

# Biomarkers and Peak Oxygen Uptake in Patients with Chronic Lung Disease

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## Key Words

Biomarkers · Cardiopulmonary exercise testing · Endothelin · Peak oxygen consumption

## Abstract

**Background:** Peak oxygen uptake (peak  $\text{VO}_2$ ) is a predictor of outcome in patients with lung disease. In these patients, peak  $\text{VO}_2$  is typically determined by ventilation and gas exchange. However, it is not well known whether cardiac strain contributes to peak  $\text{VO}_2$  in patients with chronic lung disease. **Objective:** To assess the relationship between several novel biomarkers reflecting different aspects of cardiac function and peak  $\text{VO}_2$  in patients with chronic lung disease. **Methods:** Plasma concentrations of midregional pro-A-type natriuretic peptide (MR-proANP), midregional proadrenomedullin (MR-proADM), C-terminal proendothelin-1 (CT-proET-1), and C-terminal provasopressin (copeptin) were measured in 85 patients with a variety of chronic pulmonary diseases [age  $57 \pm 14$  years, forced expiratory volume in the 1st second (FEV<sub>1</sub>)  $76 \pm 23\%$  of the predicted value] undergoing maximal cardiopulmonary exercise testing (peak  $\text{VO}_2$   $18.6 \pm 6.6$  ml/kg/min). **Results:** Raised MR-proANP ( $r = -0.54$ ), MR-proADM ( $r = -0.54$ ), and CT-proET-1 ( $r = -0.49$ ;  $p < 0.001$  for all) but not copeptin ( $r = -0.05$ ;  $p = 0.68$ ) concentrations were

associated with lower peak  $\text{VO}_2$ , and these associations were independent of age, gender, medication, FEV<sub>1</sub> and oxygenation. The relationship between MR-proANP, MR-proADM, and CT-proET-1 and peak  $\text{VO}_2$  was significant whether patients had an obstructive ventilatory disease or not. **Conclusions:** In patients with chronic lung disease, several biomarkers known to reflect measures of cardiac function were associated with peak  $\text{VO}_2$  independent of lung function, indicating that cardiac strain may contribute to exercise limitation in these patients due to concomitant cardiac disease or in the context of a pulmonary-cardiac interaction.

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## Introduction

Cardiopulmonary exercise testing (CPET) is an established tool for risk stratification in patients with a variety of pulmonary diseases including chronic obstructive pulmonary disease (COPD), interstitial lung disease, pulmonary vascular disorders, and cystic fibrosis [1–3]. The prognostic power of peak oxygen uptake (peak  $\text{VO}_2$ ) in these patients is explained by the fact that peak  $\text{VO}_2$  is not only a measure of the degree of impairment of ventilation and gas exchange but also reflects nonpulmonary com-

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ponents of exercise capacity, such as cardiac output and muscular adaptation to exercise, and thereby represents an integrative measurement of response to exercise. However, the contribution of cardiovascular factors to exercise limitation in patients with lung disease is not well documented. We have previously shown that in patients with chronic lung disease, B-type natriuretic peptide and N-terminal-pro-B-type natriuretic peptide (NT-proBNP) were independent predictors of a peak  $\text{VO}_2 < 15 \text{ ml/kg/min}$  [4], which is an established cut-off indicating an increased risk of perioperative complications in patients with lung disease being considered for lung cancer surgery who cannot be considered as low risk based on their forced expiratory volume in the 1st second ( $\text{FEV}_1$ ) and diffusion capacity [2, 5–7]. This seems to indicate that cardiac strain may significantly contribute to peak  $\text{VO}_2$  in patients with lung disease. In fact, recent studies suggested a close link between pulmonary and cardiac function in patients with lung disease [8, 9].

In patients with cardiac disease, several novel biomarkers, such as midregional pro-A-type natriuretic peptide (MR-proANP) [10–12], midregional proadrenomedullin (MR-proADM) [13], C-terminal proendothelin-1 (CT-proET-1) [14], and C-terminal proavopressin (CT-proAVP, copeptin) [15, 16], which are promising markers of pathophysiological processes, have been shown to be as accurate or even more accurate in predicting prognosis than the established B-type natriuretic peptides. MR-proANP is the stable midregional part of the ANP precursor peptide and is thereby considered to be a marker of left atrial stretch [17]. In patients with chronic heart failure (HF), MR-proANP is a marker of left-ventricular ejection fraction (LVEF) and mortality [11, 12], and in postmyocardial infarction (MI) patients, MR-proANP is a marker of outcome too [10]. MR-proADM is the midregional part of the precursor peptide of adrenomedullin, a peptide with vasorelaxing and natriuretic properties [18]. In HF patients, adrenomedullin is released from the myocardium [18] and is a prognostic marker [19]. CT-proET-1 is the C-terminal part of the precursor peptide of endothelin-1 (ET-1), an endothelium-derived vasoconstrictor contributing to heightened systemic [20] and pulmonary vascular tone [21]. CT-proET-1 is a prognostic marker in the post-MI setting [14]. Copeptin is the C-terminal fragment of the AVP precursor peptide and thereby reflects plasma osmolality but interestingly seems also to be a marker of the individual stress level [22]. Copeptin has been shown to be an early marker of acute MI and a marker of left-ventricular remodeling and dysfunction in the post-MI setting [23].

In addition, copeptin provides prognostic information in patients post-MI [23] and those with chronic HF [15]. The advantage of these four novel markers over measurement of the biologically active substances lies in the fact that prohormones have a longer half-life and thereby represent more reliable analytes.

Accordingly, the aim of the present study was to assess the relationship between MR-proANP, MR-proADM, CT-proET-1, and copeptin and peak  $\text{VO}_2$  in patients with chronic lung disease. We hypothesized that raised concentrations of these markers were associated with lower peak  $\text{VO}_2$ .

## Methods

### Study Design

One-hundred and four consecutive patients with chronic lung disease referred for CPET for the evaluation of exercise capacity were eligible. There were no a priori exclusion criteria. Nineteen patients not fulfilling criteria for appropriate effort (see below) were secondarily excluded, leaving 85 patients for the present analysis. The study population represents a subgroup of a population on which we have reported previously [24]. All patients underwent CPET including spirometry and blood gases as well as biomarker measurement in a prospective manner. Information on cardiac function was obtained from previously performed echocardiograms. The study was approved by the Ethics Committee of Basel. Written informed consent was obtained from all participating patients.

### CPET Procedures

Spirometry for measurement of  $\text{FEV}_1$  and forced vital capacity (FVC) was performed immediately before CPET. Thereafter, patients underwent symptom-limited upright cycle exercise tests (Jaeger, Würzburg, Germany) using ramp protocols with continuous monitoring of the electrocardiogram and noninvasive blood pressure measurement every second minute. Standard criteria for test termination were applied [25]. Minute ventilation (VE),  $\text{VO}_2$ , and carbon dioxide output ( $\text{VCO}_2$ ) were obtained breath by breath and averaged at 30-second intervals (SensorMedics Yorba Linda, Calif., USA). Peak  $\text{VO}_2$  was expressed as the highest 10-second average values obtained during the last 30 s of the test. Peak  $\text{VO}_2$  was expressed as body weight-indexed data as well as %predicted peak  $\text{VO}_2$ . Oxygen pulse was calculated as peak  $\text{VO}_2$  divided by peak heart rate. Ventilatory efficiency was expressed as the peak  $\text{VE}/\text{VCO}_2$  ratio, which, similarly to the  $\text{VE}/\text{VCO}_2$  slope, is a strong predictor of outcome in patients with HF [26]. Arterial blood was obtained at rest and peak exercise (i.e. immediately after cessation of exercise) from a radial or brachial artery and analyzed immediately. Maximal or near-maximal effort was assumed if at least one of the following criteria was fulfilled: (1) peak heart rate  $> 80\%$  predicted; (2) respiratory exchange ratio  $> 1.2$ ; (3) lactate at peak exercise (measured in arterial blood with a blood gas analyzer)  $> 4.0 \text{ mmol/l}$ , or (4) difference between base excess at rest and peak exercise  $\leq 2.5 \text{ mmol/l}$  [27].

**Table 1.** Baseline characteristics of the entire study population and of patients with an FEV<sub>1</sub>/FVC ratio <0.7 versus ≥0.7

	All (n = 85)	FEV <sub>1</sub> /FVC <0.7 (n = 40)	FEV <sub>1</sub> /FVC ≥0.7 (n = 45)	p value
Age, years	57 ± 14	59 ± 14	55 ± 15	0.17
Male gender	50 (59%)	18 (45%)	32 (71%)	0.02
Body mass index, kg/m <sup>2</sup>	26.0 ± 4.7	25.4 ± 4.5	26.6 ± 4.9	0.24
COPD	39 (46%)	26 (65%)	13 (29%)	0.001
Bronchial asthma	13 (15%)	5 (13%)	8 (18%)	0.50
Other lung disease <sup>1</sup>	33 (39%)	9 (23%)	24 (53%)	0.004
Lung cancer	33 (39%)	21 (53%)	12 (27%)	0.02
Other medical history				
Coronary artery disease	15 (18%)	8 (20%)	7 (16%)	0.59
Previous myocardial infarction	10 (12%)	6 (15%)	4 (9%)	0.38
Other heart disease	8 (9%)	3 (8%)	5 (11%)	0.65
Diabetes mellitus	7 (8%)	3 (8%)	4 (9%)	0.82
Current smoking	25 (29%)	16 (40%)	9 (20%)	0.04
Previous smoking	40 (47%)	15 (38%)	25 (56%)	0.10
Medication				
Inhaled corticosteroids	28 (33%)	18 (45%)	10 (22%)	0.03
Inhaled β <sub>2</sub> -mimetics	33 (39%)	21 (53%)	12 (27%)	0.02
β-Blockers	16 (19%)	6 (15%)	10 (22%)	0.40
ACEI/ARB	15 (18%)	9 (23%)	6 (13%)	0.27
Diuretic	13 (15%)	4 (10%)	9 (20%)	0.01
Aspirin	15 (18%)	7 (18%)	8 (18%)	0.97
Statin	19 (22%)	8 (20%)	11 (24%)	0.62

Data are given as numbers and percentages or means ± SD. ACEI/ARB = Angiotensin-converting enzyme inhibitor or angiotensin receptor blocker. <sup>1</sup> For details, see text.

### Biomarker Measurement

A specimen of venous blood was drawn from an antecubital vein immediately before the test in the seated position. Samples were collected in plastic tubes containing ethylenediaminetetraacetic acid (EDTA), placed on ice, centrifuged at 3,000 g, and stored at -80°C. Plasma samples were analyzed approximately 1 year after collection by laboratory technicians blinded to patient characteristics and CPET results. Biomarkers were measured using novel assays which have been described in detail elsewhere [28–31]. MR-proANP was measured using the BRAHMS MR-proANP LIA [28], MR-proADM was measured using the BRAHMS MR-proADM LIA [29], CT-proET-1 was measured using the BRAHMS CT-proET-1 LIA [30], and copeptin was measured using the BRAHMS CT-proAVP LIA [31] (all assays from BRAHMS AG, Hennigsdorf, Germany). The stability at -20°C during 6 months has been demonstrated for MR-proANP [28], MR-proADM [29], and CT-proET-1 [30]. Similar data demonstrating the stability of copeptin during long-term storage are not available. However, the excellent stability of copeptin in EDTA plasma at room temperature has been demonstrated previously. After 14 days at room temperature in EDTA plasma, the mean measured value was still 97.6% of the initial value measured in EDTA plasma. After 4 cycles of freezing and thawing the mean measured value was 102% of the original value [31]. Based on these data, we think that it can be extrapolated that long-term storage at -80°C is a suitable storage method.

### Statistical Analysis

Data were expressed as counts and percentages, means ± standard deviation (SD), and median (interquartile range). We compared patients with an FEV<sub>1</sub>/FVC ratio <0.70 as measured under therapy on the day of the test (i.e. patients with irreversible obstruction) and patients with an FEV<sub>1</sub>/FVC ratio >0.70 using the χ<sup>2</sup> test, unpaired t test, or Mann-Whitney U test. The rationale for this approach is based on the observation that the degree of airflow obstruction is related to cardiac output [8], and that we wanted to assess whether our results apply for patients with obstructive and nonobstructive pathology. Correlations between parameters of interest were performed using Spearman correlation coefficients. Multivariable linear regression with body weight-indexed peak VO<sub>2</sub> and %predicted VO<sub>2</sub> as dependent variables was performed with all available information and measurements at rest (tables 1, 2) as covariates (ln-transformation in case of a skewed distribution). We performed direct as well as backward and forward models for each of the four biomarkers. In addition, an analysis with peak VO<sub>2</sub> as a categorical variable was performed using the previously published cut-off of 15 ml/kg/min [5–7]. Receiver operator characteristics (ROC) curves were constructed to illustrate the ability of the biomarkers to reflect a peak VO<sub>2</sub> <15 ml/kg/min. Areas under the ROC curves (AUC) were compared using the method of DeLong et al. [32]. Multivariable logistic regression was then performed to identify independent predictors of a

**Table 2.** Measurements at rest in the entire study population and in patients with an FEV<sub>1</sub>/FVC ratio <0.7 versus ≥0.7

	All (n = 85)	FEV <sub>1</sub> /FVC <0.7 (n = 40)	FEV <sub>1</sub> /FVC ≥0.7 (n = 45)	p value
Heart rate, bpm	83 ± 15	83 ± 16	83 ± 14	0.72
Systolic blood pressure, mm Hg	127 ± 19	127 ± 17	127 ± 20	0.98
Diastolic blood pressure, mm Hg	86 ± 12	82 ± 13	89 ± 10	0.02
Creatinine clearance, ml/min	94 ± 33	93 ± 34	96 ± 32	0.70
LVEF, %	60 (55–65) n = 40	60 (52–65) n = 18	60 (60–65) n = 22	0.18
Respiratory rate, min <sup>-1</sup>	19 ± 6	20 ± 6	19 ± 6	0.42
FVC, l	3.22 ± 1.00	2.95 ± 0.96	3.46 ± 0.98	0.02
%predicted FVC, %	92 ± 20	92 ± 19	92 ± 22	0.96
FEV <sub>1</sub> , l	2.19 ± 0.84	1.70 ± 0.64	2.62 ± 0.77	<0.001
%predicted FEV <sub>1</sub> , %	76 ± 23	65 ± 18	85 ± 22	<0.001
FEV <sub>1</sub> /FVC	0.67 ± 0.12	0.58 ± 0.09	0.76 ± 0.07	<0.001
Hemoglobin, g/dl	14.2 ± 2.0	13.6 ± 1.9	14.6 ± 1.9	0.01
PaO <sub>2</sub> , mm Hg	80 ± 13	75 ± 11	84 ± 14	0.003
SaO <sub>2</sub> , %	95 (93–96)	94 (91–96)	95 (93–96)	0.03
Paco <sub>2</sub> , mm Hg	35 ± 5	36 ± 4	34 ± 5	0.16
D(A – a)O <sub>2</sub> , mm Hg	25 ± 14	30 ± 13	21 ± 13	0.006

Data are given as means ± SD or medians (interquartile range in parentheses). SaO<sub>2</sub> = Arterial oxygen saturation; D(A – a)O<sub>2</sub> = alveolo-arterial oxygen pressure difference.

peak VO<sub>2</sub> <15 ml/kg/min. All parameters available before the exercise test (tables 1, 2; first model) as well as biomarkers (additional models) were tested. p < 0.05 was considered statistically significant. Analysis was performed using commercially available software packages (SPSS/PC, version 15.0, SPSS Inc., Chicago, Ill., USA, and Analyse-it V2.04, Leeds, UK).

## Results

### Patient Characteristics

We studied 85 patients with the following primary diagnoses: 39 (46%) had COPD, 13 (15%) had asthma and 33 (39%) had other lung diseases, including sarcoidosis (n = 3), pulmonary arterial hypertension (n = 2), interstitial lung disease (n = 6), alveolitis (n = 1), bronchiectasis (n = 2), unclear lung diseases (n = 2), or lung cancer/mesothelioma (n = 17). Fifteen patients also had lung cancer along with another pulmonary disease. The characteristics of the entire study group are presented in table 1.

### Measurements at Rest and Responses to Exercise

Measurements at rest and responses to exercise are shown in tables 2 and 3. As expected, we studied a population with overall clearly abnormal lung function and

impaired functional capacity as indicated by a mean %predicted peak VO<sub>2</sub> of 71%. Forty (47%) patients had an obstructive ventilator defect on the day of CPET.

### Biomarker Concentrations

The median MR-proANP, MR-proADM, CT-proET-1, and copeptin concentrations and interquartile ranges are presented in table 4. As shown in table 5, there were significant correlations between the biomarkers, with r<sup>2</sup> values ranging from to 0.06 to 0.48.

### Relationship between Biomarkers and Peak VO<sub>2</sub>

There were moderate inverse correlations between peak VO<sub>2</sub> and MR-proANP, MR-proADM and CT-proET-1 (p < 0.001 for all) but not copeptin (fig. 1). In all multivariable linear regression models, lower MR-proANP (β = -0.32; p < 0.001) was an independent predictor of a higher peak VO<sub>2</sub>. Higher FEV<sub>1</sub>, higher arterial oxygen pressure (PaO<sub>2</sub>), higher arterial carbon dioxide pressure (Paco<sub>2</sub>), and lower body mass index (BMI) were additional independent predictors of higher peak VO<sub>2</sub>. In some models (forward models), lower MR-proADM (β = -0.32; p < 0.001) along with higher FEV<sub>1</sub>, higher PaO<sub>2</sub>, higher Paco<sub>2</sub>, and lower BMI, and lower CT-proET-1 (β = -0.29; p = 0.001) along with higher FEV<sub>1</sub>, higher

**Table 3.** Measurements at peak exercise in the entire study population and in patients with an FEV<sub>1</sub>/FVC ratio <0.7 versus ≥0.7

	All (n = 85)	FEV <sub>1</sub> /FVC <0.7 (n = 40)	FEV <sub>1</sub> /FVC ≥0.7 (n = 45)	p value
Duration of exercise, min	6.0 (4.8–7.0)	5.0 (4.2–6.0)	6.6 (5.7–8.0)	<0.001
Peak heart rate, bpm	139 ± 25	137 ± 24	140 ± 26	0.48
Predicted heart rate, %	85 ± 14	84 ± 13	85 ± 14	0.93
Systolic blood pressure, mm Hg	183 ± 31	188 ± 33	179 ± 29	0.20
Diastolic blood pressure, mm Hg	92 ± 16	92 ± 18	92 ± 14	0.96
Respiratory rate, min <sup>-1</sup>	35 ± 8	33 ± 7	37 ± 9	0.04
Peak work rate, W/kg	1.62 ± 0.65	1.47 ± 0.54	1.76 ± 0.71	0.04
Peak V <sub>O<sub>2</sub></sub> , l/min	1.38 ± 0.58	1.24 ± 0.57	1.50 ± 0.57	0.04
Peak V <sub>O<sub>2</sub></sub> , ml/kg/min	18.6 ± 6.6	17.5 ± 6.2	19.6 ± 6.9	0.14
Predicted peak V <sub>O<sub>2</sub></sub> , %	71 ± 19	71 ± 17	70 ± 21	0.86
Δ V <sub>O<sub>2</sub></sub> /Δ work rate, ml/W/min	9.4 ± 1.9	9.4 ± 1.5	9.3 ± 2.1	0.69
Peak oxygen pulse, ml/beat	9.8 ± 3.4	8.9 ± 3.2	10.7 ± 3.4	0.01
Respiratory exchange ratio	1.18 ± 0.14	1.15 ± 0.14	1.20 ± 0.14	0.08
VE, l/min	60 ± 23	51 ± 19	69 ± 23	<0.001
Peak VE/V <sub>CO<sub>2</sub></sub>	39.0 ± 9.9	37.9 ± 8.4	39.9 ± 11.1	0.35
PaO <sub>2</sub> , mm Hg	86 ± 21	80 ± 20	92 ± 22	0.008
SaO <sub>2</sub> , %	95 (91–96)	93 (90–95)	95 (93–96)	0.07
Paco <sub>2</sub> , mm Hg	35 ± 6	38 ± 5	33 ± 5	0.001
D(A – a)O <sub>2</sub> , mm Hg	29 ± 19	32 ± 17	26 ± 21	0.18
pH	7.36 (7.33–7.39)	7.37 (7.33–7.39)	7.36 (7.32–7.40)	0.90
Lactate, mmol/l	5.8 (4.4–7.7)	5.8 (4.2–7.1)	5.9 (4.4–8.5)	0.25

Data are given as means ± SD or medians (interquartile range in parentheses). SaO<sub>2</sub> = Arterial oxygen saturation; D(A – a)O<sub>2</sub> = alveolo-arterial oxygen pressure difference.

**Table 4.** Biomarkers in the entire study population and of patients with an FEV<sub>1</sub>/FVC ratio <0.7 versus ≥0.7

	All (n = 85)	FEV <sub>1</sub> /FVC <0.7 (n = 40)	FEV <sub>1</sub> /FVC ≥0.7 (n = 45)	p value
MR-proANP, pmol/l	62.5 (40.0–97.7)	72.9 (43.3–112.5)	57.7 (37.0–84.0)	0.10
MR-proADM, nmol/l	0.57 (0.46–0.76)	0.62 (0.46–0.78)	0.54 (0.47–0.75)	0.38
CT-proET-1, pmol/l	68.0 (53.6–88.2)	66.1 (54.2–88.5)	68.9 (52.6–88.2)	0.96
CT-proAVP, pmol/l	6.5 (4.8–10.2)	5.78 (4.17–8.31)	7.49 (5.11–12.0)	0.03

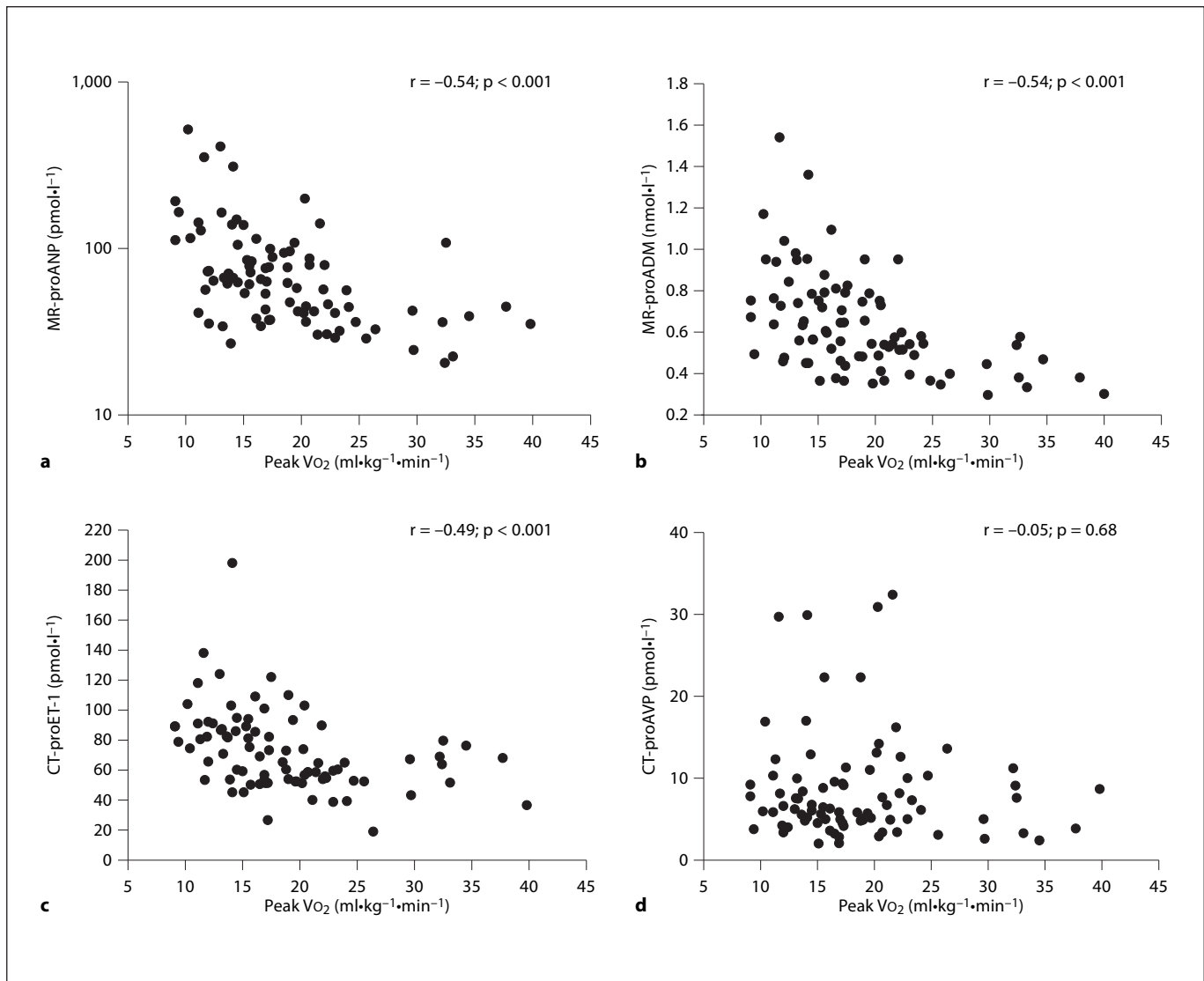
Data are given as medians (interquartile range in parentheses).

**Table 5.** Correlations between biomarkers

	MR-proANP	MR-proADM	CT-proET-1	CT-proAVP
MR-proANP	–	r = 0.69; p < 0.001	r = 0.55; p < 0.001	r = 0.25; p = 0.02
MR-proADM	r = 0.69; p < 0.001	–	r = 0.72; p < 0.001	r = 0.43; p < 0.001
CT-proET-1	r = 0.55; p < 0.001	r = 0.72; p < 0.001	–	r = 0.26; p = 0.02
CT-proAVP	r = 0.25; p = 0.02	r = 0.43; p < 0.001	r = 0.26; p = 0.02	–

Spearman correlation coefficients are given.





**Fig. 1.** Scatter plots showing the correlations between MR-proANP (a), MR-proADM (b), CT-proET-1 (c) and CT-proAVP (d) and peak  $VO_2$ . Spearman correlation coefficients are given. Note the logarithmic scale for MR-proANP.

$PaO_2$ , and lower BMI were also independently associated with higher peak  $VO_2$ .

If peak  $VO_2$  was expressed as %predicted value, it was significantly and inversely related to MR-proADM ( $r = -0.25$ ;  $p = 0.02$ ) and CT-proET-1 ( $r = -0.27$ ;  $p = 0.01$ ) but not MR-proANP ( $r = -0.19$ ;  $p = 0.09$ ) and copeptin ( $r = -0.13$ ;  $p = 0.22$ ). After multivariable adjustment, the associations between MR-proADM and CT-proET-1 and %predicted peak  $VO_2$  were no longer significant, however (data not shown).

#### *Biomarkers for the Prediction of a Peak $VO_2 < 15$ ml/min/kg*

When using peak  $VO_2$  as a categorical variable, MR-proANP [105.0 (52.5–164.0) vs. 50.4 (36.9–79.4) pmol/l], MR-proADM [0.74 (0.56–0.95) vs. 0.54 (0.41–0.71) nmol/l], CT-proET-1 [86.6 (74.4–104.9) vs. 59.4 (52.1–75.5) pmol/l] ( $p < 0.001$  for all) but not copeptin [7.5 (5.6–10.3) vs. 6.0 (4.4–10.1) pmol/l;  $p = 0.21$ ] concentrations were significantly raised in patients with peak  $VO_2 < 15$  ml/kg/min as compared to those with peak  $VO_2 \geq 15$  ml/kg/min. As shown in figure 2, the AUC for MR-proANP,

MR-proADM, and CT-proET-1 for the prediction of a peak  $\text{VO}_2 < 15 \text{ ml/kg/min}$  were 0.74, 0.74, and 0.78 ( $p > 0.4$  for all comparisons between the AUC).

Multivariable logistic regression (separate models for each marker) revealed higher MR-proANP, higher MR-proADM, and higher CT-proET-1 as independent predictors of a peak  $\text{VO}_2 < 15 \text{ ml/kg/min}$ . Other independent predictors of a peak  $\text{VO}_2 < 15 \text{ ml/kg/min}$  included lower  $\text{FEV}_1$ , the presence of diabetes, and aspirin use (data not shown). In a model with all three biomarkers, only CT-proET-1 remained independently predictive of peak  $\text{VO}_2 < 15 \text{ ml/kg/min}$ .

#### Relationship between Biomarkers and Oxygen Pulse and Ventilatory Efficiency

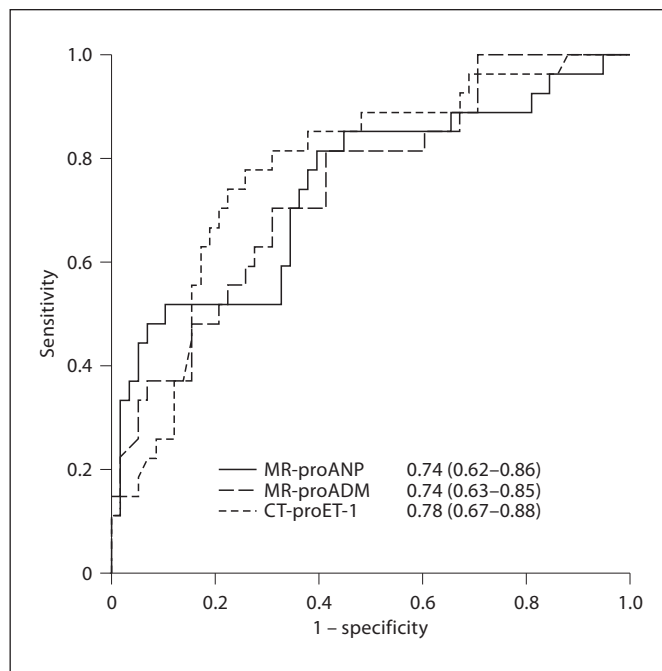
There were significant inverse correlations between MR-proANP ( $r = -0.31$ ;  $p = 0.004$ ), MR-proADM ( $r = -0.23$ ;  $p = 0.03$ ), and CT-proET-1 ( $r = -0.30$ ;  $p = 0.005$ ) and peak exercise oxygen pulse. In contrast, there was a trend towards a direct association between copeptin and oxygen pulse ( $r = 0.21$ ;  $p = 0.06$ ). In addition, there were direct correlations between MR-proANP ( $r = 0.32$ ;  $p = 0.003$ ), MR-proADM ( $r = 0.29$ ;  $p = 0.007$ ), and CT-proET-1 ( $r = 0.30$ ;  $p = 0.005$ ) but not copeptin ( $r = 0.09$ ;  $p = 0.4$ ) and the peak exercise  $\text{VE}/\text{VCO}_2$  ratio.

#### Comparison of Patients with $\text{FEV}_1/\text{FVC} < 0.7$ versus $\geq 0.7$

As shown in tables 1–3, patients with  $\text{FEV}_1/\text{FVC} < 0.7$  ( $n = 40$ ) and those with  $\text{FEV}_1/\text{FVC} \geq 0.7$  ( $n = 45$ ) differed considerably with respect to gender, history, medication, lung function and blood gases at rest, and exercise responses. However, %predicted peak  $\text{VO}_2$  was very similar between groups. Whilst there were no significant differences in MR-proANP, MR-proADM, and CT-proET-1 between the groups, copeptin was significantly lower in patients with  $\text{FEV}_1/\text{FVC} < 0.7$  compared to those with  $\text{FEV}_1/\text{FVC} \geq 0.7$  (table 4). The inverse relationship between raised MR-proANP ( $\text{FEV}_1/\text{FVC} < 0.7$ :  $r = -0.42$ ;  $\text{FEV}_1/\text{FVC} \geq 0.7$ :  $r = -0.38$ ), MR-proADM ( $r = -0.52$ ;  $r = -0.51$ ), and CT-proET-1 ( $r = -0.42$ ;  $r = -0.42$ ) with peak  $\text{VO}_2$  was present in both patients with  $\text{FEV}_1/\text{FVC} < 0.7$  and those with  $\text{FEV}_1/\text{FVC} \geq 0.7$  ( $p < 0.05$  for all correlations).

#### Subgroup Analyses

In patients with available information on LVEF ( $n = 40$ ), we observed similar inverse correlations between MR-proANP ( $r = -0.52$ ;  $p = 0.001$ ), MR-proADM ( $r = -0.46$ ;  $p = 0.003$ ), and CT-proET-1 ( $r = -0.53$ ;  $p < 0.001$ ) and peak  $\text{VO}_2$  as in the study population as a whole. There



**Fig. 2.** ROC curves showing the accuracy of MR-proANP, MR-proADM and CT-proET-1 in predicting a peak  $\text{VO}_2 < 15 \text{ ml/kg/min}$ . AUC and 95% confidence intervals are given.

was also a significant but weaker correlation between LVEF and peak  $\text{VO}_2$  ( $r = 0.32$ ;  $p = 0.047$ ) whereas there was no significant correlation between LVEF and biomarkers (data not shown). All correlations between the three biomarkers and peak  $\text{VO}_2$  remained statistically significant after adjustment for LVEF.

If the analysis was restricted to patients with  $\text{BMI} < 30 \text{ kg/m}^2$  ( $n = 69$ ), MR-proANP ( $r = -0.52$ ), MR-proADM ( $r = -0.53$ ), and CT-proET-1 ( $r = -0.50$ ;  $p < 0.001$  for all) but not copeptin ( $r = 0.04$ ;  $p = 0.8$ ) were inversely associated with peak  $\text{VO}_2$ . In those with  $\text{BMI} \geq 30 \text{ kg/m}^2$  ( $n = 16$ ), these correlations were even stronger (MR-proANP:  $r = -0.74$ , MR-proADM:  $r = -0.61$ , CT-proET-1:  $r = -0.62$ ;  $p < 0.05$  for all).

## Discussion

The present study evaluating the association between biomarkers previously assessed in patients with cardiovascular disease and peak  $\text{VO}_2$  in patients with chronic lung disease revealed that raised MR-proANP, MR-proADM, and CT-proET-1 were associated with lower

peak  $\text{VO}_2$  independent of lung function, blood gases and medication.

Given that MR-proANP, MR-proADM and CT-proET-1 provided information on peak  $\text{VO}_2$  independent of  $\text{FEV}_1$ , they may reflect the cardiac output factor in peak  $\text{VO}_2$  equation. Similarly to NT-proBNP [33, 34], these biomarkers may be surrogates for coexisting left-sided cardiac dysfunction and thus exercise-induced postcapillary pulmonary hypertension. In fact, we found a weak inverse correlation between MR-proANP, MR-proADM and CT-proET-1, and peak exercise oxygen pulse, potentially indicating a relationship with left-ventricular stroke volume during exercise, although this remains speculative given that oxygen pulse is not only determined by stroke volume but also the arteriovenous oxygen extraction, which we did not measure. In HF patients, an association between ET-1 and peak  $\text{VO}_2$  has been described previously [35]. In the subgroup with available data on LVEF, MR-proANP, MR-proADM and CT-proET-1 were significantly and inversely related to peak  $\text{VO}_2$  after adjustment for LVEF, suggesting that these markers may provide composite information on cardiovascular strain beyond LVEF.

In addition, there seems to be an interaction between pulmonary and cardiac function [9, 36], per se associated with an impaired exercise capacity [9]. Patients with COPD and hyperinflation have been shown to have a lower peak  $\text{VO}_2$  and lower oxygen pulse than patients without hyperinflation [9], potentially suggesting a lower stroke volume in those with hyperinflation [9]. Possible mechanisms leading to a decreased left-ventricular stroke volume and thus peak  $\text{VO}_2$  in patients with both COPD and other lung diseases include exercise-induced precapillary pulmonary hypertension due to decreased pulmonary vascular bed and increased vasoreactivity [37], left-ventricular diastolic dysfunction due to left-to-right ventricular interaction in the presence of pulmonary hypertension [38] as well as decreased venous return due to increased intrathoracic pressures [36]. Again, these speculations are limited by the fact that the value of oxygen pulse as a measure of stroke volume in patients with lung disease remains unclear [3]. However, in a recent population-based study, a greater extent of emphysema and more severe airflow obstruction were linearly related to impaired left-ventricular filling and lower stroke volume as assessed by cardiac magnetic resonance imaging [8]. Thus, although the underlying mechanisms are not fully understood, COPD and most likely also other lung diseases seem, per se, to be associated with some sort of cardiac exercise limitation.

The rationale for the use of these biomarkers was two-fold: first, each of the novel biomarkers quantifies a distinct and important pathophysiological process or regulatory mechanism known to be of prognostic value in various diseases including HF. Second, these biomarkers have excellent preanalytical and analytical properties as they are inactive and stable fragments of precursor peptides of and thus surrogates for key hormones, which are upregulated in HF and either promote adverse effects by fluid retention and vasoconstriction or, similarly to B-type natriuretic peptide, reflect an insufficient counter-regulatory release of hormones with natriuretic and vasodilator properties [39]. Biomarker concentrations were measured from stored samples, and the stability at  $-80^\circ$  during one 1 year has not formally been shown for all of these peptides. However, available data suggest excellent stability during 6–12 months for MR-proANP, MR-proADM, and CT-proET-1. Data on the stability of copeptin during long-term storage are not available. We acknowledge that despite excellent stability at room temperature during 2 weeks [31], some uncertainty persists.

Only approximately 24–29% of the variability of body weight-indexed peak  $\text{VO}_2$  was explained by biomarkers, clearly indicating that the isolated use of these biomarkers is not accurate enough to predict peak  $\text{VO}_2$ . However, the purpose of this study was not to propose replacement of CPET by biomarkers but to characterize their associations with pathophysiology. We demonstrated that biomarkers provide information on the response to exercise beyond the set of tests typically included in the armamentarium of the respiratory physician, i.e. measures of lung function. We used different statistical approaches and performed subgroup analyses, and this observation was consistent. The inverse association between peak  $\text{VO}_2$  and biomarker concentrations was similar in patients with an obstructive and non-obstructive pathology, indicating that these markers may be of value in a large spectrum of lung disease. Given the relative paucity of simple biomarkers in the prognostic assessment of patients with chronic lung disease, our result may be the basis for future studies on the prognostic value of MR-proANP, MR-proADM and CT-proET-1 in this setting.

We did not perform a detailed echocardiographic evaluation, which is a limitation of our study. Thus, the link between biomarkers and the exercise response remains speculative. It is, however, possible that similarly to NT-proBNP [33], raised MR-proANP, MR-proADM, and CT-proET-1 concentrations identify patients requiring an echocardiogram not only to look for LVEF but also for left-ventricular diastolic dysfunction and valvular



heart disease. It is also possible that raised biomarkers reflect the severity of the pulmonary-cardiac interaction as discussed above which is, however, difficult to quantify. Noninvasive measurement of pulmonary pressures by echocardiography at rest is problematic because on the one hand it is technically challenging in patients with advanced lung disease [40], and on the other hand it does not predict the exercise response.

According to the Fick equation, peak  $\text{VO}_2$  and oxygen pulse are not only determined by cardiac output and stroke volume, respectively, but also by peripheral oxygen extraction. We acknowledge that we did not assess the physical activity level and skeletal muscle mass and morphology ('the periphery'), which is another limitation of the study. Muscle atrophy and dysfunction is a common feature in patients with advanced COPD and has significant impact on exercise tolerance [41]. It remains unknown whether the biomarkers in our study are related to measures of muscle mass and function. In hemodialysis patients, MR-proADM and C-reactive protein have both been shown to be related to measures of cardiac function and thus to systemic inflammation [42]. It is possible that via a link with systemic inflammation muscle wasting has also contributed to the association between the biomarkers evaluated in this study and peak  $\text{VO}_2$ . A relationship between raised C-reactive protein and raised NT-proBNP in patients with lung disease has

been shown previously [43]. Our study has a number of limitations as discussed above. In addition, we studied a small and heterogeneous population. Differences in levels of inflammatory cytokines in this patient group might have had an effect on muscular contractile function and mitochondrial potential. However, we have tried to show that our findings apply for patients with different pulmonary pathophysiology. Second, this is a cross-sectional study design, which fails to provide a causal relation between factors.

## Conclusions

In patients with lung disease, MR-proANP, MR-proADM, and CT-proET-1 are inversely associated with peak  $\text{VO}_2$ , and this occurs independent of lung function and oxygenation. These results may be the basis for future studies on the nature of the link between peak  $\text{VO}_2$  and these markers and their prognostic value in patients with chronic lung disease.

## Conflict of Interest

A.B. and N.G.M. are employees of BRAHMS AG, which is affiliated with Thermo Fischer Scientific, the manufacturer of the tested markers.

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