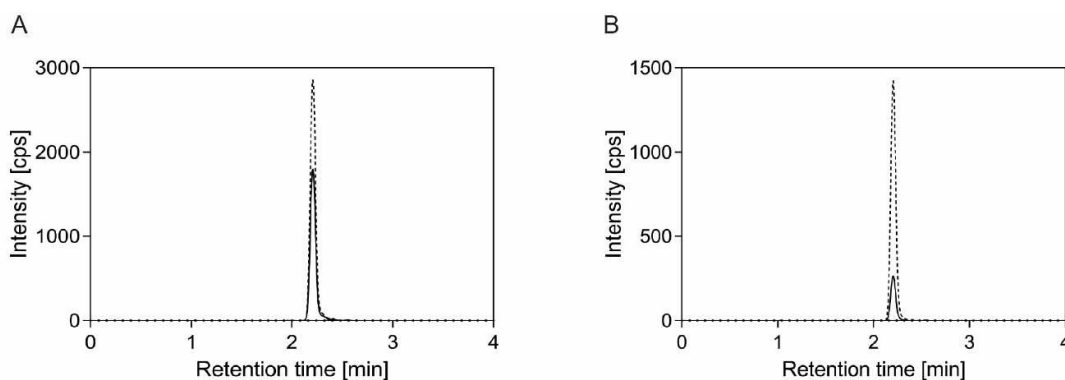
RRE of ivermectin resulted in acceptable values for plasma (> 77%) with low deviation. RRE of DBS yielded in consistent but rather low results (47–56%). The low RRE might be caused by the extraction procedure, where two spots are extracted in one Eppendorf Tube®. The two DBS spots tended to stick together during extraction so that the solvents possibly could not reach effectively both sites of both spots. For future studies, the extraction procedure should be further optimized to increase RRE results.

**Table 5.** Relative recovery (RRE) and matrix effect (ME) of ivermectin extracted from plasma and DBS.

Matrix	C <sub>nominal</sub> [ng/mL]	RRE ± CV [%] <sup>a</sup>	Mean ± CV [%] <sup>b</sup>	ME ± CV [%] <sup>a</sup>	Mean ± CV [%] <sup>b</sup>
Plasma	3	85 ± 13	81 ± 9.2	97 ± 11	92 ± 8.3
	100	77 ± 7.6		91 ± 6.5	
	180	81 ± 2.2		88 ± 1.3	
DBS	5	56 ± 1.5	52 ± 3.4	102 ± 3.75	106 ± 4.88
	100	47 ± 6.2		107 ± 1.83	
	180	53 ± 8.2		107 ± 6.18	

<sup>a</sup> Values are means of  $n = 4$  different human sources

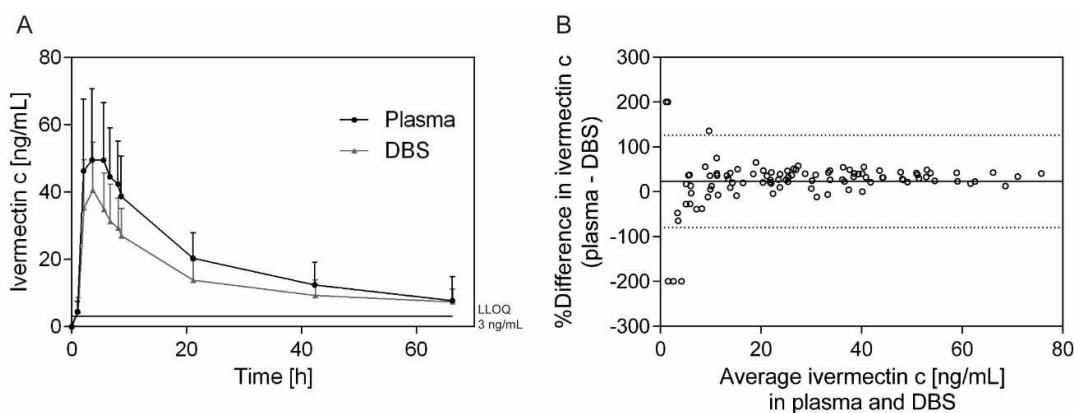
<sup>b</sup> Values are means of  $n = 12$  samples (3 concentrations measured in 4 human sources)



**Figure 3.** Representative LC-MS/MS chromatograms of ivermectin (892.5 → 307 m/z, black line) with internal standard (dashed line) extracted from (A) plasma and (B) DBS samples from the identical patient 4 h post-treatment.

### 3.3 Method application

The LC-MS/MS method was applied to samples collected from 11 *T. trichiura* infected adult volunteers treated with 200 µg/kg ivermectin in a clinical study in rural Côte d'Ivoire. Ivermectin was extracted from plasma and DBS samples and representative LC-MS/MS chromatograms of ivermectin are shown in **figure 3**. The concentration-time profiles (**figure 4A**) illustrate that the time to reach maximum ivermectin concentration is identical for plasma and DBS samples ( $t_{max} = 3.9$  h, **table 6**). A slow clearance and thus a long  $t_{1/2}$  (26 and 32 h, respectively) was observed for plasma and DBS samples as ivermectin was still detectable after 72 h post-treatment. The detected median of the maximal ivermectin concentration ( $C_{max}$ ) was 51.6 ng/mL (26.7–91.2 ng/mL) and 40.1 ng/mL (22.6–64.3 ng/mL) for plasma and DBS samples, respectively (**table 6**). The evaluated AUC is 987 ng/ml\*h for plasma and 810 ng/ml\*h for DBS samples. No statistical significant difference was observed between the PK parameters of the two matrices. Moreover, Bland-Altman analysis was performed to evaluate the statistical deviation between the quantified ivermectin concentrations in plasma and DBS samples (**figure 4B**). Consistent and good agreement was obtained of plasma/DBS samples since most data are within confidence intervals ( $\pm 95\%$ ).



**Figure 4.** (A) Concentration time profile of ivermectin extracted from plasma and DBS. LLOQ for DBS (3 ng/mL) is shown as a black line. (B) Bland-Altman analysis comparing results of plasma and DBS clinical trial samples. 95% confidence intervals are shown as dashed lines. Bias is illustrated as a black line.

**Table 6.** Pharmacokinetic parameters of ivermectin extracted from plasma and dried blood spots (DBS). No statistical significant difference between parameters derived from plasma and DBS samples was reported ( $p < 0.05$ ).

Matrix		$t_{1/2}$ [h]	$t_{max}$ [h]	$C_{max}$ [ng/mL]	AUC [ng/ml*h]
Plasma	Median	26.2	3.9	51.6	987
	Min	12.1	2.1	26.7	511
	Max	66.1	9.1	91.2	2199
DBS	Median	32.3	3.9	40.1	810
	Min	17.8	2.3	22.6	348
	Max	84.2	9.2	64.3	1664

#### 4. Conclusion

A rapid, robust and sensitive LC-MS/MS method was established to quantify ivermectin extracted from DBS and plasma. Accuracy, precision, selectivity and sensitivity fulfilled the requirements defined by U.S. FDA for DBS, plasma and blood samples, but ivermectin was proven stable under several conditions only in DBS and plasma. Thus, the method validation was accomplished with consistent results for plasma and DBS but partially failed for blood samples. When the method was applied to PK clinical trial samples from *T. trichiura* infected adults, identical  $t_{max}$  and similar  $C_{max}$ ,  $t_{1/2}$  and AUC were obtained for plasma and DBS samples. DBS should therefore be the matrix of choice for future clinical trials, especially when children are volunteering. This allows a more ethical and less invasive procedure for patients and an easier and consistent technique for field and laboratory work.



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## 5. Conflict of interest

There is no conflict of interest to declare.

## 6. Acknowledgments

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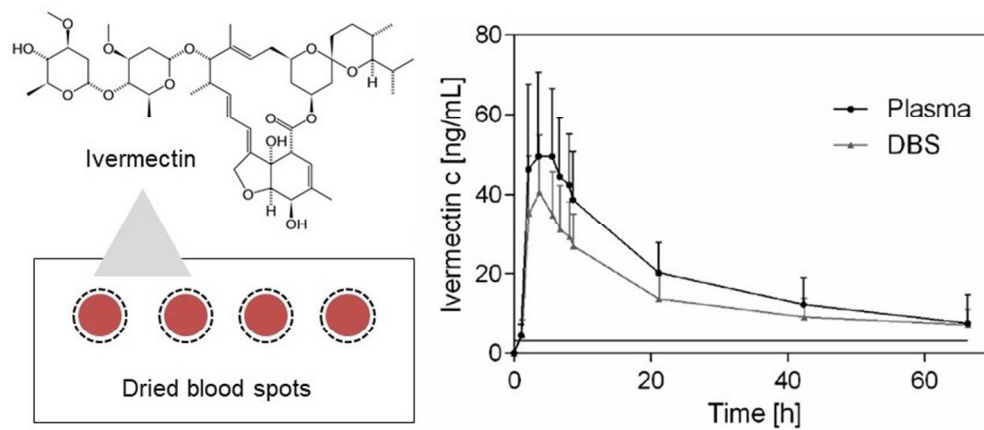
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Ivermectin was quantified in dried blood spot samples derived from *Trichuris trichiura*-infected adults with a validated LC-MS/MS method.



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