

Competition between recombination and epistasis can cause a transition from allele to genotype selection

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Biochemical and regulatory interactions central to biological networks are expected to cause extensive genetic interactions or epistasis affecting the heritability of complex traits and the distribution of genotypes in populations. However, the inference of epistasis from the observed phenotype–genotype correlation is impeded by statistical difficulties, while the theoretical understanding of the effects of epistasis remains limited, in turn limiting our ability to interpret data. Of particular interest is the biologically relevant situation of numerous interacting genetic loci with small individual contributions to fitness. Here, we present a computational model of selection dynamics involving many epistatic loci in a recombining population. We demonstrate that a large number of polymorphic interacting loci can, despite frequent recombination, exhibit cooperative behavior that locks alleles into favorable genotypes leading to a population consisting of a set of competing clones. When the recombination rate exceeds a certain critical value that depends on the strength of epistasis, this “genotype selection” regime disappears in an abrupt transition, giving way to “allele selection”—the regime where different loci are only weakly correlated as expected in sexually reproducing populations. We show that large populations attain highest fitness at a recombination rate just below critical. Clustering of interacting sets of genes on a chromosome leads to the emergence of an intermediate regime, where blocks of cooperating alleles lock into genetic modules. These haplotype blocks disappear in a second transition to pure allele selection. Our results demonstrate that the collective effect of many weak epistatic interactions can have dramatic effects on the population structure.

gene interactions | population genetics

Selection acting on genetic polymorphisms in populations is a major force of evolution (1–4) and it is possible to identify specific loci under positive selection, e.g., the *Adh* locus in *Drosophila* (4). However, the attribution of fitness differentials to specific allelic variants and combinations remains a great challenge (5). Efforts to correlate quantitative phenotypes with genetic polymorphisms typically identify a small number of loci with a significant contribution to the observed phenotypic variance, but leave much of the variance unaccounted for (6). This unaccounted variance is believed to arise from a large number of loci with small individual contributions, or be due to epistasis and quite likely involves both effects. New studies accumulate evidence that epistasis is widespread and accounts for a significant fraction of phenotypic variation, e.g., in yeast (7–9). Additional evidence for epistasis comes from crosses of mildly diverged strains, where the recombinant progeny often has reduced average fitness, i.e., displays outbreeding depression. The reduction in fitness is attributed to the breakdown of favorable combinations of alleles in the ancestral strains (10). Outbreeding depression is often observed in partly selfing organisms such as *Caenorhabditis elegans* (11) or plants (12), species with strong geographic isolation such copepod (13) or facultatively mating organisms such as yeast (14). Although most recombinant genotypes are less fit, novel genotypes that perform better than either parental strain can be generated as well

(15). Such outcrossing events could play an important role in evolution.

Competition between epistatic selection and recombination, explicit in the outbreeding depression phenomenon, is the focus of the present study. In the presence of epistasis, selection, by increasing the frequency of favorable genotypes, establishes correlations between alleles at different loci. Recombination however reshuffles alleles and randomizes genotypes breaking up coadapted loci. Because the recombination rate between any 2 loci is largely determined by their physical distance on the chromosome, the effect of genetic interactions depends on gene location. It is known that functionally related genes tend to cluster (16, 17), suggesting selection on gene order. Furthermore, chromosomes have regions of infrequent recombination, interspersed with recombination hotspots (18). Does selection have a hand in defining low recombination regions? To understand how evolution shaped genomes as we observe them today, we have to tackle the problem of how selection acts on many interacting polymorphisms for a large range of recombination rates (19).

Standing variation harbored in natural population provides important raw material for selection to act upon, in particular after a sudden change in environments or hybridization events (20). In such a situation, selection will reduce genetic variation until a new mutation-selection equilibrium is reached. Here, we show that the selection dynamics on standing variation at a large number of loci can be strongly affected by epistasis, even if the individual contribution of each locus is small. The competition between selection on epistasis and recombination gives rise to 2 distinct regimes at high and low recombination rates separated by a sharp transition. The population dynamics in the two regimes is illustrated in Fig. 1*A* and *B*: (i) the “clonal competition” (CC) regime, which occurs for recombination rates $r < r_c$ and (ii) the quasi linkage equilibrium (QLE) regime for $r > r_c$. The different nature of the two regimes is best understood by considering the limiting cases of no and frequent recombination. In the case of purely asexual reproduction, selection operates on entire genotypes and results in clonal expansion of the fitter ones. The genetic variation present in the initial population is lost on a timescale inversely proportional to the average magnitude of fitness differentials between genotypes present in the population. Successful genotypes persist in time, which is apparent as continuous broad stripes of one color in Fig. 1*A*. The amplification of a small number of fit genotypes induces strong correlations or linkage disequilibrium among loci. In presence of epistasis, a little recombination does not change this picture qualitatively, because most recombinant genotypes are less fit than the prevailing clones and novel successful clones are rare. Never-

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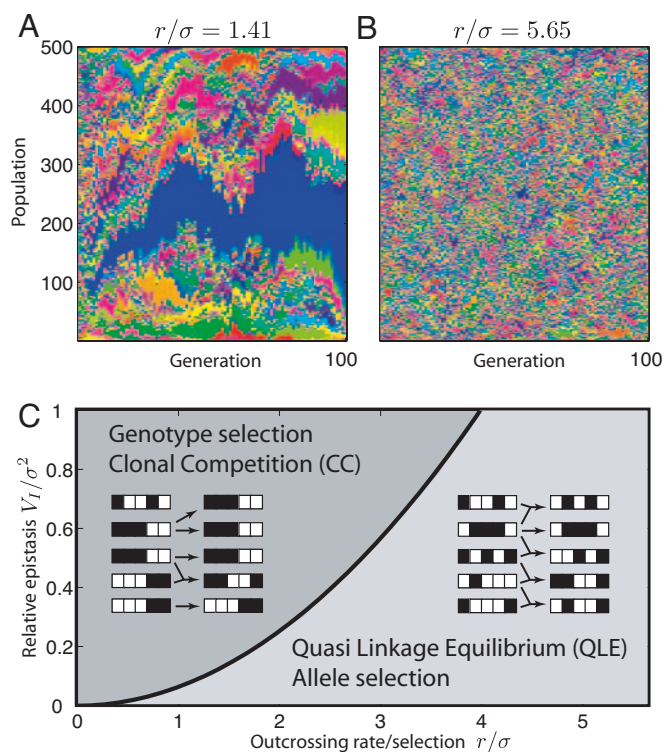


Fig. 1. The two regimes of sexual reproduction. (A and B) The simulated time course of the genotype distribution in a population of 500 individuals with epistatic fitness variance $V_1 = \sigma^2 = 0.005$ and outcrossing rate $r = 0.1$ (A) and $r = 0.4$ (B; RE model defined below). Like genotypes are assigned the same color and stacked on top of each other. (C Insets) Sketches illustrating the population dynamics in the 2 cases. At low outcrossing rates, fit genotypes can proliferate. The genotype distribution rapidly coarsens and clones form (horizontal stripes in A). With frequent outcrossing, genes are rapidly reshuffled and genotypes do not persist over many generations, resulting in the pointillist pattern in B. Fixation happens at later time and is not shown. (C) The two regimes are separated by a sharp boundary set by the strength of epistasis. For $r < r_c$, the population dynamics is described by clonal competition (CC); for $r > r_c$ by quasi linkage equilibrium (QLE).

theless recombination is very important because it continuously introduces new genotypes leading to an increase in fitness attained by the population at long times. In the limit of high recombination genotypes are short-lived and essentially unique, resulting in a “pointillist” color pattern in Fig. 1B. Each allelic variant is therefore selected on the basis of its effect on fitness, averaged over many possible genetic backgrounds. The time scale on which allele frequencies change is given by the inverse of these marginal fitness effects. The term “linkage equilibrium” in QLE refers to the negligible correlations between loci, which are constantly reshuffled by recombination.

As we show below, the transition between the two regimes sharpens as the number of segregating loci L increases. The sharpening of the transition is related to the different scaling of the time scale of selection in the two regimes. For large L , the marginal fitness effects of individual loci become small compared with fitness differentials among individuals (assuming they are all of similar size, this ratio decreases as $\sim 1/\sqrt{L}$). Hence, the dynamics in the QLE regime slows down compared with the CC regime as L increases. The CC and QLE regimes correspond to different regions of the parameters space spanned by the relative strength of epistasis and the ratio of outcrossing or recombination rate to the strength of selection, as sketched in Fig. 1C. The QLE dynamics was first described by Kimura (21) in the limit of weak selection/fast recombination for a pair of biallelic loci and subsequently generalized to

multiloci systems (22, 23). The possibility of a collective behavior involving linkage disequilibrium among many loci and selection effectively acting on the whole chromosome as a unit has been pointed out before in the context of overdominance by Franklin and Lewontin (24) in the strong selection limit. However, these studies of the two different limits do not reveal the breakdown of QLE and the transition to CC as the generic behavior of multilocus epistatic systems.

To underscore the general nature of the results, we have studied 2 different models of epistasis. The first model follows the common treatment of epistasis in quantitative traits, which assumes that the epistatic contribution to fitness is disrupted when the parental genes are mixed in sexual reproduction (25, 26). This assumption becomes exact when the epistatic component of fitness of a specific genotype is a random number (which depends on the genotype, but is fixed in time) and we call this model the random epistasis (RE) model. Within the RE model, any change in the genotype randomizes the epistatic component of fitness so that the latter is not heritable when nonidentical parents mate. It is, however, faithfully passed on to the offspring in asexual reproduction. For the RE model, genomes are propagated asexually with probability $1 - r$ and with probability r are a product of mating where all genes are reassorted, as would be exactly correct if all genes were on different chromosomes. This model of facultative mating approximates reproductive strategies common in fungi (e.g., yeast) or nematodes and plants. As a more realistic alternative, we also study a model with only pairwise interactions between loci (27). This pairwise epistasis (PE) model allows epistatic contribution to be partly heritable, because interacting pairs have a chance to be inherited together (28). For the PE model, we assume that all genes are arranged on a single chromosome with a uniform cross-over rate ρ , which allows us to explore haplotype block formation and implications for recombination rate evolution.

The strength of selection is determined by the variance σ^2 of the distribution of fitness in the population. Within our models, the fitness $F(g)$ of a genotype g is the sum of an additive component $A(g)$ representing independent contributions of alleles and an epistatic part $E(g)$. For the RE model, the latter is a random number drawn from Gaussian distribution, whereas for the PE model it is a sum of pairwise interactions with random coefficients f_{ij} . The variances V_A and V_1 of the distributions of $A(g)$ and $E(g)$ add up to σ^2 and their relative magnitude determines the importance of additive effects compared with epistasis. The two different models and their parameters are given explicitly in *Methods*. For the sake of simplicity, we assume haploid genomes. Random and pairwise epistasis represent 2 opposite extremes in the complexity of epistasis. Although the pairwise model is more realistic, the generic behavior is most clearly demonstrated using the RE model with random gene reassortment and facultative mating.

Results

Two Regimes of Selection Dynamics. We performed extensive computer simulation of our two models for different relative strength of epistasis, $L = 25$ –200 loci and populations sizes between $N = 500$ and 10^6 . We initialize simulations in a genetically diverse state as would result from multiple crossings of 2 diverged strains and examine the evolution under selection and recombination. The two regimes differ strongly in the amount of linkage disequilibrium (LD) (see *Methods*) build up by selection. Fig. 2A shows the average LD per locus pair for the RE model as a function of the outcrossing rate r . For $r < r_c$, the LD per locus pair is of order 1 and independent of L or N , indicating genome-wide LD. LD builds up despite a large number of different genotypes in the population interbreeding constantly. For $r > r_c$, the LD is much smaller, with the observed value determined by the sampling noise due to the finite population size (see Fig. 2A Inset and Fig. S1). Similar behavior occurs in the PE model, as shown in Fig. 2B. Above a critical recombination rate ρ_c , the observed linkage disequilibrium is time independent and

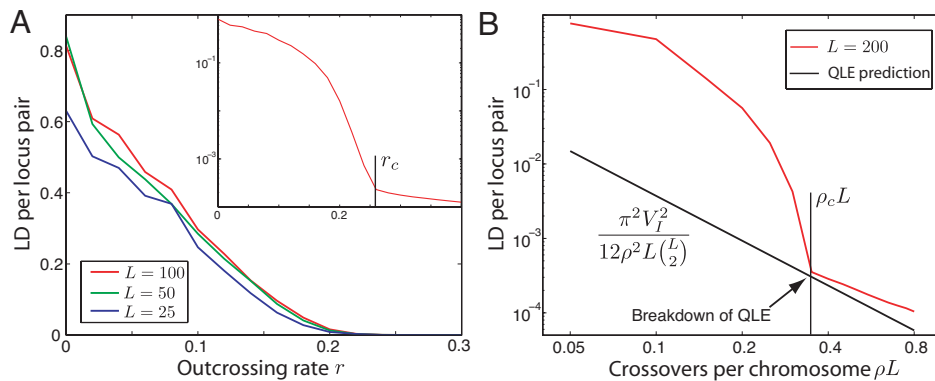


Fig. 2. The clonal competition regime is characterized by extensive linkage disequilibrium. (A) Random epistasis model: For small r , the LD per locus pair is of order 1 and fairly independent of L . (Inset) Data for $L = 100$ on a logarithmic scale and a mark at the value of r_c . The LD for $r > r_c$ is due to sampling noise, see Fig. S1. (B) Pairwise epistasis model. For pairwise epistasis, the QLE approximation gives explicit predictions for LD, which describes the observed LD very accurately for $\rho > \rho_c$, black line. For $\rho < \rho_c$, LD is a much larger than the QLE prediction. For A and B, LD is measured when allelic entropy has decayed 30% from the initial value ($\sigma^2 = 0.005$, $V_A = 0.1\sigma^2$ and $V_I = 0.9\sigma^2$). In A, $N = 10^5$, and the data shown are averaged over 100 realizations. To avoid boundary and finite size effects, we used $N = 10^6$, assumed a circular chromosome for B, and averaged over 10 realizations.

well described by the QLE approximation (21, 22) (straight line) (see *SI Appendix*). The QLE approximation (in the high ρ/σ limit) predicts LD to be proportional to the strength of pairwise epistasis. Below ρ_c , the observed LD is dramatically larger than the QLE expectation. Here, recombination is sufficiently infrequent such that genotypes with a synergistic alleles are amplified faster than they are taken apart by recombination, see below. As a result, the few fittest genotypes grow exponentially in number, leading to the strong correlation in the occurrence of cooperating alleles, independent of physical linkage (i.e., proximity on the chromosome). This extensive LD leads to a complete failure when extrapolating results valid in the high recombination regime across the transition. The relevant quantity that determines whether fit genotypes can be maintained is the probability that no cross-over occurs, which is given by $e^{-\rho L}$. Hence, ρ_c is inversely proportional to L .

Self-Consistency Condition for QLE. The fitness of a genotype can be decomposed as $F = A + E$, where A is the heritable additive part and E is the nonheritable epistatic part. As a coarse-grained descriptor of the population, we consider the joint distribution $P(A, E; t)$ of the fitness components. In the QLE state, $P(A, E; t)$ evolves approximately as

$$\partial_t P(A, E; t) = (F - \bar{F} - r)P(A, E; t) + r\rho(E)\vartheta(A; t) \quad [1]$$

The first term accounts for the exponential growth of genotypes with fitness advantage $F - \bar{F}$ and the loss due to recombination at rate r . The second term accounts for the production of genotypes through recombination. To a good approximation, the distribution of A among recombinant offspring is identical to that among the parents $\vartheta(A) = \int dE P(A, E)$, which in turn is approximately Gaussian (29). The distribution of E among recombinant offspring is independent of the parents and a random sample from the distribution of epistatic fitness $\rho(E)$, which in our models is a zero-centered Gaussian. The latter is exactly true for the RE model and holds approximately for the PE model, where the correlation of E between ancestor and offspring halves every generation (28).

Eq. 1 admits the factorized solution $P(A, E; t) = \vartheta(A; t)\omega(E)$ with $\partial_t \vartheta(A; t) = (A - \bar{A})\vartheta(A; t)$ and a time-independent distribution of E

$$\omega(E) = \frac{r\rho(E)}{r + \bar{E} - E}, \quad [2]$$

where \bar{E} is determined by the condition that $\omega(E)$ has to be normalized. This solution exists only if $E < r + \bar{E}$ for all genotypes; otherwise, fit genotypes escape recombination and grow as clones. These two scenarios are illustrated in Fig. 3.

The normalization condition can be fulfilled only if r is larger than some r_c . Note that $\rho(E)$ has to go to 0 faster than linear for r_c to exist. The value of r_c is proportional to the maximal E and hence proportional to the strength of epistasis $\sqrt{V_I}$. However, it is not the absolute maximum of E among all possible 2^L genotypes that determines r_c , but the maximal E that is encountered by the population before fixation. Hence, r_c depends on the population size and the functional form of this dependence is determined by the upper tail of the distribution $\rho(E)$. For the Gaussian distribution used here, $r_c \approx \sqrt{2V_I \ln(rN\tau)}$, where τ is the time scale of QLE dynamics discussed below. The product $rN\tau$ then is the number of genotypes generated through recombination before fixation. A more detailed discussion is given in the *SI Appendix*.

The breakdown of the QLE state has some similarity to the error-threshold transition of a quasi-species model (30) in a rugged fitness landscape (31): Recombination of epistatic loci acts as deleterious mutations and prevents the emergence of quasi-species or clones (32, 33) for $r > r_c$.

Maintenance of Genetic Diversity. The transition between the two regimes leaves its imprint in virtually every quantity of interest in population genetics. For instance, the characteristic time for the decay of genetic diversity, τ (which we quantify via allele entropy, see *Methods*) scales differently with L in the two regimes, as shown in Fig. 4A. At low outcrossing rates, τ depends only on the total variance in fitness and neither on the number of loci nor the relative strength of additive contributions. This is consistent with the notion that in the CC regime genotypes are the units on which selection acts. With more frequent outcrossing, τ tends to be larger for weak additive contributions and large L . Beyond a certain outcrossing rate r_c , τ becomes independent of r attaining a value inversely proportional to the additive contribution of the individual loci independent of V_I (black diamonds in Fig. 3A). This observation confirms our assertion that for $r > r_c$, outcrossing decouples the loci and that the allele frequencies evolve independently under the action of the additive component of fitness. Given an additive variance V_A , the typical single locus fitness differential is $f \sim \sqrt{V_A/L}$ such that τ grows as \sqrt{L} for $r > r_c$. To uncover the universal behavior in the vicinity of the transition in the limit of large genomes, we show that the data for different V_I , V_A , and L collapses

recombination rate (37, 38). The effects described above may provide an explanation for the functional clustering associated with low and high LD regions reported in HapMap (18).

Methods

Random Epistasis Model. A genotype g is described by L binary variables $s_i = \pm 1, i = 1, \dots, L$. To each genotype we assign a fitness

$$F(g) = f \sum_i s_i + \xi(g). \quad [3]$$

The first term is the sum of the additive fitness contributions of the individual loci, each of which has equal magnitude $f = \sqrt{V_A/L}$. The second term is the nonhereditary epistatic fitness, where $\xi(g)$ is drawn from a normal distribution with 0 mean and variance V_I . For a uniform distribution of genotypes, the additive fitness variance is V_A , the epistatic variance is V_I , and the total variance is $\sigma^2 = V_A + V_I$.

Pairwise Epistasis Model. Here, we consider epistasis due to pairwise interactions between the different loci. Such pairwise interactions correspond to $s_i s_j$ terms in the fitness function. The fitness of a particular genotype g is determined by the independent effects of the individual loci and the sum of the interactions between all pairs.

$$F(g) = f \sum_i s_i + \sum_{i < j} f_{ij} s_i s_j. \quad [4]$$

When assuming uniform epistasis between all possible pairs, we draw the interaction strength f_{ij} from a Gaussian distribution with 0 mean and variance

$$\frac{2V_I}{L(L-1)}.$$

Clustered Epistasis. To mimic localized clusters of strongly interacting genes on a weakly interacting background, we constructed the matrix of f_{ij} 's as follows. The sparse background epistasis was modeled by assigning each f_{ij} a Gaussian random number with probability $P = 0.1$ and 0 otherwise. Then we built 3 epistatic clusters with centers $c_k = 10, 50, 90$ by adding a Gaussian random number to each f_{ij} with probability

$$p = \exp\left(\frac{(i - c_k)^2 + (j - c_k)^2}{2r^2}\right)$$

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with $r = 10$ for $k = 1, 2, 3$. All f_{ij} were rescaled such that $\sum_{i < j} f_{ij}^2 = V_I$.

Selection. Our model assumes nonoverlapping generations. In each generation a pool of gametes is produced, to which each individual contributes a number of copies of its genome, which is drawn from a Poisson distribution with parameter $\exp(F(g) - \bar{F})$.

Gene Reassortment. To model gene reassortment in a facultatively mating population, 2 gametes are chosen with probability r and a new genotype is formed by assigning each locus the allele of one or the other parent at random. Otherwise, the new genotype is an exact copy of 1 gamete.

Cross-Overs. Given a cross-over rate ρ per locus, the number of cross-overs is drawn from a Poisson distribution with parameter $(L - 1)\rho$ and the cross-over locations are chosen at random. When the number of cross-overs is 0, the offspring inherits the entire genome from 1 parent. To model circular chromosomes, the number of cross-overs is multiplied by 2 enforcing an even number of cross-overs.

Measuring Genetic Diversity. The allele entropy is a convenient descriptor of genetic diversity that is readily calculated from the evolving population. It is defined as $S_A = -\sum_i [v_i \ln v_i + (1 - v_i) \ln(1 - v_i)]$, where v_i is the allele frequency at locus i .

Measuring Linkage Disequilibrium. LD is the deviation of the frequency of a pair of alleles from the random expectation on the basis of the individual allele frequencies, i.e., $D_{ij} = \langle s_i s_j \rangle - \langle s_i \rangle \langle s_j \rangle$. Kimura (21) showed that in QLE

$$\psi_{ij} = \frac{D_{ij}}{v_i \bar{v}_i v_j \bar{v}_j}$$

is time independent despite changing allele frequencies v_i and v_j ($\bar{v}_i = 1 - v_i$). To measure genome wide LD, we calculate the sum of all squared LD terms $\sum_{i < j} \psi_{ij}^2$. Pairs with v_i or $v_j < 0.01$ or > 0.99 were omitted. A different normalization is used in Fig. 5, where

$$D'_{ij} = \frac{|D_{ij}|}{4 \max(\min(v_i v_j, \bar{v}_i \bar{v}_j), \min(v_i \bar{v}_j, \bar{v}_i v_j))}$$

is shown (see ref. 19 for a recent review).

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