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Exploring dissociative water adsorption on isoelectronically BN doped graphene using alchemical derivatives

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The design and production of novel 2-dimensional materials have seen great progress in the last decade, prompting further exploration of the chemistry of such materials. Doping and hydrogenating graphene are an experimentally realised method of changing its surface chemistry, but there is still a great deal to be understood on how doping impacts on the adsorption of molecules. Developing this understanding is key to unlocking the potential applications of these materials. High throughput screening methods can provide particularly effective ways to explore vast chemical compositions of materials. Here, alchemical derivatives are used as a method to screen the dissociative adsorption energy of water molecules on various BN doped topologies of hydrogenated graphene. The predictions from alchemical derivatives are assessed by comparison to density functional theory. This screening method is found to predict dissociative adsorption energies that span a range of more than 2 eV, with a mean absolute error <0.1 eV. In addition, we show that the quality of such predictions can be readily assessed by examination of the Kohn-Sham highest occupied molecular orbital in the initial states. In this way, the root mean square error in the dissociative adsorption energies of water is reduced by almost an order of magnitude (down to ~0.02 eV) after filtering out poor predictions. The findings point the way towards a reliable use of first order alchemical derivatives for efficient screening procedures. Published by AIP Publishing. https://doi.org/10.1063/1.4986314

I. INTRODUCTION

Recognising the enormous number of ways in which elements can be combined is both exciting and daunting in the search for more efficient, more sustainable, and safer materials for medical, engineering, and catalytic applications. High throughput screening in computational chemistry, otherwise known as virtual screening, is paving the way for materials discovery across academic and industrial research. There are various ways to screen through materials (see, e.g., Refs. 1–6). One particularly noteworthy example in catalysis was the study of Greeley et al. which involved the computational screening of 700 binary surface alloys to find a material with high activity for H2 evolution. The computational screening leads to the discovery and subsequent synthesis of BiPt which showed comparable activity to pure Pt experimentally.

We focus on an area of widespread interest, that is, dissociative molecular adsorption on 2-dimensional substrates. In particular, graphene and hexagonal boron nitride (h-BN) are nearly isostuctural materials with emerging applications in industry, including catalysis.8–15 However, an important challenge in using graphene for catalysis, is overcoming its inertness. There are a number of ways to facilitate reactions at the surface of graphene such as using metal substrates14–20 to electronically dope graphene and in-plane doping of graphene with other elements.12,13,21,22 For instance, pristine graphene has been shown to be inert to the dissociative adsorption of water whereas, BN doped and hydrogenated graphene is far more likely to dissociate water.21 Hydrogenating graphene breaks the large delocalized π network of electrons in graphene, which is key to its inertness.23–25 The hydrogenation of graphene has been extensively studied in experiments, with a number of methods of production (see, e.g., Refs. 26–29). In addition, doping graphene isoelectronically with BN atoms further facilitates the adsorption of molecules by forming stronger covalent bonds with adsorbates.17,21 The in-plane BN doping of graphene has also been realised experimentally in recent years12,30–32 with increasing control over the doping process such that nanometre-scale domains can be produced30,32 as well as separated B and N atoms in the graphene surface.12 Facilitating adsorption processes in such ways is vital for these materials to become energy efficient and applicable on a large scale. Here, we investigate how isoelectronically doping with BN away from the adsorption site affects the dissociative adsorption energy of water on graphene.

Considering that computational molecular adsorption studies on graphene typically involve unit cells containing 30-50 carbon atoms, there are hundreds of ways to arrange...
a pair of boron and nitrogen atoms in such a unit cell (after accounting for redundancies by symmetry). However, the iso-electronic nature of doping in this study, and the proximity of boron, nitrogen, and carbon in the periodic table, can be utilized for efficient approximate screening schemes. Specifically, we can look into alchemical derivatives in density functional theory (DFT).\textsuperscript{33–36} This method relies on exploiting the information encoded in the averaged electrostatic potential at each atom, which is analogous to the first order alchemical derivative, readily available after any self-consistent field (SCF) calculation. This and similar conceptual DFT have been discussed comprehensively in some contributions\textsuperscript{33,37–38} and later in Sec. II, we give a brief introduction of the method employed. Note that alchemical derivatives have been used previously to predict various properties such as intermolecular energies,\textsuperscript{39} HOMO eigenvalues,\textsuperscript{40} reaction energies,\textsuperscript{41} doping in benzene,\textsuperscript{42,43} covalent bonds,\textsuperscript{44} and binding in alkali halide crystals,\textsuperscript{45} or transition metals.\textsuperscript{46–48}

In this study, the first order alchemical derivative is used to predict the dissociative adsorption energy of water on BN doped graphene, with doping occurring at different sites in the substrate. The predicted energies are compared with explicitly calculated energies to reveal the quality of predictions and to identify any outliers. Further, it is shown that outliers can be identified without additional calculations by simply using $\rho_{\text{HOMO}}$ of the initial state. The study begins with a description of the methods and the system setup in Sec. II, followed by the results of alchemical predictions in Sec. III. After identifying the main trends, further questions about the procedure and implications for water adsorption are discussed in Sec. IV before concluding in Sec. V.

II. METHODS

Let us begin with a brief background followed later by details of the system setup and calculations. First, any point in chemical compound space can be referred to as a discrete chemical thermodynamic micro-state. Within DFT, such a state is defined by the charge density, which results from solving an equivalent of Schrödinger’s equation for a given proton distribution $\mathbf{Z}(\mathbf{r})$ and number of electrons $N_e$. As such, $Z(\mathbf{r})$ and $N_e$ can also be seen as extensive particle variables in a molecular grand-canonical ensemble.\textsuperscript{33} The mutation of a chemical thermodynamic system into another can be achieved by thermodynamic integration with respect to a switching parameter $\lambda$. The parameter $\lambda$ simply tracks the change from the initial state to the final state. A converged integration would require sampling intermediate $\lambda$ and hence several DFT calculations. Instead here, this mutation is approximated, using a Taylor expansion around the initial system and $\lambda$, 

$$E(\lambda = 1) = E^{0} + \partial_{\lambda} E^{0} \Delta \lambda + \frac{1}{2} \partial_{\lambda}^{2} E^{0} \Delta \lambda^{2} + \ldots, \quad (1)$$

where $\lambda = 0$ corresponds to the initial system, $\lambda = 1$ corresponds to the target system and hence $\Delta \lambda = 1$. Indeed it is not given that the first order term in Eq. (1) is always predictive. However, it has been observed that for relative energies, such as the adsorption energy for instance, higher order terms can cancel out resulting in useful predictions of properties.\textsuperscript{39–48} Importantly, as we see below, the first order term in Eq. (1) can be evaluated from a single DFT calculation of the initial state. In general, the first order term $(\partial_{\lambda} E^{0})$ includes the variance of the energy with changes in the proton density, the nuclear positions, and the number of electrons. However, here we consider the isoelectronic doping of a graphene sheet with fixed atomic positions, and later this is shown to be a good approximation in the system considered here. Terms involving changes in atomic positions $\{\mathbf{R}_{i}\}$ and $N_{e}$ can therefore be neglected leaving us with the electronic contribution,

$$\partial_{\lambda} E = \sum_{i} \frac{\partial E}{\partial Z_{i}} \frac{\partial Z_{i}}{\partial \lambda} = \sum_{i} \int d\mathbf{r} \rho(\mathbf{r}) \text{erf}\left[\sigma |\mathbf{R}_{i} - \mathbf{r}| \right] \frac{\partial Z_{i}}{\partial \lambda}, \quad (2)$$

where the variation of the energy with respect to a small change in nuclear charge ($Z_{i}$), damped by the error-function because of the lack of intranuclear repulsion, is known as the alchemical potential $\mu_{i}$.\textsuperscript{49} This is referred to as the alchemical potential, rather than the electrostatic potential, since it quantifies the first-order energy change as a result of an “alchemical” infinitesimal variation in the proton number at an atomic site. When deviating from the transmuting atom’s position, the alchemical potential becomes very similar to the electrostatic potential, $V_{\text{ESP}}(\mathbf{r})$. For practical reasons, we note that the average electrostatic potential at each atom [including the nuclear contributions omitted in Eq. (2)]—or alchemical potential—is readily available at the end of the SCF cycle in the widely used Vienna Ab Initio Simulation Package (VASP).\textsuperscript{50–53} Hence, we can easily evaluate the first order alchemical perturbation based approximation of the energy of any doped system from the information [i.e., $V_{\text{ESP}}(\mathbf{r})$] provided in a single DFT calculation containing all of the atoms relevant to the doping process.

Not surprisingly, however, the quality of first order based predictions can vary significantly, and it is expected that the second order derivative in Eq. (1) can improve the accuracy of predictions\textsuperscript{54} by introducing some response properties of the system. For example, the second order term includes variation of the alchemical potential with respect to nuclear charge,

$$\partial_{Z_{i}} \mu_{i} = \int d\mathbf{r} \text{erf}\left[\sigma |\mathbf{R}_{i} - \mathbf{r}| \right] \rho(\mathbf{r}) \frac{\partial Z_{i}}{\partial \lambda}, \quad (3)$$

where $\partial_{Z_{i}} \rho(\mathbf{r})$ corresponds to the electron density response to varying the nuclear charge at the doping atom $i$. There are various ways to calculate the electron density’s response which involve further computational effort; for this work, we merely wish to estimate it in a qualitative fashion. As such, we find it useful to assume the existence of a correlation between the actual response and the Pearson’s local softness of the atom in the molecule, as measured by the local density of the highest occupied molecular orbital (HOMO) for electrophiles (such as protons), $\rho_{\text{HOMO}}$.\textsuperscript{54}
A. Technical details and system setup

The dissociative adsorption of a water monomer on boron nitride doped graphene (BNDG) was calculated using DFT and VASP 5.3.2. VASP uses plane-wave basis sets and projector augmented wave (PAW) potentials to model the core region of atoms. The PBE exchange-correlation functional is used throughout along with PBE PAW potentials and a plane-wave energy cutoff of 500 eV. Earlier work has shown that similar trends in terms of water dissociation are obtained with PBE, the hybrid B3LYP functional, and the dispersion inclusive optB86b-vdW functional. Note that using different exchange-correlation functionals can lead to more important differences in physisorption interactions, where the fine balance between exchange and correlation can result in different trends (see, for example, Refs. 65–68).

The dissociative adsorption energy of water was found to be converged to 0.001 eV with a plane-wave energy cutoff of 500 eV when tested up to 800 eV. A (7 × 7) unit cell of graphene is used, with four carbon atoms replaced by two boron and two nitrogen atoms. The dissociative adsorption energy of water is already converged with a (5 × 5) unit cell, but using a larger cell provides more pathways for alchemical mutation of atoms. The separation between periodic images of the substrate in the z-direction is 10 Å; this achieves convergence of the adsorption energy of water to within 0.004 eV compared to a z-direction separation of 30 Å. Reciprocal space was sampled with up to 7 × 7 × 1 k-points and the adsorption energy was found to be converged within 0.05 eV at the Γ-point. Hence, all calculations reported here were performed at the Γ-point.

The adsorption site in the substrate contains a pair of BN atoms in the surface and two adsorbed hydrogen atoms, as shown in Fig. 1. Doping and hydrogenating in this way have been shown previously to make the surface more reactive towards the dissociative adsorption of water. Importantly, atoms other than carbon at the adsorption site remain unchanged and are not involved in any transmutations. The dissociative adsorption energy is defined as

\[ E_{\text{ads}} = E_{\text{ads/sub}}^{\text{tot}} - E_{\text{sub}}^{\text{tot}} - E_{\text{ads}}^{\text{tot}}, \]  

where \( E_{\text{ads/sub}}^{\text{tot}} \) is the total energy of the adsorption system, \( E_{\text{sub}}^{\text{tot}} \) is the total energy of the substrate (with two hydrogen atoms adsorbed), and \( E_{\text{ads}}^{\text{tot}} \) is the energy of the intact water molecule in the gas phase.

\[ E_{\text{ads}} = E_{\text{ads/sub}}^{\text{tot}} - E_{\text{sub}}^{\text{tot}} - E_{\text{ads}}^{\text{tot}}. \]

FIG. 1. Adsorption energy \( E_{\text{ads}} \) defined as the difference between the adsorption system \( E_{\text{ads/sub}}^{\text{tot}} \), and the substrate with two hydrogen atoms adsorbed \( E_{\text{sub}}^{\text{tot}} \) and the gas phase water molecule \( E_{\text{ads}}^{\text{tot}} \). Water is dissociatively adsorbed on the opposite side of the sheet to the hydrogen atoms. Carbon is in light blue, nitrogen is in dark blue, boron is in pink, oxygen is in red, and hydrogen is in grey.

Four types of alchemical mutation routes between carbon, boron, and nitrogen are considered here, illustrated in Fig. 2. There are a set of paths associated with each route, where a path defines the starting and final states for a given transmutation. The initial state in each path contains a pair of BN atoms near the edge of the unit cell which can be involved in transmutation. Note that in all four alchemical routes, the graphene sheet is also hydrogenated and contains a second pair of BN atoms at the dissociation site, but these particular dopants are excluded from alchemical mutation. In two types of routes, referred to as BN pair 1 and BN pair 2, a pair of BN atoms are transmutated to different sites across the graphene sheet, as illustrated with examples in Fig. 2. These two routes are distinguishable due to the existence of two sublattices within graphene. In BN pair 1, the transmutating BN atoms occupy the same sublattice in graphene as the unchanged BN atoms at the dissociation site. Whereas in BN pair 2, the transmutating BN atoms occupy the other sublattice. The third type of route, B2C, refers to alchemical changes involving only the boron atom. Similarly, N2C refers to the swapping of carbon atoms with nitrogen while keeping the boron atom fixed. In each type of route, there are 94 possible paths for this unit cell size such that we have validated a total of 376 paths for this study. Note that only two single point DFT calculations are needed to make alchemical predictions for a set of 94 paths.

Thanks to the geometrical similarity of graphene and h-BN, doping graphene with BN atoms has a small impact on the structure. We have confirmed this by performing geometry relaxations of 10 paths with the largest and smallest dissociative energies, with forces being converged to within 0.001 eV/Å. The largest change in bond lengths after relaxation was seen for boron-carbon bonds, which changed by up to ~0.1 Å. The energy of relaxation gained from this is up to ~0.3 eV and does not affect the trends observed. Therefore, fixing the geometry in all calculations is considered a reasonable approximation.

III. RESULTS

The PBE energy of water dissociation has been calculated for each transmutation path without relaxing the positions of
the atoms. Hence, in what follows the geometries are fixed in
the full DFT calculations such that the resulting dissociative
energies can be compared directly with the alchemically pre-
dicted energies. Figure 3 shows scatter plots comparing these
energies, for each alchemical route. It can be seen that the
PBE adsorption energies range from -0.3 to -2.8 eV, reveal-
ing that the precise location of the dopants has a significant
impact on the reactivity of the active site. The large range
of adsorption energies for seemingly similar surfaces can be
understood in terms of two chemical effects from the doping
boron and nitrogen atoms, namely, resonance and induction.
In the former, the non-bonding valence electrons of nitrogen
partake in \( \pi \) conjugation with \( p \)-states on carbon atoms. This
has a long-range impact on the electron density of the sur-
face and therefore the reactivity of the adsorption site. Second,
the difference in electronegativity between boron, carbon, and
nitrogen atoms leads to local inductive effects and this is likely
to have a particularly large impact when the doping atoms are
near the active site. Upon considering how well the alchemi-
cal derivatives capture this behaviour, it can be seen that the
majority of predictions is good. There are, however, a num-
ber of outliers resulting in a poor \( R^2 \) correlation coefficient
of 0.14 for the BN pair 1 route. The \( R^2 \) coefficients for the
other alchemical routes are similarly unimpressive between
0.17 and 0.49, and in all cases there are clear outliers. In addi-
tion, the few outliers correspond to configurations with either
the most or least favorable adsorption energies—and the pre-
dictive power of the first order alchemical derivatives is worse
for the outliers with the less favorable adsorption energies.
These potentially interesting configurations are considered in
more detail in Sec. IV, but first it is important to avoid pre-
dicting misleading trends for the outliers. It follows that for
an effective screening process, it would be better to identify
outliers without further computational cost. In Sec. III A, it is
demonstrated how that is possible using the HOMO in the
initial states.

A. Filtering outliers using highest occupied
molecular orbitals

Let us first consider doped graphene in which the sub-
stituent atoms and dopants have a mesomeric effect on the elec-
tronic structure of the surface, i.e., they have either an electron
withdrawing or electron releasing impact. This effect resonates
across the surface giving rise to mesomerically active and pas-
sive sites. The mesomeric role of atoms can be probed using a
Bader charge density partition\(^70\) per atom of the HOMO charge
density, which indicates the prominence of the HOMO at a
given atom site. See Fig. 4 for an example of the Kohn-Sham
HOMO shown for the reference state of BN pair 1. Atoms
with charge density above a chosen cutoff value in the HOMO
are considered mesomerically active, and those under are
mesomerically passive. For a given path, the charge at the sites
of mutation in the initial state can be summed to obtain a mea-
sure of the extent of mesomeric activity. This combined Bader
charge and the corresponding relative absolute error (RAE) for
each path are shown in Fig. 5. It can be seen that most paths
have a RAE less than 0.01, whilst those which have substantial
errors also have large HOMO charges associated with them.
As a result, the partitioned HOMO charge can be used to elim-
inate the outliers. Note that the correlation is not direct, there
are some paths with a high associated HOMO charge but small
errors.

The use of HOMO charges can be demonstrated by com-
paring the quality of predictions for two sets of paths, defined
by a cutoff in their combined HOMO charges. More specif-
ically, paths with a combined HOMO charge higher than a
given cutoff charge are referred to as mesomerically active,
and those with a lower charge are referred to as mesomeri-
cally passive. Here, the cutoff charge is chosen as the lowest
combined HOMO charge found in paths with a RAE > 0.1.
In this way, we knowingly class all paths with RAE > 0.1 as
mesomerically active, and paths with RAE less than 0.1 are
classed as mesomerically passive. Using this hindsight classi-
fication, the cutoff charges for the four routes are 0.203, 0.192,
0.140, and 0.025 e/atom for BN pair 1, BN pair 2, B2C, and

![FIG. 3. Scatter plots of the PBE adsorption energies against alchemically predicted adsorption energies for each path in eV. (a) BN pair 1 in black. (b) BN pair 2 in green. (c) B2C in red. (d) N2C in blue. Clear outliers are indicated by filled orange squares.](image)

![FIG. 4. Charge density plot (in red) of the Kohn-Sham highest occupied molecular orbital (HOMO) for the initial state of the surface of BN pair 1. The blue borders indicate the unit cell. An isovalue of 0.005 eV/Å\(^3\) was used for the charge density plot.](image)
FIG. 5. The relative absolute error and combined HOMO charge are shown for each path. Top panel includes BN pair 1 and 2, whilst lower panel includes B2C and N2C. Grey horizontal lines indicate the threshold RAE value at 0.1. The vertical dashed lines indicate the corresponding charge cutoffs for each route. These are used to distinguish between paths which are referred to as mesomerically active (higher than the charge cutoff) and passive (lower than the charge cutoff).

N2C, respectively. Later we discuss how the cutoff charge can be chosen a priori without a threshold RAE, but its usefulness is first demonstrated in Fig. 6. It can be seen that all outliers belong to the mesomerically active paths (see filled circles in Fig. 6). In addition, the mesomerically passive paths deviate less from the PBE calculated energies and are therefore better predicted than mesomerically active paths.

The effectiveness of this procedure is more clearly seen in Table I where the $R^2$, Spearman’s rank coefficient ($r_s$), mean absolute error (MAE), and root mean square error (RMSE) are reported for each route. The MAE and RMSE are an order of magnitude larger for paths involving mesomerically active sites compared to passive sites. The MAE for mesomerically passive sites is $\sim 0.03$ eV for the BN pair routes and thus within the so-called chemical accuracy ($\sim 0.04$ eV) of the PBE adsorption energies. Similarly, the MAE for mesomerically passive paths in the B2C and N2C routes are only slightly larger ($\sim 0.05$ eV). Interestingly, the errors are generally larger for N2C [see in Fig. 5(b) the comparison with B2C] and as a result a smaller charge cutoff was used based on the threshold RAE of 0.1. The larger errors for N2C may seem at odds with the very good $r_s$ coefficient for both mesomerically active (0.89) and passive (0.92) sites. Indeed from Fig. 6(d), it can be seen that there is only one obvious outlier in the N2C route. However, it has been shown previously that predictions for right-to-left transformations in the periodic table are not equivalent to the reverse and entail larger errors.44 We see this in the N2C route in which a nitrogen atom takes the place of different carbon atoms across the surface. Encouragingly, a strong correlation is still present between alchemically predicted and PBE calculated adsorption energies in the N2C route, despite a general shift away from the calculated energies.

IV. DISCUSSION

Partitioning the HOMO charge density for the initial states is shown to be an effective means of filtering out particularly weak predictions. However, at least two important questions need to be addressed with regards to this process and let us also draw some chemical insights.

First, how should the initial threshold value for the HOMO charge density be chosen without performing further calculations? This is somewhat of an arbitrary choice but some
guidelines can be used. For example, the threshold charge can be chosen by considering the distribution of combined HOMO charges of all paths, and finding the point at which the combined HOMO charge begins to deviate from the majority of paths. For example, without considering the RAE and focusing only on the spread of values in the combined Bader charge in Fig. 5, most combined charges are below 0.15 e/atom. Importantly, this choice does not rely on the knowledge of the direct PBE results and interestingly, it is comparable to the informed choice of threshold values for the BN pair and B2C routes in Table I. Indeed, according to Fig. 5, a threshold value of 0.15 e/atom would still correspond to small errors for all routes considered.

Second, how can the filtered mesomerically active paths be salvaged? In the current context that would be very useful because the most negative dissociation energies arise from doping at mesomerically active sites (see Fig. 5). Two particular solutions can be pursued. One is to simply perform DFT calculations for the mesomerically active paths—this is somewhat unimaginative but straightforward. The second possibility is to go beyond the first order alchemical derivative and improve the prediction by including second order terms. Recently Chang et al. compared three approximations with the second order term namely, the coupled perturbed (CP) approach, the independent particle approximation (IPA), and the finite difference method, for the density response to alchemical coupling. The CP approach is shown to be superior to IPA for horizontal isoelectronic transformations in many-electron systems. However, all higher order alchemical derivative terms require additional computational cost. As such, it depends on the implementation of second order derivative approaches whether they would be more efficient than to directly calculate the DFT energies for mesomerically active paths.

Beyond the implications of efficiently screening isoelectronically doped configurations of graphene, one can take a closer look at the resulting favorable dissociative adsorption configurations to gain some chemical insight. Figure 7 shows the configuration with the most favorable water adsorption energies obtained from alchemical predictions as well as direct PBE calculations, for each route, which range from $-2.8$ eV to $-1$ eV) as a result of BN doping at various sites in the surrounding graphene sheet. Given that BN of doping graphene has been achieved experimentally, and in-plane mixtures of graphene and h-BN have also been produced, it would be particularly interesting to verify our findings with experiments. In addition, hydrogenation of graphene has also been experimentally achieved (see, e.g., Ref. 71), and thus it is timely to explore the thermochemistry at BN doped and hydrogenated graphene surfaces with adsorption measurements and surface studies.

V. CONCLUSION

It has been shown that predictions using alchemical derivatives in DFT can be used to explore the impact of isoelectronic doping in activated graphene on the dissociative adsorption of water. Doping at different sites around the adsorption site in the substrate leads to a spread of $\sim 2$ eV in the adsorption energy. Such a wide spread of adsorption energies shows that doping away from the dissociative adsorption site on graphene can have a significant impact on the adsorption energy of water. This suggests that BN doping of graphene could be a potential method for tuning surface reactions. Importantly, it has been demonstrated that poor alchemical
predictions can be filtered out by identifying mesomerically active and passive sites using a Bader analysis of the HOMO in the initial state. In this way, one can efficiently screen through the majority of configurations with very good accuracy. For instance, in this study, the MAE is as low as 0.025 eV in the dissociative adsorption energy of water. This corresponds to less than 1% error for hundreds of PBE dissociative adsorption energies using minimal computational effort (eight self-consistent field DFT calculations). The use of algebraic methods for screening can also provide an efficient way to study the adsorption of various industrially important molecules such as hydrogen and methane on doped graphene surfaces. More broadly, there is scope for going beyond the first step in this study and screening materials and adsorbates in complex catalytic processes with algebraic derivatives. Further development and implementation of this pre-screening method, including in the choice of the HOMO cutoff charge, towards a more generalized use are desirable in order to investigate a variety of systems in future. Such pre-screening could significantly reduce the number of DFT calculations that would need to be performed whilst providing useful chemical insight.

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