

1 **Role of nuclear receptors in exercise-induced muscle adaptations**

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11

12 **Abstract**

13 Skeletal muscle is not only one of the largest, but also one of the most dynamic organs. For example,  
14 plasticity elicited by endurance or resistance exercise entail complex transcriptional programs that are  
15 still poorly understood. Various signaling pathways are engaged in the contracting muscle fiber and  
16 collectively culminate in the modulation of the activity of numerous transcription factors and  
17 coregulators. Since exercise confers many benefits for the prevention and treatment of a wide variety  
18 of pathologies, pharmacological activation of signaling pathways and transcription factors is an  
19 attractive avenue to elicit therapeutic effects. Members of the nuclear receptor superfamily are of  
20 particular interest due to the presence of well-defined DNA- and ligand-binding domains. In this  
21 review, we summarize the current understanding of the involvement of nuclear receptors in muscle  
22 biology and exercise adaptation.

23

## 24 1. Introduction

25 Skeletal muscle is the largest organ in our body, accounts for ~40% of body mass, contains  
26 approximately 50-75% of all body proteins, and takes up about 85% of glucose upon insulin stimulation  
27 (Frontera and Ochala 2015). Moreover, even though skeletal muscle only contributes ~30% to energy  
28 expenditure at rest, 90% of the 20 fold peak increase in energy expenditure during physical activity can  
29 be attributed to muscle. Accordingly, skeletal muscle is one of the main sites of metabolism of glucose,  
30 fatty acids, ketone bodies and lactate. The energy generated in this process is used for contraction and  
31 hence the generation of force, including that which is required to maintain posture and breathing. In  
32 addition, skeletal muscle function is instrumental to maintain body temperature, and is one of the  
33 main storage sites for glucose (in the form of glycogen), lipids (as neutral triglyceride lipid droplets)  
34 and amino acids. With the detection of myokines, muscle has also been defined as endocrine organ  
35 exerting auto-, para- and endocrine effects (Schnyder and Handschin 2015). Finally, skeletal muscle  
36 can contribute to the detoxification of predominantly endogenous metabolites, such as L-kynurenine  
37 or excessive ketone bodies (Svensson et al. 2016).

38 To be able to cope with these diverse functions, skeletal muscle is one of the most dynamic tissues.  
39 Upon different stimuli, massive adaptations are initiated and, if the respective stimuli persist,  
40 maintained chronically. Most strikingly, biochemical, metabolic and contractile properties are  
41 modulated by physical activity. Many different signaling pathways are activated during and after  
42 exercise bouts and collectively result in the regulation of a complex transcriptional program (Egan and  
43 Zierath 2013; Kupr and Handschin 2015; Hoppeler 2016) that varies between endurance and resistance  
44 exercise resulting in distinct and specific outcomes (Hawley et al. 2014; Camera et al. 2016; Qaisar et  
45 al. 2016). Importantly, these two types of exercise not only improve muscle endurance and strength,  
46 respectively, but also confer beneficial effects for the prevention and treatment of many different  
47 pathologies (Handschin and Spiegelman 2008; Booth et al. 2012; Pedersen and Saltin 2015).

48 Even though the epidemiological association of a sedentary life-style with the increased risk for many  
49 chronic diseases is clear, and inversely, the benefits of exercise have been demonstrated (Pedersen  
50 and Saltin 2015), the incidence of most of these pathologies is on the rise world-wide. Exercise  
51 interventions often fail due to lack of adherence and compliance. Moreover, subgroups of patients  
52 exist with exercise intolerance, defined either as the inability to train or as a detrimental outcome of  
53 physical activity. It is therefore intriguing to speculate that a better knowledge of the complex  
54 molecular mechanisms that underlie exercise adaptations in skeletal muscle could be leveraged to  
55 design so-called “exercise mimetics”, pharmacological interventions that elicit exercise-like effects  
56 (Handschin 2016). Of the transcription factors (TFs) that have been described in skeletal muscle  
57 plasticity, those belonging to the superfamily of nuclear hormone receptors (NRs) are of particular  
58 interest in this regard. NRs are the largest family of TFs in metazoans (Escriva et al. 2004; Bookout et  
59 al. 2006). With few exceptions, all of the NRs are characterized by a highly conserved domain structure  
60 (Fig. 1A) (Germain et al. 2006). An amino-terminal A/B domain, often with an intrinsic transcriptional  
61 activation function (AF-1), is followed by a DNA-binding domain C that entails a zinc finger-based DNA  
62 binding domain. A hinge region D then links to the ligand-binding and dimerization domain E/F, of  
63 which helix 12 includes the activation function 2 (AF-2). The NR superfamily includes the classic steroid  
64 hormone receptors, “orphan” receptors with no known endogenous ligand, and “adopted” NRs for  
65 which endogenous ligands have been identified. All of the NRs with functional DNA-binding domains  
66 are recruited to either individual or direct, inverted or everted repeats of canonical nucleotide  
67 hexamer half-sites with variable spacing (Fig. 1B). While most of the steroid hormone receptors bind  
68 as homodimers, other NRs can also be recruited to target sites as monomers or as heterodimers with

69 the common binding partners retinoid X receptors  $\alpha$ ,  $\beta$  or  $\gamma$  (RXR $\alpha/\beta/\gamma$ , official nomenclature  
70 NR2B1/2/3, see (Auwerx et al. 1999)). Type I NRs reside in the cytoplasm and translocate into the  
71 nucleus upon ligand binding and activation. Type II NRs are found in the nucleus, heterodimerize with  
72 RXRs, often sit on response elements and then exchange corepressors for coactivators when activated  
73 by ligands. Similarly, the Type III and Type IV NRs are retained in the nucleus and bind to DNA-response  
74 elements as homodimers to hexamer repeats (Type III) or as monomers or dimers, but only to a single  
75 hexamer half site (Type IV). NR ligands include hormones, lipids, steroids, retinoids, xenobiotics and  
76 synthetic compounds. Accordingly, many NRs sense the energy or the dietary status of a cell and  
77 regulate metabolism and energy expenditure (Pardee et al. 2011). Not surprisingly, various NRs have  
78 thus also been implicated in the regulation of myogenesis, skeletal muscle function and plasticity. In  
79 this review, these nuclear receptors and important cofactors are highlighted and their role in exercise-  
80 induced muscle adaptations as well as their potential as drug targets is discussed.

81

## 82 **2. The NR superfamily and its role in exercise-induced skeletal muscle adaptation**

83 A surprisingly high proportion of NRs in mice, 35 out of 49, demonstrates detectable gene expression  
84 in skeletal muscle (Table 1) (Bookout et al. 2006). However, a potential role in skeletal muscle function  
85 and exercise adaptation has been studied for only a subset of those (Fig. 2). Current knowledge and  
86 recent updates about these nuclear receptors is summarized in the following paragraphs (Table 2).  
87 Further information and primary literature can be found in additional excellent review articles on this  
88 topic, e.g. (Smith and Muscat 2005; Fan et al. 2011; Fan et al. 2013; Fan and Evans 2015; Mizunoya  
89 2015).

### 90 **2.1.1 Subfamily 1, Group A: Thyroid hormone receptors (TR) – Type II**

91 Hypo- and hyperthyroidism have profound effects on whole body metabolism. The effect of thyroid  
92 hormone is mediated by two receptors, TR $\alpha$  (NR1A1) and TR $\beta$  (NR1A2). In skeletal muscle,  
93 hypothyroidism promotes a shift towards slow, oxidative and injection of thyroid hormone to fast,  
94 glycolytic muscle fibers, respectively (Smith and Muscat 2005; Mizunoya 2015). In loss-of-function  
95 studies, knockout of TR $\alpha$ , but not of TR $\beta$ , was likewise associated with an increase in oxidative muscle  
96 fibers (Yu et al. 2000). Interestingly however, concomitant ablation of both TRs exacerbated the switch  
97 from type II to type I fibers, indicating that TR $\beta$  might boost the action of TR $\alpha$  in skeletal muscle. TR $\alpha$   
98 is furthermore induced by contraction in skeletal muscle leading to a modulation of carbohydrate and  
99 lipid metabolism (Lima et al. 2009). At least some of the effects of low levels of thyroid hormone on  
100 tricarboxylic acid (TCA) cycle activity and mitochondrial oxidative phosphorylation (OXPHOS) in skeletal  
101 muscle could be mediated by activation of the peroxisome proliferator-activated receptor  $\gamma$   
102 coactivator-1 $\alpha$  (PGC-1 $\alpha$ ) (Irrcher et al. 2003), a master regulator of mitochondrial function and  
103 oxidative metabolism, potentially in a fiber type-specific manner (Bahi et al. 2005).

### 104 **2.1.2. Subfamily 1, Group C: Peroxisome proliferator-activated receptors (PPAR) – Type II**

105 In mammals, three PPARs, PPAR $\alpha$  (NR1C1), PPAR $\beta/\delta$  (NR1C2) and PPAR $\gamma$  (NR1C3) have been identified,  
106 all of which are expressed in skeletal muscle and have been implicated in regulating lipid metabolism.  
107 The PPARs heterodimerize with RXRs and bind to PPAR-response elements consisting of a core of a  
108 direct repeat of two hexamer half sites with a spacing of 1 nucleotide (DR-1) in promoter and enhancer  
109 regions of their target genes.

110 PPAR $\alpha$ , activated by free fatty acids and fibrate drugs, strongly controls fatty acid oxidation, TCA cycle  
111 activity and mitochondrial OXPHOS. Interestingly however, muscle lipid metabolism is only slightly  
112 altered in PPAR $\alpha$  knockout animals, implying a functional compensation by PPAR $\beta/\delta$ , which shares  
113 many common target genes with PPAR $\alpha$  (Muoio et al. 2002). Accordingly, muscle-specific  
114 overexpression of either of these PPARs results in elevated oxidative metabolism of fatty acids (Luquet  
115 et al. 2003; Finck et al. 2005). However, unexpectedly and diametrically opposite to PPAR $\beta/\delta$ , muscle-  
116 specific PPAR $\alpha$  transgenic mice are susceptible to the development of insulin resistance, have a  
117 reduced endurance capacity and depict an oxidative to glycolytic fiber type switch (Gan et al. 2013).  
118 Inversely, more oxidative fibers are detected in muscle-specific PPAR $\alpha$  knockout animals (Gan et al.  
119 2013). This negative cross-talk between PPAR $\alpha$  and PPAR $\beta/\delta$  is mediated by the miRNAs miR-208b and  
120 miR-499, which boost oxidative and repress glycolytic fiber determination (Gan et al. 2013).

121 The transcription of PPAR $\beta/\delta$  is induced by acute and chronic endurance exercise and subsequently  
122 promotes a glycolytic to oxidative fiber type switch linked to higher OXPHOS activity, reduced fat mass  
123 and improved glucose tolerance (Fan and Evans 2015). Muscle-specific overexpression of PPAR $\beta/\delta$   
124 (Gan et al. 2011) or of PPAR $\beta/\delta$  fused to the strong VP16 transcriptional activation domain (Wang et

125 al. 2004) accordingly enhances endurance exercise performance. Similarly, administration of the  
126 synthetic PPAR $\beta/\delta$  ligand GW501516 improves oxidative metabolism and enhances the effect of  
127 endurance exercise training (Narkar et al. 2008). In contrast, skeletal muscle-specific ablation of the  
128 PPAR $\beta/\delta$  gene results in a shift towards glycolytic fibers, reduced fatty acid catabolism and OXPHOS  
129 activity, decreased exercise performance as well as exacerbated insulin resistance, glucose intolerance  
130 and obesity when fed a high fat diet (Schuler et al. 2006). Interestingly, PPAR $\beta/\delta$  controls the  
131 expression of PGC-1 $\alpha$  and thereby enhances its own activity by boosting transcriptional coactivation  
132 (Schuler et al. 2006). Thus, as a downstream effector of PPAR $\beta/\delta$ , PGC-1 $\alpha$  exerts potent effects on  
133 endurance exercise adaptations even in the absence of PPAR $\beta/\delta$  in skeletal muscle (Pérez-Schindler et  
134 al. 2014).

135 Of the three PPARs, PPAR $\gamma$  depicts the lowest expression in skeletal muscle. Nevertheless, a role in the  
136 control of muscle metabolism was implied by observations in muscle-specific PPAR $\gamma$  knockout mice  
137 that develop adiposity and at least a mild insulin resistance under high fat diet (Hevener et al. 2003;  
138 Norris et al. 2003). Animals with a muscle-specific transgenic overexpression of a modified PPAR $\gamma$   
139 (harboring a mutation in the inhibitory phosphorylation site Ser86 and a C-terminal fusion to the CR1  
140 region of the adenovirus E1a gene that strongly promotes transcriptional activity) are protected  
141 against diet-induced insulin resistance and glucose intolerance, secrete elevated levels of adiponectin  
142 from muscle and exhibit a switch towards more oxidative fibers, similar to PPAR $\beta/\delta$  (Amin et al. 2010).

#### 143 **2.1.3. Subfamily 1, Group D: Rev-Erb – Type IV**

144 Rev-Erb $\alpha$  (NR1D1) and Rev-Erb $\beta$  (NR1D2) are nuclear receptors with a dual role regulating the circadian  
145 clock and cellular metabolism (Cho et al. 2012). Upon binding of heme, the endogenous ligand of these  
146 NRs (Yin et al. 2007), the Rev-Erbs recruit corepressors such as the nuclear receptor corepressor 1  
147 (NCoR1) or the histone deacetylase 3 (HDAC3) and thus transcriptionally repress target genes. Gain-  
148 and loss-of-function studies of muscle Rev-Erb $\alpha$  revealed a prominent involvement in the regulation  
149 of mitochondrial biogenesis, mitophagy, promotion of a slow fiber type, and ultimately, higher  
150 endurance capacity (Woldt et al. 2013). Mechanistically, muscle-specific ablation of the Rev-Erb $\alpha$  gene  
151 was associated with reduced activity of the AMP-dependent protein kinase (AMPK)–Sirtuin 1 (SIRT1)–  
152 PGC-1 $\alpha$  signaling axis (Woldt et al. 2013). Accordingly, mice treated with the Rev-Erb $\alpha$  agonist SR9009  
153 exhibit increased activation of these factors (Woldt et al. 2013). A contribution of Rev-Erb $\beta$  to the  
154 control of lipid uptake has been postulated (Ramakrishnan et al. 2005). However, in contrast to the  
155 well-established role of Rev-Erb $\alpha$  in the control of oxidative muscle function, the function of Rev-Erb $\beta$   
156 in skeletal muscle remains poorly understood.

#### 157 **2.1.4. Subfamily 1, Group F: Retinoid-related orphan receptors (ROR) – Type IV**

158 The transcriptional activity of the RORs is negatively affected by the Rev-Erb receptors, at least in the  
159 control of the circadian clock. However, in regard to skeletal muscle function, ROR $\alpha$  (NR1F1) elicits  
160 changes that are in part similar to those described for Rev-Erb $\alpha$ , in particular in the regulation of lipid  
161 metabolism (Fitzsimmons et al. 2012). In addition, ROR $\alpha$  also affects muscle lipogenesis, cholesterol  
162 efflux, insulin sensitivity and glucose uptake. Mechanistically, these observations have been linked to  
163 a modulation of protein kinase B (PKB/Akt) and AMPK signaling coupled to a change in PGC-1 $\alpha$  gene  
164 expression (Fitzsimmons et al. 2012). ROR $\gamma$  (NR1F3) is also highly expressed in skeletal muscle, but the  
165 function is less clear. Overexpression studies in muscle have linked ROR $\gamma$  to the regulation of genes  
166 involved in lipid and carbohydrate metabolism, and possibly muscle mass through the induction of the  
167 myostatin gene (Raichur et al. 2010). However, the physiological relevance of these observations is



168 unknown. Moreover, since ROR $\gamma$  induces ROR $\alpha$  and Rev-Erb $\alpha$ , it is not clear whether these effects are  
169 direct or indirect (Raichur et al. 2010).

#### 170 **2.1.5. Subfamily 1, Group H: Liver X receptor (LXR) – Type II**

171 LXR $\alpha$  (NR1H3) and LXR $\beta$  (NR1H2) have potent effects on cholesterol efflux in various tissues and cell  
172 types. Both receptors have been linked to anabolic pathways in skeletal muscle, including glycogen  
173 buildup and lipogenesis (Archer et al. 2014). Long-term treatment of mice with the synthetic LXR  
174 agonist T0901317 elevated lipogenesis and reverse cholesterol transport in wild-type and in LXR $\alpha$ , but  
175 to a lesser extent in LXR $\beta$  knockout animals, indicating that LXR $\beta$  might constitute the more relevant  
176 LXR variant in skeletal muscle (Hessvik et al. 2010). The anabolic function of the LXRs indicate that  
177 these receptors are involved in regeneration processes between exercise bouts to replenish  
178 intramuscular glycogen and lipid stores, e.g. when coactivated by PGC-1 $\alpha$  (Summermatter et al. 2010).

#### 179 **2.1.6. Subfamily 1, Group I: Vitamin D receptor (VDR) – Type II**

180 The VDR (NR1I1) is involved in regulating mineral metabolism. In humans, polymorphisms of the VDR  
181 gene are associated with aberrations in muscle strength (Pojednic and Ceglia 2014). In mice, VDR gene  
182 ablation results in muscle fiber atrophy, motor deficits, decreased locomotive activity after exercise  
183 and reduced neuromuscular maintenance (Girgis et al. 2014; Sakai et al. 2015). Endogenous VDR gene  
184 expression is induced after resistance training in rats (Makanae et al. 2015). Combined with studies  
185 using vitamin D administration in human patients, a positive role of the VDR in the control of muscle  
186 mass, fiber hypertrophy and anabolic capacity can be predicted (Pojednic and Ceglia 2014).

#### 187 **2.2.1. Subfamily 2, Group B: Retinoid X receptors (RXR) – Type III**

188 In addition to their ability to homodimerize, the RXR family members RXR $\alpha$  (NR2B1), RXR $\beta$  (NR2B2)  
189 and RXR $\gamma$  (NR2B3) are obligate heterodimerization partners for a number of NRs and thus play a unique  
190 role in modulating and integrating the function of these different receptors (Perez et al. 2012; Evans  
191 and Mangelsdorf 2014). While RXR $\beta$  is ubiquitously expressed, RXR $\alpha$  and RXR $\gamma$  levels are enriched in  
192 some tissues, including skeletal muscle. Global RXR $\gamma$  knockout animals have a leaner phenotype after  
193 a high fat diet feeding, which is most likely attributed to an upregulation of lipoprotein lipase in skeletal  
194 muscle (Haugen et al. 2004). However, little is known about the specific functions of all three RXRs in  
195 skeletal muscle. Intriguingly, NR/RXR heterodimers are classified as “permissive” and “non-  
196 permissive”. Permissive RXR heterodimers include the interactions with PPARs or LXRs and thus are  
197 activated by either RXR or PPAR/LXR ligands. In contrast, TR and VDR interact with RXR in a non-  
198 permissive manner and therefore are not activated by 9-cis retinoic acid or other RXR ligands (Perez et  
199 al. 2012). Activation of RXRs in skeletal muscle would thus be expected to be linked to increased action  
200 of permissive, but not of non-permissive NR heterodimerization partners.

#### 201 **2.3.1. Subfamily 3, Groups A and C: Estrogen receptor (ER), Androgen receptor (AR), Glucocorticoid 202 receptor (GR) – Type I**

203 Estrogens have primarily been linked to reduced inflammation and enhanced regeneration of skeletal  
204 muscle in ovariectomized rodents or postmenopausal women (Lowe et al. 2010; Diel 2014). In addition,  
205 it is now clear that estrogens also improve muscle mass and strength, even though it is disputed  
206 whether increased quantity or quality of muscle is the driver of these changes. Both ERs, ER $\alpha$  (NR3A1)  
207 and ER $\beta$  (NR3A2), are expressed in skeletal muscle, are induced by exercise (Wiik et al. 2005) and  
208 thought to contribute to the effects of estrogen in this tissue. Intriguingly, at least some of the effects  
209 of estrogen, e.g. activation of AMPK, might be mediated by non-genomic signaling pathways and  
210 thereby reinforce the receptor-dependent adaptations (Oosthuyse and Bosch 2012).

211 Male sex hormones elicit potent anabolic effects on skeletal muscle tissue, but also enhance muscle  
212 regeneration (O'Connell and Wu 2014). Most of these effects are mediated by activation of the AR  
213 (NR3C4), in particular the strong boost in muscle protein synthesis. Accordingly, muscle hypertrophy  
214 elicited by resistance training is attenuated by AR blockage (Inoue et al. 1994). Regulation of AR levels  
215 after resistance exercise seems to depend on a complex control of contractile and nutritional cues, and  
216 can vary between different fiber types (Gonzalez et al. 2016). Similarly, the contradicting results of  
217 physiological testosterone fluctuations and muscle hypertrophy in different human studies imply a  
218 more complex interaction between androgens, growth hormone and insulin-like growth factor 1 (IGF1)  
219 in this context (Gonzalez et al. 2016). However, the anabolic effect of superphysiological  
220 concentrations of testosterone consistently includes an improvement of muscle mass due to  
221 hypertrophy of type I and type II fibers as well as muscle strength and power while fatigability and  
222 muscle quality, defined as ratio between muscle strength to size, are less affected in humans  
223 (O'Connell and Wu 2014). The central role for the AR to regulate muscle development, mass, strength  
224 and fatigue-resistance was confirmed by experiments in male AR knockout mice (MacLean et al. 2008).  
225 Somewhat contradictory, a different AR knockout mouse model depicted a shift from oxidative  
226 towards glycolytic muscle fibers, thereby also linking the AR to the maintenance of slow-twitch,  
227 oxidative fibers (Altuwaijri et al. 2004).

228 In contrast to the positive effects of ERs and the AR on muscle mass and function, the GR (NR3C1) has  
229 been associated with atrophy of primarily type II muscle fibers (Kuo et al. 2013; Schakman et al. 2013).  
230 Cortisol, the ligand of the GR, is a stress hormone released during exercise, starvation or sepsis that  
231 contributes to the metabolic remodeling in various tissues (Kraemer and Ratamess 2005). In skeletal  
232 muscle, one effect of cortisol is the stimulation of protein breakdown and the inhibition of protein  
233 synthesis (Schakman et al. 2013). While short term elevation of cortisol is a normal response to acute  
234 exercise bouts, chronic elevation can be an indicator of overtraining or training-induced stress. The  
235 ratio between testosterone and cortisol has been proposed to correlate with the anabolic and  
236 catabolic state of skeletal muscle, respectively, even though this interpretation is debated (Kraemer  
237 and Ratamess 2005). The GR is upregulated by physical activity, most notably by eccentric resistance  
238 exercise bouts, but this induction is attenuated by chronic training, as is the rise in circulating cortisol.  
239 In line, a reduction in muscle mass is a common side effect in patients treated with corticosteroids.  
240 However, paradoxically, Duchenne muscular dystrophy patients profit from administration of  
241 glucocorticoids. Even though the mechanisms behind this therapeutic effect is unclear, anti-  
242 inflammatory properties, upregulation of utrophin, normalization of intramyocellular calcium  
243 homeostasis and stabilization of the muscle fiber membrane have been proposed to contribute to the  
244 positive outcome of glucocorticoid treatment in Duchenne patients (Matthews et al. 2016).

### 245 **2.3.2. Subfamily 3, Group B: Estrogen-related receptors (ERR) – Type IV**

246  $ERR\alpha$  (NR3B1),  $ERR\beta$  (NR3B2) and  $ERR\gamma$  (NR3B3) are all substantially expressed in tissues with a high  
247 energetic demand, e.g. skeletal muscle (Fan and Evans 2015). Muscle-specific  $ERR\alpha$  knockout animals  
248 exhibit an impaired muscle regeneration capacity, compromised antioxidant response, reduced  
249 oxidative capacity and angiogenesis (LaBarge et al. 2014). Moreover, these mice have a blunted  
250 response to high fat diet and exercise, including impaired exercise tolerance and muscle fitness  
251 (LaBarge et al. 2014; Perry et al. 2014; Huss et al. 2015).  $ERR\alpha$  gene expression is induced by physical  
252 activity in animals and humans, and this receptor then coordinates the expression of genes involved in  
253 lipid uptake, metabolism and mitochondrial OXPHOS (Huss et al. 2015). Even though cholesterol has  
254 been recently postulated as endogenous  $ERR\alpha$  ligand (Wei et al. 2016), the transcriptional activity of  
255 all three ERRs is thought to be mainly driven by coregulator binding. In the case of  $ERR\alpha$ , the

256 coactivator PGC-1 $\alpha$  seems of particular relevance for the regulation of target genes in skeletal muscle  
257 (Mootha et al. 2004). In fact, the genomic context of regulatory elements in target gene enhancers and  
258 promoters might dynamically determine the interaction and activity of these two proteins (Salatino et  
259 al. 2016).

260 ERR $\alpha$  and ERR $\gamma$  have a considerable overlap in binding sites and accordingly regulate similar metabolic  
261 genes (Fan and Evans 2015). Nevertheless, differences in the regulation of the TCA cycle and the  
262 inability of ERR $\alpha$  to compensate for the loss of ERR $\gamma$  in null mice highlight the specific roles for these  
263 two receptors (Eichner and Giguere 2011). Like ERR $\alpha$ , ERR $\gamma$  is induced by exercise. Skeletal muscle-  
264 specific overexpression of ERR $\gamma$  alone or when fused to VP16 leads to a switch to oxidative fiber types,  
265 induces mitochondrial biogenesis and angiogenesis, collectively resulting in an improved endurance  
266 capacity (Rangwala et al. 2010; Narkar et al. 2011). Many of these effects can also be elicited by  
267 treatment with the ERR $\gamma$ -specific synthetic activator GSK4716 (Rangwala et al. 2010). Inversely, a  
268 reduced exercise capacity was observed in ERR $\gamma$  muscle-specific knockouts (Gan et al. 2013).

269 ERR $\beta$  is the least characterized receptor of this group and despite high expression in skeletal muscle,  
270 regulation and function are largely unexplored. A partial redundancy between ERR $\beta$  and ERR $\gamma$  in regard  
271 to the maintenance of type I fibers in mixed muscle beds has been proposed (Gan et al. 2013), but  
272 mechanistic aspects and a comprehensive analysis remain elusive.

#### 273 **2.4.1. Subfamily 4, Group A: Neuron-derived clone 77/Nerve growth factor IB (Nur77), neuron-** 274 **derived orphan receptor 1 (Nor1) – Type IV**

275 All three mammalian members of this group of NRs, Nur77 (NR4A1), nuclear receptor related 1 protein  
276 (Nurr1, NR4A2) and Nor1 (NR4A3) are induced by a single bout of exhaustive endurance exercise in  
277 human skeletal muscle (Mahoney et al. 2005), however little is known about the role of Nurr1 in this  
278 tissue. Nur77 is predominantly expressed in glycolytic muscle fibers and was first postulated to be  
279 involved in the control of glucose metabolism (Chao et al. 2007). Later findings surprisingly implied an  
280 involvement of Nur77 in the regulation of oxidative metabolism and accordingly, muscle specific  
281 overexpression of Nur77 results in an increase in the proportion of oxidative muscle fibers and  
282 mitochondrial DNA content with a concomitant shift from glucose utilization to fatty acid oxidation  
283 and improved fatigue resistance (Chao et al. 2012). Recently however, Nur77 activity was associated  
284 with muscle growth, most likely controlled by activation of the IGF1-Akt-mammalian target of  
285 rapamycin (mTOR) signaling axis leading to the upregulation of a hypertrophic gene program and a  
286 attenuation of the expression of the pro-atrophic myostatin as well as the E3 ubiquitin ligases MAFbx  
287 and MuRF1 (Tontonoz et al. 2015). However, while skeletal muscle-specific Nur77 mice do not depict  
288 increased muscle mass despite fiber hypertrophy, animals with a specific gene ablation of Nur77 in  
289 skeletal muscle exhibit reduced myofiber size and muscle mass (Tontonoz et al. 2015).

290 Like Nur77, Nor1 is also induced by acute exercise and  $\beta$ 2-adrenergic signaling, however both in  
291 glycolytic and oxidative muscle fibers (Fan et al. 2013). Skeletal muscle-specific overexpression of Nor1  
292 in mice results in an oxidative, high endurance phenotype with increased mitochondrial number and  
293 DNA, elevated myoglobin, enhanced ATP production and PGC-1 $\alpha$  gene expression (Pearen et al. 2013).  
294 Intriguingly, a shift from type I and IIb towards type IIa and IIx muscle fibers is observed in these animals  
295 (Mizunoya 2015). These fatigue-resistant Nor1 transgenic animals also exhibit improved autophagy  
296 after endurance exercise, leading to better clearing of debris in the tissue (Goode et al. 2016).  
297 Unexpectedly, Nor1 overexpression was recently also linked to muscle hypertrophy and increased  
298 vascularization in skeletal muscle via activation of the mTOR signaling pathway (Goode et al. 2016).

299

## 300 2.5. NR coregulators

301 The transcriptional activity of NRs is affected by recruitment of coactivator and corepressor proteins,  
302 which can occur in a ligand-dependent and –independent manner. In skeletal muscle, several  
303 coregulators have been identified that modulate metabolic and contractile properties at least in part  
304 by binding to the NRs described in this review. Most prominently, muscle-specific overexpression of  
305 PGC-1 $\alpha$  and the related PGC-1 $\beta$  are sufficient to promote a fiber type switch towards type I/IIa and IIx,  
306 respectively, even though it is not clear whether the latter occurs under physiological conditions (Eisele  
307 and Handschin 2014). Of these two coactivators, only PGC-1 $\alpha$  levels and activity are clearly associated  
308 with physical activity (Lin et al. 2002; Kupr and Handschin 2015), and gain- and loss-of-function in  
309 skeletal muscle result in improved and impaired endurance capacity, respectively (Lin et al. 2002;  
310 Handschin et al. 2007). Recently, the PGC-1 $\alpha$ 4 isoform was identified to promote a hypertrophic  
311 response in skeletal muscle (Ruas et al. 2012) in contrast to the PGC-1 $\alpha$ 1, -1 $\alpha$ 2 and -1 $\alpha$ 3 isoforms that  
312 have been linked to an endurance program (Martinez-Redondo et al. 2015). The expression of the  
313 corepressor NCoR1 is higher in inactive skeletal muscle, and NCoR1 competes with PGC-1 $\alpha$  for binding  
314 to ERR $\alpha$  (Pérez-Schindler et al. 2012). Accordingly, muscle-specific NCoR1 knockout mice recapitulate  
315 many of the metabolic adaptations that are also observed in PGC-1 $\alpha$  transgenic animals (Pérez-  
316 Schindler et al. 2012). Similarly, overexpression and knockout of the corepressor receptor-interacting  
317 protein 140 (RIP140) results in decreased and elevated numbers of oxidative muscle fibers,  
318 respectively (Seth et al. 2007). This complex, still poorly understood regulatory network of coactivator  
319 and corepressor proteins is thus intricately linked to NR action in skeletal muscle plasticity (Schnyder  
320 et al. 2016).

321

## 322 2.6. “Exercise mimetics”

323 Several pharmacological agents have already been proposed to act as “exercise mimetics”, including  
324 three that activate NRs: SR9009 (Rev-Er $\beta$ ), GSK4716 (ERR $\gamma$ ) and GW501516 (PPAR $\beta/\delta$ ) (Handschin  
325 2016). With well-defined and conserved ligand-binding domains, it is conceivable that other NRs could  
326 also be targeted to take advantage of their function in skeletal muscle. Importantly however, for none  
327 of currently proposed “exercise mimetics”, efficacy and safety has been tested in humans to date. The  
328 alarming use of some of these compounds as performance-enhancing drugs in athletes with a  
329 subsequent ban by the World Anti-Doping Agency underlines the need for a better understanding of  
330 the mechanisms, side effects, toxicity and dosage (Wall et al. 2016). The summary of NRs and  
331 coregulators in this review should further illustrate the regulatory complexity of skeletal muscle  
332 plasticity, which is vastly expanded by non-NR transcription factors and signaling pathways (Hoppeler  
333 2016). Despite the results in animal models with a higher endurance capacity, the expected effects of  
334 pharmacological modulation of one NR in skeletal muscle are difficult to reconcile with the myriad of  
335 muscular and non-muscular adaptations elicited by *bona fide* physical activity (Booth and Laye 2009).

336

## 337 2.7. Open questions

338 Of the 35 NRs expressed in mouse skeletal muscle, we have discussed here 26 with a potential role in  
339 exercise adaptation and skeletal muscle plasticity. A majority of these promote an oxidative, high  
340 endurance phenotype (Fig. 2). The signaling networks and transcriptional hierarchies between these  
341 receptors are however not clear. Moreover, it is unknown whether the high number of NRs with  
342 seemingly overlapping function is a sign of transcriptional redundancy or represents specific regulation

343 of highly specialized adaptations. An oxidative phenotype can for example be achieved by a  
344 downregulation of type I and IIb fibers in the case of Nor1 or by the more classic shift from type IIb and  
345 IIx towards IIa and I as seen in overexpression studies with PPAR $\beta/\delta$  or ERR $\gamma$ . Furthermore, the  
346 alternating classification of Nur77 and Nor1 as pro-oxidative and pro-glycolytic NRs highlight a  
347 potential discrepancy between the results obtained in different experimental contexts, e.g. cultured  
348 muscle cells compared to the constitutive transgenic elevation in skeletal muscle *in vivo*. These  
349 somewhat contradictory results in regard to the effects on glucose and lipid oxidation as well as  
350 glycolytic and oxidative fiber promotion, respectively, will therefore have to be clarified in future  
351 studies. Furthermore, whether the effects of Nur77 and Nor1 on muscle mass are primarily mediated  
352 by altered myogenesis or represent a *bona fide* modulation of atrophy and hypertrophy in  
353 regeneration and exercise in adult muscle remains to be shown. Similarly, the extensive study of  
354 anabolic steroids emerged with a consensus of increased muscle hypertrophy in humans.  
355 Nevertheless, results obtained in some, but not all AR knockout mouse models imply a role for the AR  
356 in promoting an oxidative, high endurance phenotype. Similarly, the effect of genetic ablation of the  
357 TRs on fiber type distribution might appear contradictory vis-à-vis the mitochondrial boost elicited by  
358 short term treatment with thyroid hormone. These and other examples demonstrate that the choice  
359 of model and the way of treatment might significantly alter the outcome. Therefore, caution should  
360 be used for the extrapolation of results from cell culture, non-conditional knockouts and transgenic  
361 animals to the physiological role of NRs in skeletal muscle in humans.

362

363 **3. Concluding remarks**

364 Endurance and resistance exercise confer many beneficial health effects, which substantially lower the  
365 risk for many chronic diseases and are a therapeutic pillar for a number of different pathologies. Even  
366 though the molecular mechanisms of muscle plasticity are still poorly understood, NRs are attractive  
367 drug targets to take advantage of some of the therapeutic effects of exercise. The ever increasing  
368 prevalence of chronic diseases, age-related afflictions and pathologies associated with exercise  
369 intolerance indicate that even partial “exercise mimetics” might confer a significant relieve for patients  
370 and overburdened health care systems. However, at the moment, it is not clear whether such drugs  
371 exist and if so, whether they can be effectively and safely used in patients. Therefore, physical activity  
372 and diet should stay at the forefront of disease prevention and treatment wherever possible (Booth et  
373 al. 2012; Pedersen and Saltin 2015) until better pharmacological interventions targeted at improving  
374 muscle function are available.

375

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384

385 **Conflict of interest statement**

386 The authors declare no conflict of interest.

387

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628

629 **Figure Legends**

630

631 Fig. 1. Structure and DNA-binding sites of NRs. A, Schematic representation of the different NR  
632 domains. B, Arrangements of DNA-binding sites of NRs.  $(X)_n$  indicates a spacer of #n arbitrary  
633 nucleotides X between the hexamer half-sites. The repeats are accordingly designated as DR-n, IR-n or  
634 ER-n, e.g. DR-1 for a direct repeat with a spacer of one nucleotide.

635

636 Fig. 2. Regulation of endurance and resistance exercise adaptations in skeletal muscle by NRs and  
637 coregulators. For some NRs, including ROR $\gamma$ , Nur77, Nor1 or AR, a role in both the promotion of an  
638 oxidative and a glycolytic muscle phenotype has been proposed in different experimental models.

639

640

**Table 1. Human and mouse nuclear receptors.**

NR subfamily and group	NR nomenclature	Trivial name	Muscle expression (mus musculus) <sup>%</sup>
1A	NR1A1	TR $\alpha$	H
	NR1A2	TR $\beta$	L
1B	NR1B1	RAR $\alpha$	H
	NR1B2	RAR $\beta$	I
	NR1B3	RAR $\gamma$	I
1C	NR1C1	PPAR $\alpha$	I
	NR1C2	PPAR $\beta/\delta$	I
	NR1C3	PPAR $\gamma$	I
1D	NR1D1	REVERB $\alpha$	H
	NR1D2	REVERB $\beta$	I
1F	NR1F1	ROR $\alpha$	H
	NR1F2	ROR $\beta$	L
	NR1F3	ROR $\gamma$	H
1H	NR1H2	LXR $\beta$	I
	NR1H3	LXR $\alpha$	H
	NR1H4	FXR $\alpha$	nd
	NR1H5*	FXR $\beta$ *	nd
	NR1I1	VDR	L
1I	NR1I2	PXR	nd
	NR1I3	CAR	nd
	NR2A1	HNF4 $\alpha$	nd
2A	NR2A2	HNF4 $\gamma$	nd
	NR2B1	RXR $\alpha$	H
2B	NR2B2	RXR $\beta$	H
	NR2B3	RXR $\gamma$	H
2C	NR2C1	TR2	L
	NR2C2	TR4	I
2E	NR2E1	TLX	nd
	NR2E3	PNR	nd
2F	NR2F1	COUP-TFI	L

	NR2F2	COUP-TFII	I
	NR2F6	EAR2	I <sup>#</sup>
3A	NR3A1	ER $\alpha$	I
	NR3A2	ER $\beta$	nd
3B	NR3B1	ERR $\alpha$	H
	NR3B2	ERR $\beta$	I
	NR3B3	ERR $\gamma$	I
3C	NR3C1	GR	H
	NR3C2	MR	I
	NR3C3	PR	nd
	NR3C4	AR	H
4A	NR4A1	NUR77	H
	NR4A2	NURR1	I
	NR4A3	NOR1	H
5A	NR5A1	SF1	nd
	NR5A2	LRH1	nd
6A	NR6A1	GCNF1	L
0B	NR0B1	DAX1	nd
	NR0B2	SHP	nd

642 Footnotes: <sup>%</sup>NR gene expression in mouse muscle according to (Bookout et al. 2006). \*FXR $\beta$  is a  
643 pseudogene in the human genome. <sup>#</sup>The expression of NR2F6/Ear2 was not reported in (Bookout et  
644 al. 2006) and muscle expression confirmed using BioGPS and GeneCards. Legend: H, high expression;  
645 I, intermediate expression; L, low expression; nd, not detected. NRs highlighted by grey shading were  
646 discussed in this review.

647

**Table 2. Muscle phenotype of gain- and loss-of-function models for selected NRs.**

NR nomenclature	Trivial name	Loss-of-function model	Muscle phenotype	Gain-of-function model	Muscle phenotype	Endogenous and pharmacological modulators (examples)
NR1A1	TR $\alpha$	KO	oxidative fibers	pharmacol	mitochondrial biogenesis	thyroid hormone
NR1C1	PPAR $\alpha$	mKO	oxidative fibers	mTG	glycolytic fibers, reduced endurance	fibrate drugs, fatty acids
NR1C2	PPAR $\beta/\delta$	mKO	glycolytic fibers	mTG	oxidative fibers, improved endurance	GW501516, fatty acids
NR1C3	PPAR $\gamma$	mKO	glucose intolerance and insulin resistance	mTG	oxidative fibers	Thiazolidinediones, fatty acids
NR1D1	REVERB $\alpha$	mKO	reduced endurance exercise performance	mTG	oxidative fibers, improved endurance	SR9009
NR1F1	ROR $\alpha$	KO	atrophy	mTG	oxidative fibers, lipid metabolism	
NR1F3	ROR $\gamma$	KO	atrophy	mTG	lipid and carbohydrate metabolism	
NR1H2	LXR $\beta$	KO	impaired glycogen buildup and lipogenesis			T0901317, oxysterols
NR1H3	LXR $\alpha$	KO	impaired glycogen buildup and lipogenesis			T0901317, oxysterols
NR1I1	VDR	KO	atrophy, NMJ disruption			vitamin D <sub>3</sub>
NR2B3	RXR $\gamma$	KO	lipolysis			9-cis retinoic acid
NR3A1	ER $\alpha$	mKO	muscle weakness	pharmacol	hypertrophy	estradiol
NR3B1	ERR $\alpha$	mKO	glycolytic, reduced			

			endurance exercise tolerance and regeneratio n			
NR3B3	ERRγ	mKO	reduced endurance exercise capacity	mTG	oxidative fibers, improved endurance	GSK4716
NR3C1	GR	mKO	regulation of protein metabolis m and prevention of atrophy	pharmac ol	atrophy	glucocorticoids
NR3C4	AR	mKO	shift from slow to fast fibers	pharmac ol	hypertrophy	testosterone
NR4A1	NUR77	mKO	atrophy	mTG	hypertrophy , glucose utilization vs. oxidative metabolism *	
NR4A2	NURR1			mTG	oxidative phenotype vs. glycolytic fibers, high endurance, hypertrophy *	
NR4A3	NOR1			mTG	oxidative phenotype vs. glycolytic fibers, high endurance, hypertrophy *	

649 Footnotes: \*conflicting data from different studies. Legend: KO, global knockout; mKO, muscle-specific  
650 knockout; mTG, muscle-specific transgenic; pharmacol, pharmacological modulation.

651

Fig. 1

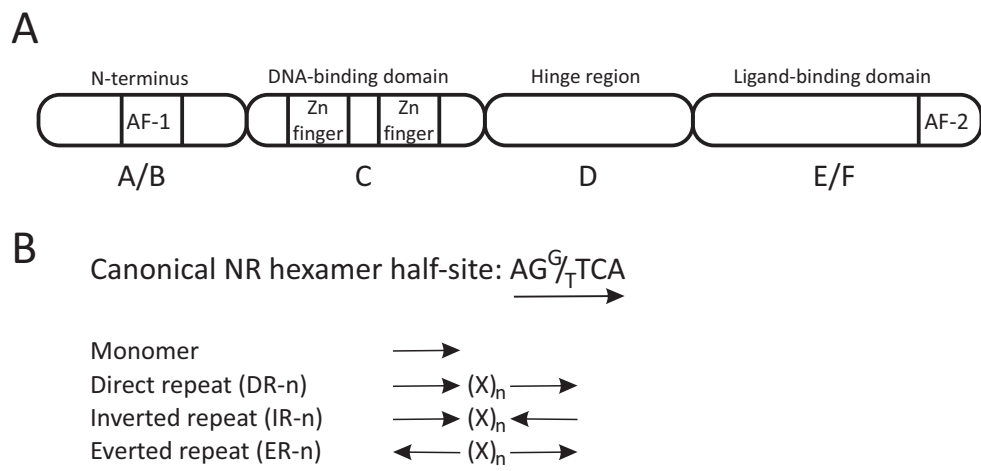


Fig. 2

