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Bidirectional crosstalk between endoplasmic reticulum stress and mTOR signaling

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| 3 | between endoplasmic reticulum stress and mTOR |
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| 20 | |

21 Abstract

22

23 Many cellular processes including apoptosis, autophagy, translation, energy 24 metabolism, and inflammation are controlled by the mammalian target of rapamycin 25 (mTOR) kinase and the endoplasmic reticulum (ER) stress pathway, also known as 26 the unfolded protein response (UPR). While both of these signaling nodes have 27 attracted wide attention in fundamental cell biology and drug discovery, crosstalk 28 between the two pathways has emerged only very recently. mTOR complex 1 29 (mTORC1) operates both upstream and downstream of ER stress signals, which can 30 either enhance or antagonize the anabolic output of mTORC1. Upon prolonged ER 31 stress, mTORC1 contributes to apoptotic signaling by suppressing the survival kinase 32 Akt through feedback inhibition. Likewise, chronic ER stress obstructs activation of 33 Akt by mTOR complex 2. This review surveys existing knowledge on mTOR-ER 34 stress intersections and highlights potential therapeutic implications.

36 Introduction

37

38 Cell growth, proliferation, survival, and energetic maintenance are intimately 39 connected processes. External signals such as nutrient availability, growth factors, or 40 inflammatory mediators are decoded by cellular sentinels, which – where appropriate 41 - can remodel cell physiology. Thus, cells respond to positive growth signals by 42 promoting anabolism (i.e. the buildup of macromolecules and the inhibition of 43 degradative reactions) and to unfavorable growth conditions by eliciting stress 44 pathways. The mammalian target of rapamycin (mTOR) signaling pathways and the 45 endoplasmic reticulum (ER) stress response (the so-called "unfolded protein 46 response", UPR) play increasingly recognized roles in this interplay [1, 2]. These two 47 apposing signaling networks have traditionally been considered separate pathways 48 and the identification of mTOR-UPR interconnections, which is reviewed herein, is a 49 relatively new area of research.

50 The atypical serine/threonine kinase mTOR is a master regulator of cell growth and 51 metabolism. It exists in two complexes, mTORC1 and mTORC2, which exhibit 52 different subunit compositions and execute distinct cellular tasks [3, 4] (boxes 1 and 53 2). mTOR complexes reside in the cytoplasm, where they are often found in 54 association with cellular membranes (see sections "Signal integration by mTORC1" 55 and "mTORC2 and the ER"). Several pathways downstream of the mTOR complexes 56 are known [4] and new ones are constantly being discovered. The UPR, on the other 57 hand, is a conglomeration of signaling pathways originating from the ER (box 3). It is 58 known to be triggered when the protein folding capacity in the ER is overwhelmed 59 and "ER stress" ensues. The membrane-bound network of the ER, which extends 60 from the nuclear envelope to the periphery of the cell and maintains vital contact zones with many other cell organelles, is a highly metabolic organelle [1]. It mediates
many anabolic processes such as lipid synthesis, gluconeogenesis, and the biogenesis
of peroxisomes and lipid droplets, but also the catabolic turnover of proteins and
organelles through autophagy or proteasomal hydrolysis.

65 Signaling through mTORC1 and mTORC2 is activated by extracellular and 66 intracellular cues when conditions are favorable for growth. Both mTOR complexes 67 in turn facilitate cell growth, survival, and proliferation (boxes 1 and 2). The 68 metabolic classification of the UPR stress pathway is less straightforward, since it 69 would be a gross oversimplification to state that it generally antagonizes cellular 70 anabolism, which is orchestrated by mTOR. Indeed, the UPR does not only signal 71 stress, catabolism, and cell death [5], but also, for instance, the anabolic expansion of 72 ER membranes [6]. In the same vein, activation of the UPR is also achieved by 73 stimuli which are not necessarily linked to unfavorable ("stressful") growth 74 conditions (see section "Upstream of the UPR").

75 Given their central influence on cell viability, both mTOR and UPR have been subject 76 to extensive biomedical and pharmacological research activity [7-9], for instance in 77 the search for new cancer treatments. Thus, evaluating and understanding the 78 intersections and synergisms (or antagonisms) between the outputs of mTOR and 79 UPR is of importance; possible routes of crosstalk between these signaling networks 80 are fundamental for cell health. Here, we do not intend to provide a comprehensive 81 synopsis of the upstream and downstream signaling networks surrounding mTOR and 82 UPR for which the reader is referred to other recent articles [3-5, 10]. Instead, this 83 review focuses on the interdependence of these two pathways in health and disease. 84 Recent pioneering studies on molecular links between mTORC1, mTORC2, and the

ER stress response will be summarized along with a tentative preview to where thesenew insights may guide future therapeutic strategies.

87

88 Signal integration by mTORC1

89

90 Our understanding of the molecular mechanisms leading to the activation of 91 mTORC1 has tremendously increased over the past few years. Known pathways 92 culminate in the association of mTORC1 with the active, GTP-bound forms of the 93 small GTPases Rheb and Rag, which initiate mTORC1 signaling [11]. Thus, 94 mTORC1 activation is regulated by at least two independent inputs. One is the 95 regulation of the GTP-binding status of Rheb in response to growth factors. The other 96 is the GTP loading of Rag and the recruitment of mTORC1 to the lysosomal 97 membrane in response to amino acids [4, 11].

98 Growth factors and hormones, which operate upstream of Rheb-mTORC1, are 99 recognized by cell surface receptors. These in turn initiate intracellular signaling 100 cascades, the majority of which act on the heterodimeric TSC1-TSC2 (also called 101 hamartin-tuberin) complex, a GTPase activating complex and a negative regulator of 102 GTP-bound Rheb (Fig. 1). Examples of cell surface receptors which enhance 103 mTORC1 signaling via inhibition of TSC1-TSC2 are the insulin receptor and 104 Frizzled (the receptor of the Wnt signaling pathway). The insulin receptor signals via 105 PI3K and PDK1 to the AGC kinase family member Akt. It also activates the MAP 106 kinase cascade Raf-Mek-Erk. Activated Akt and Erk phosphorylate and inactivate 107 TSC2 on multiple serine/threonine residues [12-14] (Fig. 1). In contrast, 108 phosphorylation by GSK3, which is inactivated by the Wnt-Frizzled pathway, 109 activates TSC2 [15]. Further regulatory inputs on TSC1–TSC2 by intracellular energy

110 levels, cytokines, and hypoxia are summarized in Figure 1. Thus, the TSC1–TSC2 111 complex operates as an integrator of complex signaling information upstream of 112 mTORC1. Loss of either tumor suppressing subunit of TSC1–TSC2 leads to 113 constitutive activation of mTORC1 by Rheb–GTP and to an autosomal dominant 114 disease (tuberous sclerosis complex; TSC) characterized by the widespread 115 accumulation of benign tumors [16].

A second form of regulatory input on mTORC1 is spatial organization. Activation of mTORC1 by Rheb–GTP occurs on the cytosolic surface of lysosomes. Recruitment of mTORC1 to these sites is mediated by binding to heterodimeric Rag GTPases and signaled by the availability of amino acids in lysosomes [17-19] (Fig. 1). In what way this pathway is integrated with the input of alternative amino acid-sensing machineries upstream of mTORC1 [20, 21] (Fig. 1) is currently unknown.

122 Collectively, activation of mTORC1 signaling is a multi-step process that depends on 123 the inputs of cellular signaling cascades and the availability of nutrients, energy, and 124 oxygen. As both Rheb- and Rag-integrated inputs are required for activation, this 125 creates a situation of tight regulation which – in light of the pathological 126 consequences of uncontrolled mTORC1 activation [16] – is critically important. 127 Before discussing the inputs of ER stress on mTORC1 activation, we will next give 128 an overview of specific "growth" conditions that elicit UPR signaling.

129

130 Upstream of the UPR

131

A growing body of evidence places the UPR downstream of physiological stimuli that do not necessarily act via the accumulation of unfolded proteins in the ER [10]. For instance, very much like mTORC1, the UPR is sensitive to the availability of

135 nutrients and growth signals [1]. Indeed, the historically oldest way to elicit the UPR 136 (at that time measured by the increased synthesis of "glucose regulated proteins") was 137 glucose starvation [22], illustrating that the responsiveness of the ER to a low 138 nutritional or energetic state has been recognized for decades. UPR induction results, 139 at least in part, from a glucose-deprivation-induced decrease in cellular ATP, which 140 affects the function of the ER calcium pump SERCA2b and ER calcium levels [23]. 141 Physiological UPR activation can therefore be mediated by unfavorable growth 142 conditions and be reciprocal to the activation of mTORC1.

143 Hypoxia represents another stress condition which activates UPR signaling and 144 inhibits mTORC1 [24]. What is the trigger of the UPR under hypoxic conditions? 145 One possible answer is given by the fact that Ero1 oxidases which support disulfide-146 bond formation in nascent proteins in the ER depend on oxygen [25]. Accordingly, 147 lowered Ero1 activity under hypoxia could lead to hampered protein folding and ER 148 stress. This simple model, however, is complicated by the facts that oxygen 149 deprivation transcriptionally induces $\text{Ero}1\alpha$ [26] and increases its activation state [27, 150 28]. Indeed, ER redox readouts showed that hypoxia-activated Ero1α is operative in 151 preventing ER hypo-oxidation [27]. Alternative mechanisms are hypoxia-mediated 152 up-regulation of GSK3B, which leads to the induction of PERK signaling via 153 destabilization of the nascent polypeptide-associated complex [29] and/or an increase 154 in free fatty acids (FFAs) evoked by the hypoxia-inducible transcription factor HIF-155 2α [30].

The fact that circulating FFAs, prevalent during obesity, induce ER stress illustrates
that the UPR, like mTORC1, can also be activated by a high nutritional state [31].
Underlying mechanisms likely include changes in ER membrane composition,
fluidity, and curvature that inhibit SERCA2b as shown in pancreatic β-cells [32] and

160 mouse liver [33]. Other examples that document the responsiveness of the UPR to 161 overnutrition are cholesterol-loaded macrophages [34] and β -cells exposed to a high 162 glucose concentration [35].

163 In certain contexts, the UPR is also activated by growth stimuli. Thus, ER expansion 164 and induction of ER chaperones in B lymphocytes in response to antigen [36] and in 165 thyrocytes in response to thyroid-stimulating hormone [37] is mediated by the UPR. 166 Along the same line, UPR-mediated lipogenesis in the liver is activated following a 167 high-carbohydrate meal in an mTORC1-dependent manner [38]. This finding implies 168 that mTORC1 and UPR can act jointly to stimulate cell growth and suggests a 169 pathway by which mTORC1 can induce UPR signaling. Interestingly, the UPR-170 dependent proliferation of ER membranes during differentiation of B lymphocytes 171 and thyrocytes precedes the massive synthesis of immunoglobulin and thyroglobulin, 172 respectively [36, 37], indicating that a mechanism other than ER overload is 173 responsible for UPR activation. Whether this mechanism involves mTORC1 has not 174 yet been examined.

Taken together, UPR signaling is elicited by a variety of physiological inputs, which include both favorable and unfavorable growth conditions. Likewise, a functional interaction between UPR and mTORC1 has been observed. As discussed in the next section, bidirectional crosstalk between mTORC1 and UPR also occurs under pathological conditions of chronic activation.

180

181 Links between mTORC1 and UPR

182

183 The primary output of UPR signaling is homeostatic adaptation by a variety of 184 mechanisms that aim at restoring ER function (box 3). As a secondary output,

185 however, the UPR can also switch to promote apoptotic cell death through multiple 186 pathways that remain to be fully understood [5]. Notably, the UPR as a mediator of 187 ER-stress-induced apoptosis plays a pivotal role in a host of pathological conditions 188 including neurodegenerative misfolding diseases and oxidative injury, as reviewed 189 elsewhere [9, 39, 40]. It is intriguing to note that recent studies have also highlighted 190 pathological situations where cell toxicity by ER stress is coupled to the chronic 191 activation of mTORC1 [41-48]. This implies the apparent paradox that under certain 192 settings, mTOR -a bona fide positive regulator of cell growth and division -can also193 signal cell demise. Furthermore, as discussed in this section, UPR activation can 194 occur both upstream and downstream of mTORC1, which designates mTORC1 - at 195 least in certain contexts - as a component in the process of ER-stress-induced cell 196 death.

197 The best-documented ER pathway downstream of mTORC1 is Ire1a-ASK1-JNK 198 (box 3). Constitutive mTORC1 activation by loss of TSC1-TSC2 stimulates JNK, 199 which contributes to ER-stress-induced apoptosis [41, 43, 48]. Furthermore, thus 200 activated JNK can participate in the development of insulin resistance [43], which 201 occurs in parallel to other mechanisms such as ER-stress-facilitated de novo lipogenesis [49], activation of PKR [50], and the mTORC1-S6K1-IRS1 negative 202 203 feedback loop [51, 52]. Activation of the Ire1 α –JNK branch downstream of mTORC1 204 appears to be privileged over other arms of the UPR [41], and it has been suggested 205 that it is in fact the induction of an incomplete UPR by chronic mTORC1 activation 206 that kills the cell [53]. Consistent with apoptotic signaling through mTORC1–Ire1 α – 207 ASK1-JNK, over-expression or knockdown of Rheb enhances or antagonizes 208 apoptotic stimuli in an ASK1-dependent fashion [54].

209 The finding that ER stress can also act upstream of mTORC1 adds a further layer of 210 complexity. Pharmacological induction of the UPR rapidly activates the PI3K-Akt-211 mTORC1 signaling axis [41, 44, 45], which depends on the ATF6a branch of the 212 UPR [55]. Prolonged treatment with ER-stress-inducing agents, however, inhibits Akt 213 [45, 55-58] and mTORC1 [42, 59], which has - at least in part - been attributed to the 214 mTORC1-S6K1-IRS1 negative feedback loop [41, 52]. Furthermore, PERK-CHOP-215 mediated induction of the Akt inhibitor TRB3 [60, 61] and GSK3\beta-mediated 216 inactivation of mTORC2 (see section "mTORC2 and ER") may also contribute to the 217 inhibition of Akt upon advanced ER stress.

218 The suppression of Akt following an extended period of ER stress apparently plays a 219 central role in the activation of Ire1 α -ASK1-JNK downstream of mTORC1, possibly 220 by derepression of the ASK1 adaptor protein TRAF2 [41]. Such specific mTORC1-221 to-ER signaling in our opinion better explains the selective activation of Ire1 α -JNK 222 upon chronic activation of mTORC1 than a general mTORC1-mediated increase in 223 protein synthesis and ER load. Additional modulation of UPR-mTORC1 crosstalk is 224 provided by ATF6a-dependent up-regulation of Rheb [62] and by Akt-catalyzed 225 suppressive phosphorylation of PERK [63]. The currently identified links between 226 mTORC1 and UPR both upon acute and chronic stimulation are depicted in Figure 227 2A.

Given the interdependence of mTORC1 and UPR during pathological programs, it is
also interesting to consider common target processes as well as antagonizing outputs
of the two pathways during normal physiology. This interplay which is summarized in
Figure 2 is likely fundamental for cell health. Synergism between mTORC1 and UPR
occurs in the regulation of hepatic lipid synthesis [64-66], angiogenesis [67, 68],
NFκB signaling [1, 69], and insulin resistance [43, 51]. By contrast, the two pathways

emit conflicting signals as to the control of ribosome biogenesis [70, 71], translation
[4, 10], apoptosis [5, 72], and autophagy [4, 73].

Collectively, convincing evidence exists that ER stress, through stimulation of the "survival kinase" Akt, initially causes activation of mTORC1, which itself, in a later phase, contributes to Akt inhibition to activate the ER–JNK "death kinase" pathway. We therefore suggest that bi-phasic regulation of Akt by ER stress is a critical determinant of apoptotic UPR signaling. As mTORC2 also participates in the activation of Akt (box 2), the relationship between ER stress and mTORC2 is discussed in the next section.

243

244 mTORC2 and the ER

245

In contrast to mTORC1, much less is known about pathways operating upstream of mTORC2. However, ER stress also impacts mTORC2 signaling. Pharmacological induction of ER stress for several hours leads to GSK3β-catalyzed phosphorylation of the mTORC2 component rictor, which suppresses Akt activation [74]. Thus, together with the negative regulation of mTORC2 by the mTORC1 effector S6K1 [75], this mechanism likely contributes to the chronic UPR–mTORC1 apoptosis pathway described above.

Does mTORC2 also participate in Akt activation in an early phase of ER stress? At present, there is no compelling evidence in favor or against this notion. However, as demonstrated by immunofluorescence microscopy and subcellular fractionation, a significant fraction of mTORC2 resides on ER membranes – even following the stimulation of cells with growth factors [76]. It is therefore possible that phosphorylation of Akt by mTORC2 occurs on the surface of the ER. Upstream

regulation of mTORC2 critically relies on its binding to ribosomes, which is induced upon growth factor stimulation [77]. Whether such activation preferentially occurs on ER-associated ribosomes and depends on the physiological state of the ER remains to be explored. We would like to posit though that such regulation would be in line with the emerging view that mTOR complexes are controlled by association with specific membranes, as exemplified by mTORC1, which couples the sensing of amino acids in the lysosomal lumen with its activation on the surface of lysosomes [19].

266

267 Therapeutic implications and future directions

268

The connections and interdependencies between mTORC1 and UPR during chronic responses are associated with various pathologies [43, 45-48]. As a consequence, a number of new clues for combined therapy ensue, which warrant detailed preclinical evaluations and are discussed in this section.

273 A first example is TSC [16], a multisystem disorder which is caused by constitutive 274 activation of mTORC1 and includes the activation of ER stress pathways [41, 43, 45, 275 53]. The most frequent medical symptom associated with TSC is epileptic seizure, 276 which is widely believed to be caused by cerebral cortical tubers [16] but has also 277 been proposed to be associated with mTORC1-dependent ER and oxidative stress 278 through the ATF4-CHOP pathway [45]. Accordingly, antioxidant therapy or 279 treatment with ER-stress-alleviating "chemical chaperones" such as 4-phenylbutyric 280 acid (PBA) possibly combined with a low dosage of an mTORC1 inhibitor such as 281 Everolimus (RAD001; approved for TSC patients who are not suitable for surgical 282 intervention [7]) might inhibit epileptic seizures in TSC. A concern with systemic inhibition of mTORC1 in TSC, however, is that elimination of the S6K1-IRS1 283

feedback loop would lead to hyper-activation of Akt, which could potentially converthamartomas into malignant tumors.

286 A deadly interplay between mTORC1 and ER stress has also been identified as a 287 causal factor in renal syndromes such as diabetic nephropathy [47, 78] and so-called 288 minimal change disease [46], which are characterized by the damage of glomerular 289 podocytes. Similarly, pancreatic β-cell demise under glucolipotoxic conditions that 290 occur in type 2 diabetes mellitus is supported by the same pathways [48]. In both 291 cases, however, the functioning of mTORC1 is essential for cell viability so that the 292 therapeutic value of mTOR inhibitors is restricted. It has therefore been suggested that 293 a low dosage of rapamycin or alternative mTORC1 inhibitor [7] that would lower but 294 not abolish mTORC1 signaling combined with PBA to inhibit ER stress could be used 295 for treatment of nephropathies [47]. A similar strategy could also be considered for 296 the treatment of type 2 diabetes, since combined inhibition of ER stress and mTORC1 297 is expected to improve the survival of β -cells [48] and also increase peripheral insulin 298 sensitivity [43]. Furthermore, mTORC1 inhibition could counteract the pathological 299 consequences of diabetes-linked obesity in white adipose tissue [79]. It is important to 300 emphasize though that despite the fact that both rapamycin and PBA are FDA-301 approved drugs, such combined formulations for the treatment of TSC, renal failures, 302 or diabetes still require preclinical assessment to identify possible pleiotropic effects. 303 An alternative therapeutic approach to restore hepatic insulin sensitivity is the 304 stimulation of the deacetylase SIRT1, as adenovirus-mediated over-expression of 305 SIRT1 in the liver ameliorates the metabolic symptoms of obese animals through 306 inhibition of mTORC1 and ER stress [44]. In addition, clinically approved ASK1 or 307 JNK inhibitors would potentially add an important tool to mTORC1/ER-stress-308 targeting combination therapies [9].

309 As exemplified in TSC cells which are particularly sensitive to ER-stress-induced cell 310 death [41, 43, 45, 53] the targeted activation of this pathway could be used to 311 selectively kill tumors with hyperactive PI3K-Akt-mTORC1 axis. For instance, the 312 approved anti-tumor drug Velcade/Bortezomib which indirectly induces ER stress by 313 inhibiting the proteasome [80] could be considered. The Bcl-2 family tumor 314 suppressor Mcl-1 provides another promising link between mTORC1- and ER-stress-315 based anti-tumor therapy. The inhibition of 4EBP-controlled translation of Mcl-1 is a 316 central element in the treatment of mTORC1-hyperactive cancers [72]. Since – with 317 the notable exception of melanoma cells [81] - the UPR also downregulates the 318 translation of this key antiapoptotic protein through PERK–eIF2 α [82, 83], a 319 combination of PERK induction [84] and mTOR inhibition [7] could produce 320 synergistic effects.

321 Although most of the links between mTOR and the ER have been uncovered by 322 examination of chronic responses, the two signaling nodes almost certainly also 323 interact under healthy conditions. Currently, this is best illustrated by the postprandial 324 up-regulation of Ire1a signaling through mTORC1 in the liver [38]. As this up-325 regulation is an acute anabolic response to mTORC1, it is reasonable to assume that it 326 does not result from inhibition of Akt, which elicits apoptotic Ire1 α signaling during 327 chronic response. A question for future investigation is, which factors make a cell 328 sensitive to the channeling of initially anabolic mTORC1 signals into the apoptotic 329 Ire1α–ASK1–JNK or ATF4–CHOP pathways. Moreover, it is important to stress that 330 the current mechanistic understanding of UPR-mTORC1 as well as of mTORC1-331 UPR signaling is fragmentary (Fig. 2A). The linkage between UPR and the activation 332 of mTORC1 apparently involves the ATF6a branch, which somehow elicits PI3K-333 Akt signaling upstream of mTORC1 [42, 55] as well as the induction of Rheb [62].

334 The stimulation of Ire1 α by mTORC1, on the other hand, has been correlated with the

up-regulation of TRAF2 through depletion of activated Akt [41]. How Akt lowers the

336 levels of TRAF2, and whether there are additional mechanisms operating between

337 mTORC1 and the UPR machinery in parallel remains to be worked out.

338 In summary, a number of recent reports have shed light on new connections that link

two hitherto separated areas of modern cell biology. During the course of this review,

340 we have discussed both physiological and therapeutic implications of these findings.

341 Taken into consideration that the field of combined mTOR/UPR research is new,

342 significant progress is likely still ahead.

344 **Box 1**

345 *mTORC1*

346 The catalytic subunit of mTORC1 (and mTORC2; box 2) is the PI3K-related protein 347 kinase family member mTOR, which associates with Raptor and mLST8 to form the 348 mTORC1 core complex [3] (Fig. I). Direct substrates of mTORC1 are 4E-BP1, S6K1, 349 ATG13, ULK1, and Lipin 1 [4, 8] (Fig. I). 4E-BP1 inhibits translation initiation 350 unless it is phosphorylated by mTORC1. Phosphorylated S6K1 positively regulates 351 mRNA translation and ribosome biosynthesis. Conversely, mTORC1 phosphorylates 352 and inhibits ATG13 and ULK1, thereby inhibiting autophagosome assembly. The 353 mechanism by which mTORC1 enhances lipid synthesis through SREBP transcription 354 factors has been elucidated only recently; Lipin 1, a negative regulator of SREBP 355 transcriptional activity, is prevented from entering the nucleus when phosphorylated 356 by mTORC1 [66]. Activation of mTORC1 also increases the transcription of HIF-1a 357 by an unknown mechanism, which stimulates glycolytic gene expression and 358 angiogenesis.

360 **Box 2**

361 *mTORC2*

- 362 The core components shared by mTORC2 and mTORC1 are mTOR and mLST8. In
- 363 addition, mTORC2 contains Rictor, mSIN1, which exists in several isoforms, and
- 364 PRR5 (also known as Protor1) (Fig. II). mTORC2 phosphorylates a subset of AGC
- kinase family members on a conserved serine residue in the hydrophobic motif [4].
- 366 Identified substrates are the AGC kinases Akt, SGK1, and PKC (Fig. II). Ablation of
- 367 mTORC2 does not equally affect all Akt substrates; for example, phosphorylation of
- 368 the FOXO1 and 3 transcription factors is affected, whereas phosphorylation of TSC2,
- 369 which is upstream of mTORC1, is not affected [85]. By phosphorylating PKC,
- 370 mTORC2 also regulates the actin cytoskeleton and cell polarity.

372 **Box 3**

373 The Unfolded Protein Response

374 UPR signaling in vertebrates depends on three types of ER membrane-embedded 375 sensor proteins that impart distinct, but partially overlapping cell fate signals [1, 10] 376 (Fig. III). (i) After UPR activation, the Ire 1α RNase initiates the unconventional splicing of a specific mRNA on the surface of the ER, which then encodes the 377 378 transcription factor Xbp1s. Alternatively, probably depending on the nature or 379 severity of the triggering insult, Ire1 α can both, degrade select ER-associated mRNAs 380 (through a process called regulated Ire 1α -dependent decay; RIDD) to attenuate 381 protein import into the ER and initiate a MAP kinase signaling cascade that leads to 382 JNK activation. (ii) By directly phosphorylating the eIF2 α translation initiation factor, 383 the ER stress-sensor PERK is part of an "integrated stress response", which lowers 384 overall translation, while increasing the cellular antioxidant capacity by selectively stimulating the translation of the transcription factor ATF4 among others. In addition, 385 386 PERK directly activates the antioxidant response transcription factor Nrf2. (iii) The 387 activation of ATF6a and an increasing number of tissue-specific ATF6-like ER-388 resident transcription factors occurs by yet another mechanism; on sensing ER stress, 389 these proteins travel to the Golgi complex where they are subjected to intramembrane 390 proteolysis thus liberating their DNA-binding domain (p50) for nuclear translocation. 391 Transcriptional targets of Xbp1s, ATF4, and ATF6a include genes encoding ER 392 chaperones and oxidoreductases, ER-associated degradation factors, phospholipid 393 biosynthesis enzymes, and proteins involved in metabolic control.

While the UPR primarily aims at lowering the burden of folding substrates and increasing the capacity of the ER's folding machinery, it can also mediate apoptotic cell death through many different pathways [5]. One key pathway to ER stress-

induced apoptosis is the transcriptional induction of CHOP by ATF4 (Fig. III). CHOP
is an important proapoptotic transcription factor that, for instance, upregulates
proapoptotic members of the Bcl-2 family. Likewise, the activation of the intrinsic
apoptosis pathway via ER stress-induced ER-to-mitochondria calcium transmission
and activation of Bax/Bak on mitochondria is of particular importance [5].

403 **Figure legends**

404

405 Figure 1

406 Upstream regulation of mTORC1

407 Activation of mTORC1 is controlled by TSC1-TSC2 and by amino acids (AA). 408 (Upper part) By acting as a GTPase-activating protein on Rheb, TSC1–TSC2 inhibits 409 mTORC1 signaling. TSC1-TSC2 loss-of-function systems are frequently used to 410 study the consequences of chronic mTORC1 hyper-activity. Multiple pathways 411 positively or negatively impact mTORC1 signaling through modulation of TSC1-412 TSC2. Growth factors and hormones signal via PI3K-Akt or Raf-Mek-Erk to 413 phosphorylate and inhibit TSC1-TSC2. Conversely, GSK3 activates TSC2 by 414 phosphorylation, as does AMPK in response to low intracellular energy levels [86]. 415 Similarly, a cytokine-induced pathway where IKK^β phosphorylates and suppresses 416 TSC1 exists to varying degrees in different cell types [87]. In addition to these 417 phosphorylation-dependent events, the stability of TSC1-TSC2 is negatively 418 regulated through association with the gluconeogenic (i.e. anabolic) transcription 419 factor FOXO1 [88]. Furthermore, an inhibitory complex between TSC2 and 14-3-3 420 proteins is dissociated by the action of REDD1 in response to hypoxia [89], which 421 adds to several different mechanisms for mTORC1 inhibition by hypoxia [24]. Where 422 applicable, the principal phosphorylation sites of a given pathway in TSC1–TSC2, 423 which either enhance or suppress its activity, are indicated. (Lower part) Different 424 mechanisms by which AA talk to mTORC1 exist in parallel. (1) In mammals, AA stimulation induces influx of extracellular Ca^{2+} , which activates calmodulin (CaM) to 425 426 bind and activate the class III PI3K mVps34. This complex in turn positively 427 regulates mTORC1 by a mechanism that remains to be fully elucidated [21]. (2) AA

in the lysosomal lumen modulate the binding of the vacuolar proton pump (vATPase) to the trimeric p14–p18–MP1 complex (also called the Ragulator), which
anchors Rag GTPases to the surface of lysosomes. Thus, v-ATPase promotes the
translocation of mTORC1 to lysosomes where it is activated by Rheb–GTP [19]. (3)
Phosphorylation of MAP4K3 on Ser170 in response to AA activates mTORC1 by an
unknown mechanism [20].

434

435 Figure 2

436 Interplay between UPR and mTORC1

437 (A) The known signaling pathways linking UPR and mTORC1 activation can be 438 subdivided into acute phase (black arrows) and chronic phase (grey arrows) pathways. 439 ER stress/UPR can activate mTORC1 via ATF6a, which triggers the PI3K pathway 440 and increases the levels of Rheb by unknown mechanisms (question marks). The 441 former pathway leads to activation of Akt during an early phase of ER stress. Acute 442 activation of the UPR by mTORC1 presumably occurs through increased protein 443 synthesis, which elevates the demand on the ER machinery for protein folding. 444 Chronic activation of mTORC1 and UPR causes inactivation of Akt through at least 445 four mechanisms: (1) Suppressive phosphorylation of IRS1 and (2) mTORC2 by 446 S6K1 downstream of mTORC1, (3) inhibition of mTORC2 by GSK3β-catalyzed phosphorylation downstream of ER stress, and (4) PERK-CHOP-mediated induction 447 448 of TRB3, which directly binds to Akt and blocks its activation. Lowered Akt 449 activation precipitates higher levels of TRAF2, which triggers the Ire1 α -ASK1-JNK 450 branch of the UPR. Question marks denote where molecular mechanisms remain to be identified. 451

452 (B) The diverse outputs of the UPR and mTORC1 pathways can be synergistic or 453 antagonistic. Joint positive regulation occurs in the case of de novo lipogenesis, angiogenesis, insulin resistance, and activation of the NFkB pathway (light brown 454 455 boxes). By contrast, autophagy is stimulated by the UPR and inhibited by mTORC1, 456 whereas inverse signaling outputs regulate the processes of ribosome biogenesis and 457 translation (light green boxes). Relating to apoptosis, the interplay between UPR and 458 mTORC1 is context-dependent. While in an initial ("physiological") phase of ER 459 stress, the output of the UPR may be homeostatic/antiapoptotic, the UPR will promote 460 apoptosis upon chronic activation (represented by a dashed activation arrow). 461 Likewise, on unknown stimulus and/or prolonged ER stress, mTORC1, which usually 462 promotes cell survival, can also contribute to apoptotic signaling by the UPR (dashed 463 grey arrow; see main text for details).

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Appenzeller-Herzog Figure 1



Appenzeller-Herzog Figure 2

Figure I



Appenzeller-Herzog Figure I



Appenzeller-Herzog Figure II





Appenzeller-Herzog Figure III