

1 **Years of life lost and morbidity cases attributable to transportation noise and air pollution: a**
2 **comparative health risk assessment for Switzerland in 2010**

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22 **ABSTRACT**

23 Background: There is growing evidence that chronic exposure to transportation related noise and air
24 pollution affects human health. However, health burden to a country of these two pollutants have
25 been rarely compared.

26 Aims: As an input for external cost quantification, we estimated the cardiorespiratory health burden
27 from transportation related noise and air pollution in Switzerland, incorporating the most recent
28 findings related to the health effects of noise.

29 Methods: Spatially resolved noise and air pollution models for the year 2010 were derived for road,
30 rail and aircraft sources. Average day-evening-night sound level (Lden) and particulate matter (PM₁₀)
31 were selected as indicators, and population-weighted exposures derived by transportation source.

32 Cause-specific exposure-response functions were derived from a meta-analysis for noise and
33 literature review for PM₁₀. Years of life lost (YLL) were calculated using life table methods; population
34 attributable fraction was used for deriving attributable cases for hospitalisations, respiratory
35 illnesses, visits to general practitioners and restricted activity days.

36 Results: The mean population weighted exposure above a threshold of 48 dB(A) was 8.74 dB(A), 1.89
37 dB(A) and 0.37 dB(A) for road, rail and aircraft noise. Corresponding mean exposure contributions
38 were 4.4, 0.54, 0.12 µg/m³ for PM₁₀. We estimated that in 2010 in Switzerland transportation caused
39 6,000 and 14,000 YLL from noise and air pollution exposure, respectively. While there were a total of
40 8,700 cardiorespiratory hospital days attributed to air pollution exposure, estimated burden due to
41 noise alone amounted to 22,500 hospital days.

42 Conclusions: YLL due to transportation related pollution in Switzerland is dominated by air pollution
43 from road traffic, whereas consequences for morbidity and indicators of quality of life are dominated
44 by noise. In terms of total external costs the burden of noise equals that of air pollution.

45

46 **KEYWORDS:** Transportation; noise; air pollution; burden of disease; health impact assessment;
47 external costs

48

49 **HIGHLIGHTS:**

50 • Link between transportation noise and cardiovascular outcomes, independent of air pollution.

51 • The impact of transport noise was only partially accounted in past burden studies.

52 • Mortality is dominated by air pollution from road traffic.

53 • Noise has a larger impact on quality of life indicators.

54 • In Switzerland, transportation related air pollution and noise amount to similar external costs.

55

56 INTRODUCTION

57 There is a large body of evidence on the health effects of air pollution, specifically fine particle matter
58 (PM) generated by traffic sources in urban areas. There is robust evidence for a link of PM fractions
59 with long-term mortality (Hoek et al., 2013) and infant mortality (Woodruff et al., 1997), and various
60 morbidity outcomes such as cardiorespiratory hospital admissions (Atkinson et al., 2014), bronchitis
61 (Abbey et al., 1995; Schindler et al., 2009), asthma (Hoek et al., 2012; Weinmayr et al., 2010) and
62 restricted activity days (Ostro, 1987). This evidence has been used for estimating the burden of air
63 pollution in different settings (Lim et al., 2012; WHO, 2013a).

64 Less is known about the health effects of transportation related noise, although there has been
65 substantial growth in the body of evidence in the last years. While the negative health impact from
66 noise were principally linked to annoyance, auditory and other non-auditory health effects (Basner et
67 al., 2013), new studies are finding an association between chronic exposure to transportation related
68 noise and cardiovascular outcomes, such as ischemic heart disease (IHD), hypertensive diseases and
69 stroke, independent of the effects of air pollution (Sørensen et al., 2011; van Kempen and Babisch,
70 2012; WHO, 2011).

71 In Switzerland, the political consensus is that heavy vehicles (above 3.5 tonnes) must cover the
72 entirety of the costs they generate, including the external costs from damage to environment and
73 health. Thus the LSV (performance related heavy vehicle charge) has been traditionally derived in
74 part on calculation of external costs of noise and air pollution, revised every 5 years (ARE, 2004a, b,
75 2008, 2014a). So far, external cost of noise were principally driven by the effects of quality of life
76 indicators (annoyance and sleep disturbance) and were reflected by calculating the loss of rents in
77 noise exposed apartments (ARE, 2008). Health effects represented by mortality due to hypertension
78 and ischemic heart disease have also been included in past evaluations but cost contributions were
79 minor compared to loss of rents (ARE, 2008, 2014a). The recent epidemiological literature shows that
80 the mortality effects of noise are much higher than earlier studies suggested. The impact of noise
81 from transportation was thus most likely only partially accounted in past burden and cost evaluations
82 studies in Switzerland and elsewhere.

83 As an input for the latest external traffic cost estimates in Switzerland, this study estimates the years
84 of life lost (YLL) and attributable burden for different cardiorespiratory outcomes due to the noise
85 and air pollution generated from road, rail and aircraft transport in 2010 in Switzerland,
86 incorporating the most recent findings related to the health effects of noise and air pollution.

87 **MATERIALS AND METHODS**

88 We combined population exposure to noise and air pollution with exposure-response functions and
89 baseline cardiorespiratory morbidity and mortality data to estimate the years of life lost (YLL) and the
90 number of morbidity cases attributable to noise and air pollution from transportation on the roads,
91 railways and in the air.

92

93 **Population exposure**

94 Exposures to noise were obtained from existing models for year 2010. For road and rail noise
95 population exposures were derived from SonBase, the Swiss GIS-based noise model (Karipidis et al.,
96 2014). SonBase models the noise propagation from source to reception points, taking account of
97 building height, first order reflections and noise barriers. Noise levels at source points are first
98 calculated with CADNA-A and STL-86+ models using data from a detailed Swiss national traffic model
99 for 2010 from the Federal Office for Spatial Development (ARE, 2014b). SonBase calculates
100 equivalent continuous noise level (Leq) at the most exposed façade of each building per floor in
101 Switzerland, with noise in steps of 1 dB(A). Estimates of aircraft noise for the national airports of
102 Zurich and Geneva come directly from the airport operators, which annually evaluate the airport-
103 specific noise. The data for Basel and 10 regional airports were derived from the SonBase model
104 developed by the Federal Office of Civil Aviation (ARE, 2004a; Huss et al., 2010).

105 The noise metric used in our study was Lden [dB(A)], the average sound level over all 24 hour periods
106 of a year with a respective 5 and 10 dB(A) penalty for evening (18:00 to 22:00) and night (22:00-
107 06:00) hours. Noise levels modelled at residential addresses were combined with population counts
108 to determine total exposure in 1 dB(A) steps from 40 – ≥80 dB(A) (in burden calculations, population
109 in areas with modelled road and rail noise <40 dB(A) were assigned a level of 40 dB(A)). For
110 subsequent burden calculations, a threshold of no effect of 48 dB(A) was assumed (see next Section
111 “Derivation of exposure-response relationship”). We thus calculated the population-weighted mean
112 exposure over this threshold for each noise source.

113 For air pollution, PM₁₀ was used as the pollutant indicator to allow for comparability with past
114 studies. Exposure levels for 2010 were obtained from a 200 x 200m dispersion model for PM₁₀ which
115 accounted for primary particulates, secondary particle formation from precursor emissions (NO_x, SO₂
116 NH₃ and NMVOC) and transboundary large-scale PM₁₀ (BAFU, 2013). The dispersion model was run
117 for total air pollution and separately for each transport source (road, rail and air). Population counts
118 in each grid cell were combined with PM₁₀ levels to obtain population-weighted concentrations by
119 source type.

120 **Derivation of exposure-response relationship**

121 We conducted a literature review to derive or obtain exposure-response relationships reflecting the
122 most current scientific evidence in the association between noise, particulate matter and
123 cardiorespiratory mortality or morbidity.

124 We had previously developed meta-analytic estimates of the effects of noise on several
125 cardiovascular outcomes (ARE, 2014a; Vienneau et al., 2015). This included a meta-analysis to derive
126 an exposure-response function for ischemic heart disease (IHD) and stroke, and the pooling of two
127 existing meta-analysis estimates to derive a summary estimate for hypertension (Table 1). The
128 methods in brief were as follows. For IHD, we combined the results of 10 studies conducted since the
129 mid-1990s, providing 13 relative risk estimates for morbidity or mortality. Most were conducted in
130 Europe for road noise; 4 investigated exposure to aircraft noise, two of which were in North America;
131 none were found for railway noise. Six studies were combined for stroke, contributing a total of 8
132 relative risk estimates for meta-analysis: 3 road, 4 aircraft and 1 rail noise. For hypertension, we
133 combined the two recent meta-analyses, van Kempen and Babisch (2012) on road and Babisch and
134 van Kamp (2009) for aircraft, to derive the exposure-response function. To specify the starting point
135 for the noise exposure-response associations, we globally pooled the study specific reference values
136 (i.e. for three outcomes) using the derived meta-analysis weights of each study. This resulted in a
137 threshold of 48 dB(A) below which no effects were considered. We did not include annoyance, sleep
138 disturbance and cognitive impairment as outcomes to allow for comparability with past cost
139 evaluations in Switzerland, and to avoid potential double counting of effects.

140 For air pollution related health effects we applied the recommendations of the HRAPIE (Health risks
141 of air pollution in Europe) project (WHO, 2013a, b) (Table 2). For some outcomes such as mortality,
142 HRAPIE proposes an exposure-response function for PM_{2.5}. In this case the exposure-response
143 function was converted to PM₁₀ by applying the ratio of the population-weighted means for PM_{2.5}/
144 PM₁₀ of 0.73 (calculated in the Swiss dispersion model).

145 **Table 1.** Exposure-response relationships and baseline data used for the estimation of mortality and morbidity due to noise (per 10 dB(A) increase in Lden)

146

Outcome	Approach	Relative Risk (95% confidence interval) per 10 dB(A) increase in Lden	Baseline health data
Ischemic heart disease	≥30 years mortality; all ages morbidity. Meta-analysis including 13 estimates from 10 studies on effects of road and aircraft transportation noise and IHD (Babisch et al., 2005; Babisch et al., 1999; Babisch et al., 1994; Beelen et al., 2009; Correia et al., 2013; Gan et al., 2012; Hansell et al., 2013; Huss et al., 2010; Selander et al., 2009; Sørensen et al., 2012)	1.046 (1.015, 1.079) ^a	ICD10 I20-I25. 2011 mortality rates; 283,443 hospital days (BfS)
Stroke	≥30 years mortality; all ages morbidity. Meta-analysis of 8 estimates from 6 studies on road, aircraft and rail transportation noise and stroke (Beelen et al., 2009; Correia et al., 2013; Gan et al., 2012; Hansell et al., 2013; Huss et al., 2010; Sørensen et al., 2011)	1.014 (0.964, 1.066) ^b	ICD10: I60-I64 exc. I63.6. 2011 mortality rates; 300,472 hospital days (BfS)
Hypertensive diseases	≥30 years mortality; all ages morbidity. Pooling of the effect estimate from 2 existing meta-analysis (Babisch and van Kamp, 2009; van Kempen and Babisch, 2012)	1.076 (1.032, 1.121) ^b	ICD10: I10-I15. 2011 mortality rates; 51,871 hospital days (BfS); 990,440 general practitioner visits extrapolated from Swiss Health Survey (BfS, 2010)

147 a. Exposure-response functions were developed in a previous version of Vienneau et al. (2015).

148 b. Exposure-response functions were developed in ARE (2014b).

149 BfS: Bureau of Federal Statistics, Switzerland

150 **Table 2.** Exposure-response relationships and baseline data used for the estimation of mortality and
 151 morbidity due to air pollution (per 10 µg/m³ increase in PM₁₀)

Outcome	Relative Risk (95% confidence interval) per 10 µg/m ³ increase in PM ₁₀	Source ^a	Baseline health data ^a
All-cause (natural) mortality	1.045 (1.029, 1.060)	Hoek et al. (2013)	2011 mortality rates, ICD10 A00-R99 (BfS)
Post-neonatal infant mortality, all cause	1.04 (1.02, 1.07)	Woodruff et al. (1997)	2011 mortality rates (BfS)
Hospital days for cardiovascular diseases (includes stroke), all ages	1.007 (1.001, 1.012)	Atkinson et al. (2014)	1,393,409 hospital days, all ages, ICD-10 I00-I99, (BfS)
Hospital days for respiratory diseases, all ages	1.014 (0.999, 1.029)	Atkinson et al. (2014)	579,939 hospital days, ICD-10 J00-J99, (BfS)
Incidence of chronic bronchitis in adults (≥18 years)	1.117 (1.040, 1.189)	Abbey et al. (1995) Schindler et al. (2009)	24,869 cases. Annual incidence is 3.9 per 1000 adults to be applied to ages above 18, SAPALDIA study
Prevalence of bronchitis in children (6-18 years)	1.08 (0.98, 1.19)	Hoek et al. (2012)	198,109 cases. Prevalence average PATY study, 18.6% to be applied to ages 6-18
Asthma attacks in adults with asthma (≥18 years)	1.029 (1.013, 1.045)	ARE (2004a)	1,339,058 attacks. Estimated as 0.21 asthma attacks per adult per year (this includes average 3-4 attacks per year per asthmatic)
Days with asthma symptoms in asthmatic children (5-17 years)	1.028 (1.006, 1.051)	Weinmayr et al. (2010)	3,333,635 symptom-days. Estimated in children based on "Symptoms of severe asthma" over population 5-19 and average "severe asthma" for Western Europe (4.9%) in ISAAC study (Lai et al., 2009). The daily incidence of symptoms among this group is assumed 17%, multiplied by 365 gives the number of days of symptoms among asthmatics for one year
Restricted activity days (≥18years)	1.034 (1.030, 1.038)	Ostro (1987)	121,152,911 days with restricted activity. As in original paper, 19 restricted activity per person per year (for population over 18 years of age)

152 a. Following the recent World Health Organization guidelines (WHO, 2013a, b).

153 BfS: Bureau of Federal Statistics, Switzerland

154

155 **Calculation of morbidity and mortality burden**

156 We used mortality rates observed in Switzerland to calculate changes in YLL for a reference and the

157 counterfactual scenario using the life table approach (Miller and Hurley, 2003; Rössli et al., 2005). In

158 the reference scenario, 1-year age interval life tables for the Swiss population were calculated
159 extrapolating observed survival probabilities in the year 2011, obtained from Federal Statistics Office
160 (BfS), to 2010 population (differentiated for male and female). For the counterfactual scenario, life
161 tables were rerun with modified survival probabilities that assumed no one in the population was
162 exposed to source-related transportation noise (above 48 dB(A)) or PM₁₀ concentrations. Thus cause-
163 specific mortality rates were changed according to the relevant relative risk (RR) and source-specific
164 exposure contribution, keeping unchanged rates for the remaining outcomes not affected by the
165 exposure. The counterfactual scenario assumed a return to previous exposure levels after 2010, thus
166 mortality rates are only modified in 2010. For both scenarios, life years were calculated for the next
167 105 years and summed. The difference between the reference and counterfactual scenario is
168 interpreted as the YLLs attributed to noise or air pollution in year 2010 in Switzerland. No discounting
169 for time or age was applied.

170 For morbidity outcomes, we used population attributable fraction (PAF) applied to baseline health
171 data to obtain the number of cases per year attributable to noise or air pollution transportation in
172 Switzerland in 2010. Baseline health data were obtained from Federal Statistics Office (BfS) or,
173 following recommendations by WHO, extrapolated from past studies if not available for Switzerland
174 (Tables 1 and 2).

175 We evaluate uncertainty by calculating health impacts based on the 95% confidence intervals of the
176 relevant exposure-response function.

177

178 **RESULTS**

179 **Population exposure**

180 Approximately 6.6 of the 7.8 million residents (84%) in Switzerland were found to be exposed to road
181 noise in excess of our 48 dB(A) (Lden) threshold. The majority of these persons (61%) lived in areas
182 with noise levels between 48 and 60 dB(A). On this basis, we computed the mean population-
183 weighted excess (>48 dB(A)) exposure as 8.74 dB(A) for road noise (Table 3). Substantially fewer
184 persons were exposed to rail (1.5 mil) and aircraft (0.58 mil) noise, respectively reflecting a mean
185 excess exposure of 1.89 and 0.37 dB(A) (Lden) (Figure S1, online supplement).

186 The population-weighted exposure to total PM₁₀ in 2010 was 19.4 µg/m³ (see Table S1). The
187 transportation sources accounted for 26% of the total PM₁₀ load. The remaining load is largely
188 caused by household, industry, agriculture and forestry sources (71%) and a small amount from

189 natural origin (3%). The contribution of transportation related sources applied to the burden
 190 calculation were 4.4, 0.54, 0.12 $\mu\text{g}/\text{m}^3$ for road, rail, and aircraft transport, respectively (Table 3).

191

192 **Table 3.** Population weighted excess concentrations for noise and air pollution to transportation
 193 sources in Switzerland, 2010

194

Pollutant	Road traffic	Rail traffic	Aircraft traffic	Total transport
Noise (Lden, dB(A)) ^a	8.74	1.89	0.37	11.00
Air pollution (PM ₁₀ , $\mu\text{g}/\text{m}^3$)	4.40	0.54	0.12	5.06

195 a. Calculated as population weighted mean for levels above 48 dB(A). This threshold level was
 196 determined by pooling the study specific reference values using the derived meta-analysis weights of
 197 each study.

198

199 **Exposure-response relationship**

200 Relative Risk (RR) estimates derived from a meta-analysis (ARE, 2014a; Vienneau et al., 2015) used in
 201 the evaluation of the noise burden in Switzerland (per 10 dB(A) increase, Lden) are presented in
 202 Table 1. The relative risk for increased morbidity and mortality per 10 dB(A) increase in Lden is 1.046
 203 (95% CI 1.015, 1.079) for IHD, 1.014 (0.964, 1.066) for stroke and 1.076 (1.032, 1.121) for
 204 hypertensive diseases. Given the small number of available studies for each outcome, we assumed
 205 that the same risk estimate would apply to both mortality and morbidity. The available risk estimates
 206 for hypertension, in particular, related only to morbidity. A stratified analysis in the meta-analysis for
 207 IHD indicated that the difference between risk estimates by disease state were not statistically
 208 significant (Vienneau et al., 2015). The baseline health data related to each outcome are presented in
 209 Table 1.

210 We followed the recent review of the literature by the WHO to select the exposure-response
 211 functions for long-term exposure to PM₁₀ and health outcomes (WHO, 2013b). The relative risk (RR)
 212 for all-cause (natural) mortality in adult populations (age 30+) is 1.062 (1.040, 1.083) per 10 $\mu\text{g}/\text{m}^3$
 213 increase in the long term PM_{2.5} exposure based on a meta-analysis of 13 cohort studies (Hoek et al.,
 214 2013). The corresponding risk estimate for PM₁₀ using the population-weighted ratio of 0.73 results
 215 in an RR of 1.045 (1.029, 1.060). For the first year of life we applied an increase in mortality by 1.04
 216 (1.02-1.07) according to Woodruff et al (1997). The full set of relative risk estimates and baseline
 217 health data in relation to PM₁₀ is presented in Table 2.

218 **Estimated burden**

219 The results of the YLL in Switzerland due to transport noise and air pollution exposure are shown in
220 Table 4. We estimated that exposure to transportation related noise caused 6,000 YLL in 2010, most
221 of which were associated with death by IHD (4,100), followed by death from hypertensive diseases
222 (1,400). We estimated that exposure to transportation related air pollution caused 14,000 YLL in
223 2010. For both, noise and air pollution, the largest contributor to the YLL originates from road traffic
224 (78% and 86%, respectively).

225 The burden related to transportation on the road, railway and by aircraft in Switzerland in 2010
226 amounted to 20,000 YLL with 70% of total contributed by air pollution and 30% by noise. By source,
227 the largest contribution to YLL from road traffic remains air pollution (72%). The burden from rail and
228 aircraft traffic is more equally distributed between these sources (60% and 62%, respectively, from
229 air pollution).

230 Table 5 shows the estimated impact of noise and air pollution on morbidity in 2010. We obtained
231 13,800, 4,600 and 4,100 hospital days for IHD, stroke and hypertensive diseases due to
232 transportation noise in Switzerland in 2010. The number of general practitioner visits due to
233 hypertensive diseases was estimated as 77,700.

234 We estimated a total of 4,700 and 4,000 cardiovascular and respiratory hospital days, respectively,
235 due to exposure to air pollution from transportation in Switzerland in 2010. In addition, for adults
236 (children) we estimated there were 1,400 (7,600) bronchitis cases and 19,300 (45,900) asthma
237 related symptoms per year, as well as 2,047,000 restricted activity days due to this exposure.

238 **Table 4.** *Estimated Years of life lost (undiscounted) due to noise and air pollution by transportation source with 95% confidence interval for Switzerland, year*
 239 *2010*

Outcome		Total transport	Road traffic	Rail traffic	Aircraft traffic
Noise (Lden): Cardiovascular disease	IHD	4100 (1400, 6800)	3300 (1100, 5400)	710 (240, 1200)	140 (50, 230)
	Stroke	470 (0, 2100) ^a	370 (0, 1700) ^a	80 (0, 360) ^a	20 (0, 70) ^a
	Hypertensive diseases	1400 (610, 2100)	1100 (480, 1700)	240 (100, 360)	50 (20, 70)
	Total noise	6000 (2000, 11100)	4700 (1600, 8800)	1000 (340, 1900)	200 (70, 370)
Air pollution (PM ₁₀): All-cause (natural) mortality	age≥30	13000 (8600, 17000)	11000 (7500, 15000)	1400 (920, 1800)	310 (200, 400)
	age 0-1	460 (240, 790)	400 (210, 690)	50 (30, 80)	10 (6, 20)
	Total air pollution	14000 (8800, 18000)	12000 (7700, 16000)	1400 (940, 1900)	320 (210, 420)
Total YLL (noise + air pollution) ^b		20000	17000	2500	520
%contribution to total ^b	Noise	30%	28%	40%	38%
	Air pollution	70%	72%	60%	62%

240 a. If confidence intervals of the exposure-response function included 1.0, the burden estimates were censored at zero to prevent calculating beneficial effects.

241 b. Based on central estimate.

242 YLL are rounded thus totals do not necessarily sum: 9-999 to the nearest 10; 1000-99999 to nearest 100; >100000 to nearest 1000.

243 IHD: Ischemic Heart Disease; YLL Years of Life Lost

244 **Table 5.** *Estimated Morbidity due to noise and air pollution by transportation source with 95% confidence interval on the exposure-response function*

Outcome	Total transport	Road traffic	Rail traffic	Aircraft traffic
Noise (Lden)				
Hospital days for IHD (≥30 years)	13800 (4600, 23100)	10900 (3700, 18200)	2400 (800, 4000)	470 (150, 790)
Hospital days for stroke (≥30 years)	4600 (0, 20600) ^a	3600 (0, 16300) ^a	790 (0, 3600) ^a	150 (0, 700) ^a
Hospital days for hypertensive diseases (≥30 years)	4100 (1800, 6300)	3200 (1400, 4900)	710 (310, 1100)	140 (60, 220)
General practitioner visits for hypertensive diseases (>15 years)	77700 (33900, 119000)	61400 (26900, 94100)	13600 (5900, 21100)	2700 (1100, 4100)
Air pollution (PM ₁₀)				
Hospital days for cardiovascular diseases (includes stroke), all ages	4700 (870, 8500)	4000 (760, 7400)	500 (90, 900)	110 (20, 200)
Hospital days for respiratory diseases, all ages	4000 (0, 8400) ^a	3500 (0, 7300) ^a	430 (0, 900) ^a	100 (0, 200) ^a
Incidence of chronic bronchitis in adults (≥18 years)	1400 (490, 2100)	1200 (430, 1900)	150 (50, 230)	30 (10, 50)
Prevalence of bronchitis in children (5-17 years)	7600 (0, 17000) ^a	6600 (0, 14700) ^a	810 (0, 1800) ^a	180 (0, 410) ^a
Asthma attacks in adults with asthma (≥18 years)	19300 (8800, 29700)	16800 (7600, 25800)	2100 (940, 3200)	460 (210, 700)
Days with asthma symptoms in asthmatic children (5-17 years)	45900 (10000, 82600)	40000 (8700, 71800)	4900 (1100, 8800)	1100 (240, 2000)
Restricted activity days (≥18years)	2047000 (1834000, 2301000)	1779000 (1595000, 2000000)	219000 (196000, 246000)	48500 (43400, 54500)

245 a. If confidence intervals of the exposure-response function included 1.0, the burden estimates were censored at zero to prevent calculating beneficial effects.

246 Numbers are rounded thus totals do not necessarily sum: 9-999 to the nearest 10; 1000-99999 to nearest 100; >100000 to nearest 1000.

247 IHD: Ischemic Heart Disease

248 **DISCUSSION**

249 This study comparatively estimated the attributable burden due to road, rail and aircraft traffic noise
250 and air pollution in 2010 in Switzerland, incorporating the most recent findings related to effects of
251 air pollution and noise on health. Stratified by source, we found that road traffic remains the largest
252 single contributor to cardiorespiratory mortality.

253 Our noise estimates do not include the well-established effects on sleep disturbances and annoyance
254 (Frei et al., 2014; Héritier et al., 2014). These effects are usually estimated and expressed by means
255 of disability adjusted life years (DALYs), as done in burden of disease from environmental noise
256 (WHO, 2011). Given our objective to determine external costs for Switzerland, however, we opted
257 against first calculating DALYs then translating these into monetary costs. The additional step likely
258 would have introduced greater uncertainty in the external cost. Direct quantification of reduced
259 housing and renting prices likely better reflects what citizens are willing to pay for the absence of
260 noise induced annoyance and sleep disturbances, and furthermore is more widely accepted by
261 policy makers. We calculated annual external costs of 1,050 Mil Swiss Francs (CHF) due to reduced
262 housing and renting prices in Switzerland for the year 2010 (for full data see ARE 2014a). The impact
263 of transportation related noise exposure on cardiovascular diseases was 560 Mil CHF due to YLL and
264 190 Mil CHF due to noise induced morbidity (ARE, 2014a). This yields total external health costs from
265 noise exposure of 1,800 Mil CHF (1,250 Mil CHF due to YLL and 510 Mil CHF due to morbidity), which
266 is similar to the total air pollution related external costs of 1,760 Mil CHF. In 2005, for road and rail
267 transport the external cost of noise was estimated at only 60% of the health costs due to air
268 pollution.

269 Our estimate includes the noise impact on cardiovascular diseases in Switzerland. Impacts related to
270 hypertension contribute second to YLL after IHD (largely myocardial infarction). While the
271 pathophysiological pathways by which noise is related to hypertension still need to be understood,
272 our finding is relevant for public health because the overall prevalence of hypertension – a primary
273 cause of cardiovascular mortality in Switzerland – remains high and targeted policy actions for
274 prevention are needed (Danon-Hersch et al., 2009; Dratva et al., 2012).

275 While recent noise studies have accounted for the effect of air pollution, the older studies included in
276 our meta-analysis have not. Confounding, however, may be minimal. In a recent, review Tétrault et
277 al. (2013) reported minimal confounding of the association between noise exposure and
278 cardiovascular disease by air pollution. In a population-based study on transportation noise and

279 blood pressure in adults in Switzerland, the effect estimate for road or railway noise also did not
280 change after adjusting for home outdoor air pollution levels (Dratva et al., 2012). Similarly the air
281 pollution studies we used for exposure-response functions did not adjust for confounding by noise.
282 Recent studies from the European Study of Cohorts for Air Pollution Effects (ESCAPE) project on the
283 effects of air pollution on several cardiovascular and respiratory outcomes found no major change in
284 effects when adjusting for noise (Stafoggia et al., 2014) . Moreover, as pointed out by Foraster
285 (2013), true personal exposure to traffic related noise may be substantially lower and misclassified in
286 particular among subjects living close to noisy streets as they may adopt coping strategies. Given that
287 night-time noise in bedrooms was not modelled in any of the studies used in our noise meta-
288 analyses, associations between noise and health outcomes are likely to be underestimated, thus,
289 resulting in conservative estimates of the burden as well.

290 Aircraft traffic is a rather moderate contributor to mortality at a Swiss-wide level as it represents less
291 than 3% of total noise and air pollution exposure due to transportation. The contribution of aircraft
292 noise to the total source-specific burden, however, is rather large at 38%. In more general terms this
293 result raises the issue of exposure to concomitant sources. Our analysis is based on an average for
294 the full population. It does not account for aspects of susceptibility in the population that may have
295 important consequences in burden and cost estimates if the susceptibility profile of the Swiss
296 population differed from the populations where the exposure-response functions had been derived.
297 It has now been shown that noise and air pollution could lead to acceleration of the progression of
298 some often clustered metabolic disorders and chronic respiratory diseases in individuals (Adam et al.,
299 2015; Eze et al., 2014; Jerrett et al., 2014). As a consequence, there may be a disproportionate earlier
300 age of onset in diseases and premature deaths in certain population groups. There is a need to
301 collect data to help establish susceptibility risk profiles in Switzerland.

302 We did not consider potential interactions between the various exposure sources. Some areas and
303 individuals may be exposed to several sources at the same time. Pershagen et al. (2014), for
304 example, saw a clear upward trend in the odds ratios for the relationship between noise and
305 abdominal obesity with increasing number of transportation sources from one to all three (e.g. road,
306 rail and aircraft). Given, however, that the synergetic or sub-additive effects between pollutants or
307 within different levels of pollutants are still unknown, we abstained from additional disaggregation to
308 evaluate the distribution and impact of concomitant exposures.

309 The above point also directly relates to the main limitation of our study - the attribution of health
310 effects to a specific traffic source. Given that only a few studies on the effects of noise on

311 cardiovascular disease for specific transportation modes exist, we abstained from applying different
312 exposure-response functions in our evaluation. We further assumed a log linear relationship with
313 noise exposure for all health outcomes. The same exposure-response function was applied for all
314 traffic noise sources despite known differences in the acoustic characteristics for the different noise
315 sources. As indicated by existing exposure-response functions for noise annoyance and hypertension,
316 the type of noise source (road, rail or aircraft) may be strongly related to health outcome or
317 characteristics of individuals (Basner et al., 2013). Using a single exposure-response for all noise
318 sources may thus be problematic. We explored the implications of our decision to pool noise sources
319 in our meta-analysis for IHD and noise exposure through stratified analyses. We did not see
320 indications for heterogeneity between studies on road versus aircraft noise, although the threshold
321 for the association may be higher for aircraft compared to road traffic noise (Vienneau et al., 2015).
322 Similarly, we used the same exposure-response function for PM_{10} for all modes of transportation. A
323 large contribution of air pollution exposure is from road traffic. Thus, exposure-response functions
324 deriving from cohort studies based on exposure to $PM_{2.5}$ (e.g. land use regression models
325 representing both regional and local pollution) should reflect the effects of road traffic exposure to
326 some extent. We cannot, however, rule out that we may be over or underestimating burden from
327 railway and aircraft with these exposure-response functions. In particular, little research has been
328 done on differences in toxicity according to the source of particles. Particles from road traffic, for
329 example, may have a different effect on long term morbidity and mortality than particles from
330 railway traffic which is primarily mechanically generated from wear on the rail. PM_{10} is also a limited
331 indicator for air pollution from aircraft which mainly emit NO_x and ultrafine particles. Nevertheless,
332 assessment of total transportation related air pollution effects is expected to be less critical since a
333 large contribution of total air pollution exposure is from road traffic. Estimated health effects of
334 $PM_{2.5}$ and PM_{10} from cohort studies thus largely reflect the effect of road traffic exposure.

335 Comparative health impact assessment like ours relies on several assumptions that can rarely be
336 validated. In our study we followed a conservative approach. We tried to make the most accurate
337 choice but in case of doubt we applied the assumption that would likely yield a conservative
338 estimate, which may also be generalizable beyond Switzerland. For instance, we only evaluated noise
339 effects at people's homes; we do not consider potential effects from noise in work places, in
340 recreation areas and below the threshold of 48 dB(A). We also only selected outcomes that were
341 economically quantifiable and minimized double counts of the same cases. Burden and associated
342 costs, however, would be accrued if air pollution effects including low birth weight, respiratory

343 symptoms and days with cough, drug prescriptions for respiratory and cardiac/circulatory diseases,
344 self-medication, avoidance behaviour as well as acute and chronic physiological changes (e.g., lung
345 function) and metabolic changes including diabetes (Eze et al., 2014) had been considered. Evidence
346 for additional non-auditory health effects from transportation noise which we could not quantify, for
347 example, include cognitive impairment in children (Clark and Stansfeld, 2007; Stansfeld et al., 2005)
348 and metabolic outcomes in adults (Eriksson et al., 2014; Sørensen et al., 2013). Air pollution effects
349 on restricted activity is an important driver of the morbidity (Table 5) and the subsequent cost, but
350 our morbidity estimate relies on a single very old exposure-response function from the United States
351 which adds some uncertainty to the cost estimates (Ostro, 1987). Finally, our counterfactual
352 scenarios intrinsically assume immediate changes after one year of intervention. In reality, more time
353 would be needed to see the health benefits (and costs) of transportation interventions, thus it could
354 be argued that the external costs incurred per year may be inflated. Past sensitivity analyses,
355 however, demonstrated that the effect is minor (Röösli et al., 2005). In terms of quantifying cost we
356 used a discount rate of 1% in all calculations.

357 Our estimates of the cardiorespiratory health burden from transportation related noise and air
358 pollution in Switzerland are influenced by a number of factors including disease incidence, the risk
359 profile of the population, selection of the exposure metrics (e.g. Lden, PM₁₀) and the simulation of
360 population exposure. Only studies from Europe and North America were available for the derivation
361 of the exposure-response functions and selected threshold levels. In order to generalize these
362 associations, more studies in different cultural contexts are needed, specifically with regards to
363 noise, on the health effects of exposure to individual and combined transportation sources. This will
364 serve to reduce uncertainty in the exposure-response functions and make more them generalizable
365 to populations beyond Europe. Strikingly, the global burden of disease study lists ambient air
366 pollution as one of the leading causes for DALYs on a global scale, but does not even mention
367 community noise (Lim et al., 2012). We have confirmed that in Switzerland noise exposure is an
368 important a risk factor in its own right. This demonstrates that noise exposure should not be ignored
369 at the global scale.

370

371 **CONCLUSIONS**

372 Transportation related air pollution and noise in Switzerland is widespread and contributes largely to
373 the health burden from these exposures. While exposure assessments are becoming more precise
374 with availability of source-specific exposure models, uncertainties about the exposure-response

375 functions for different transport sources remain, especially regarding noise. In Switzerland
376 transportation related noise and air pollution cause similar external costs in the range of 1,700-1,800
377 million CHF each year. For air pollution the effects on mortality is most relevant in terms of costs
378 whereas for noise the effects representing impaired quality of life from annoyance and sleep
379 disturbances is the strongest cost contributor.

380

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384

385 **CONFLICT OF INTEREST:** none declared

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387

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573

Online Supplement

Years of life lost and morbidity cases attributable to transportation noise and air pollution: a comparative health risk assessment for Switzerland in 2010

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Table S1. Population weighted PM₁₀ exposures in 2010 by sector activity

Sector activity	All residents µg/m ³	Ages 0-14 µg/m ³	Ages ≥30 µg/m ³
Road traffic			
Car	2.985	2.951	2.991
Light goods vehicle	0.378	0.375	0.379
Heavy goods vehicle	0.774	0.767	0.775
Private bus	0.066	0.066	0.066
Public transport Bus	0.176	0.172	0.176
Motorcycles	0.026	0.026	0.026
Rail traffic			
Passenger transport	0.339	0.330	0.340
Good transport	0.202	0.199	0.203
Ship traffic			
Passenger transport	0.050	0.050	0.050
Good transport	0.037	0.036	0.037
Air traffic	0.120	0.120	0.119
Residential	3.353	3.334	3.357
Industrial	6.294	6.287	6.297
Agricultural	4.144	4.163	4.140
Natural	0.500	0.500	0.500
Sum	19.442	19.375	19.456

Figure S1. Number of noise-exposed persons to road, rail and air transport in 5 dB(A) categories (Lden)

